



National Synchrotron Radiation Research Center

Soft X-ray Absorption and Resonant Scattering

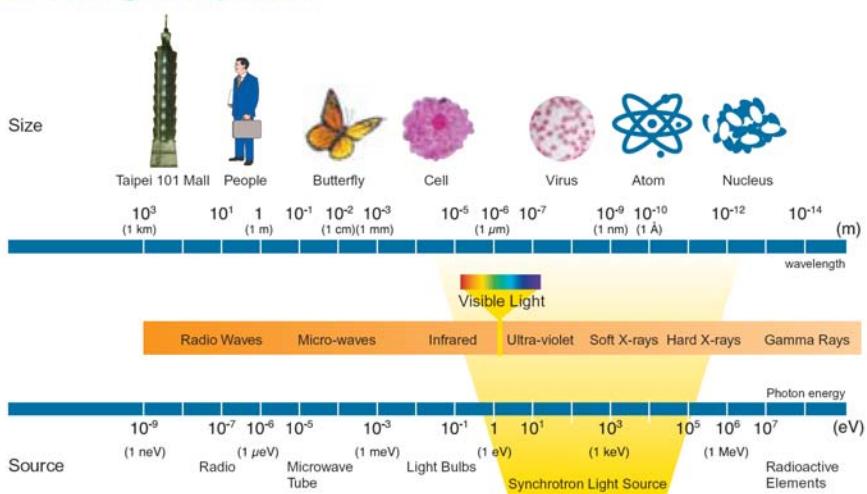
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Sept. 16

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Electromagnetic Spectrum

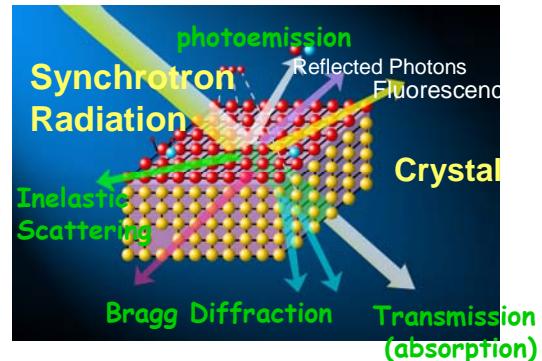


Soft x-ray: 250 eV ~ a few keV

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Interaction of photons with matter:

Photoelectric effect
Photoabsorption
Scattering/diffraction



- lattice structure: arrangement of atoms
- electronic states
- magnetic order
- excitations (electronic states or phonons)

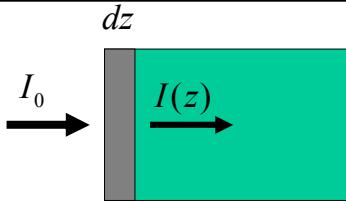
Soft X-ray Absorption and Resonant Scattering

1. Basics of x-ray scattering and absorption
2. Soft X-ray Absorption
 - Experimental Setup
 - Applications
 - Chemical analysis
 - Orbital polarization
 - Magnetic Circular Dichroism
3. Resonant Soft X-ray Scattering
 - Basics
 - Examples
 - Verwey transition of Fe_3O_4
 - Charge-Orbital ordering and Quasi-2D magnetic ordering of $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

X-ray absorption

absorption coefficient μ

$$-dI(z) = \mu I(z) dz$$



$$\frac{d\sigma}{d\Omega} = \frac{W_{\Delta\Omega}}{\Phi_0 \Delta\Omega}$$

$$\Phi_0 = \frac{I_0}{A}$$

$$\frac{dI(z)}{dz} = -\mu I(z) \quad I(z) = I_0 e^{-\mu z}$$

$$\# \text{ of absorption events } W = I(z) \underbrace{\rho dz \sigma_a}_{\# \text{ of atoms/area}} = I(z) \mu dz$$

$$n \equiv 1 - \delta + i\beta \quad (\alpha \text{ and } \beta \text{ are real numbers})$$

The wave propagating in the medium is

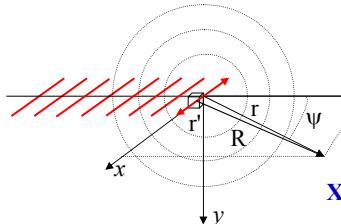
$$E_0 e^{i(nkz)} = E_0 e^{i(1-\delta)kz} e^{-\beta kz} \quad \mu = 2\beta$$

$$\mu = \rho \sigma_a$$

absorption cross section

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EM waves scattering by an electron



$$E_{in} = E_0 e^{-i\omega t}, \quad E_0 \parallel \hat{x}$$

$$m\mathbf{a} = -e(E_{in} + \mathbf{v} \times \mathbf{B}_{in})$$

$$\text{The retarded vector potential } \mathbf{A}(\mathbf{r}, t) = \frac{1}{4\pi\epsilon_0 c^2} \int_V \frac{\mathbf{J}(\mathbf{r}', t')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' \quad t' = t - |\mathbf{r} - \mathbf{r}'|/c$$

Far away from the electron:

$$\text{The dipole approximation } |\mathbf{r} - \mathbf{r}'| \approx r \quad \mathbf{A}(\mathbf{r}, t) \approx \frac{1}{4\pi\epsilon_0 c^2 r} \int_V \mathbf{J}(\mathbf{r}', t - r/c) d\mathbf{r}'$$

$$\mathbf{E} = -\nabla\Phi - \frac{\partial \mathbf{A}}{\partial t}$$

Thomson scattering length
(the classical electron radius)

$$r_0 = \frac{e^2}{4\pi\epsilon_0 mc^2}$$

$$= 2.82 \times 10^{-5} \text{ \AA}$$

$$\frac{\mathbf{E}(t)}{\mathbf{E}_{in}(t)} = -r_0 \frac{e^{i\mathbf{k} \cdot \mathbf{r}}}{r} \cos \psi$$

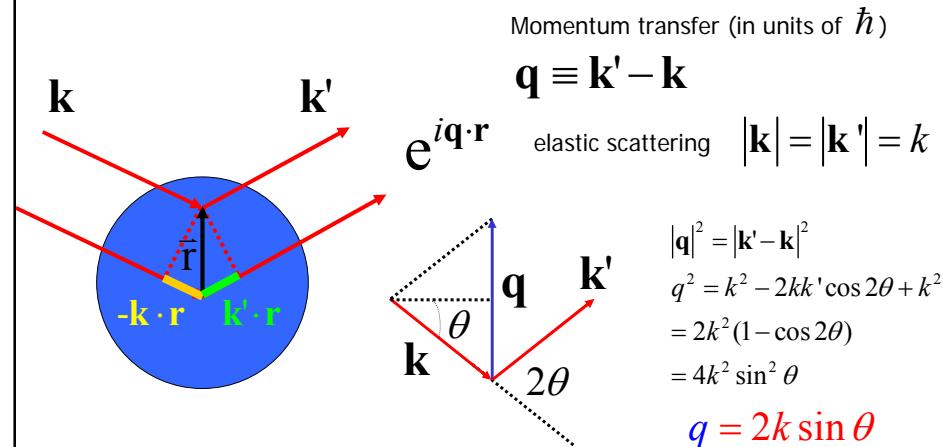
The scattering involves
a phase shift of π

$$\frac{d\sigma}{d\Omega} = r_0^2 \cos^2 \psi$$

$$\sigma_T = r_0^2 \int \cos^2 \psi d\Omega = \frac{8\pi}{3} r_0^2$$

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X-rays scattered by an atom



A volume element $d^3\mathbf{r}$ at \mathbf{r} will contribute an amount $-r_0\rho(\mathbf{r})d^3\mathbf{r}$ to the scattered field with a phase factor $e^{i\mathbf{q} \cdot \mathbf{r}}$.

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X-rays scattering

Scattering amplitude: $-r_0 \int \rho(\vec{r}) e^{i\vec{q} \cdot \vec{r}} d\vec{r} = -r_0 f^0(\vec{q})$

Atomic scattering factor $f^0(\vec{q}) \equiv \int \rho(\vec{r}) e^{i\vec{q} \cdot \vec{r}} d\vec{r}$

$q \rightarrow 0, \quad f^0(\vec{q}) = Z$ (the number of electrons in the atom)

All of the different volume elements scatter in phase; each electron contributes $-r_0$ to the scattered field.

f^0 is independent on photon energy $\hbar\omega$; it is the scattering amplitude in units of $-r_0$.

f^0 is the Fourier transform of the charge distribution.

Optical theorem

absorption cross-section

$$\sigma = -\frac{4\pi r_0}{k} f'' = -\frac{4\pi}{k} \text{Im}(f)$$

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How does the scattering amplitude f change if the photon energy approaches an absorption edge?

The forced charge oscillator: a classical model of an electron bound in an atom.

$$m\ddot{\mathbf{X}} = -e\mathbf{E} - \kappa\mathbf{X} - \Gamma' \dot{\mathbf{X}} \quad \text{damping force}$$

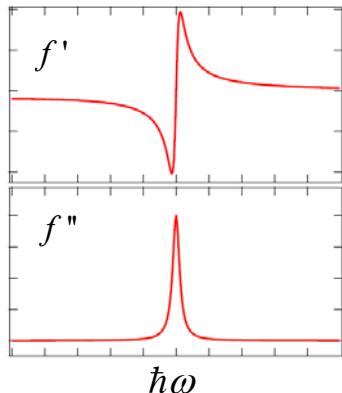
$$\ddot{x} + \Gamma \dot{x} + \omega_s^2 x = -\frac{eE_0}{m} e^{-i\omega t} \quad \omega_s \equiv \sqrt{\kappa/m}, \quad \Gamma \equiv \Gamma'/m$$

$$x = x_0 e^{-i\omega t}, \quad x_0 = -\frac{eE_0}{m} \frac{1}{(\omega_s^2 - \omega^2 - i\omega\Gamma)}$$

$$\mathbf{E}_{sca}(r, t) = -r_0 \frac{\omega^2}{(\omega^2 - \omega_s^2 + i\omega\Gamma)} \frac{e^{ikr}}{r} \mathbf{E}_{in}$$

Resonant scattering amplitude
in units of $-r_0$:

$$f_s = \frac{\omega^2}{(\omega^2 - \omega_s^2 + i\omega\Gamma)}$$



Resonant scattering

As the photon energy $\hbar\omega$ approaches the binding energy of one of the core-level electrons,

$$f_s(\mathbf{q}, \hbar\omega) = f^0(\mathbf{q}) + \underbrace{f'(\hbar\omega) + i f''(\hbar\omega)}_{\text{dispersion corrections}}$$

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1. Basics of x-ray scattering and absorption

2. Soft X-ray Absorption

Experimental Setup

Applications

- Chemical analysis
- Orbital polarization
- Magnetic Circular Dichroism

3. Resonant Soft X-ray Scattering

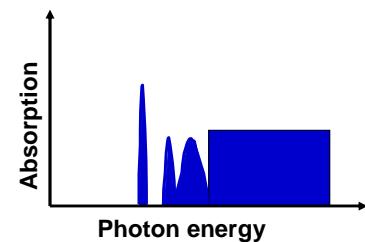
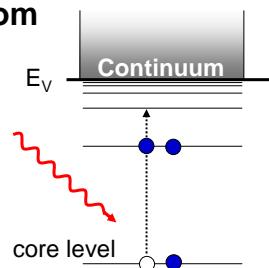
Basics

Examples

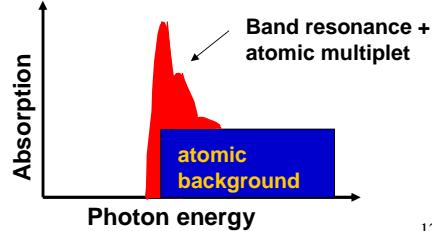
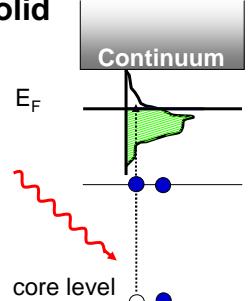
- Verwey transition of Fe_3O_4
- Charge-Orbital ordering and Quasi-2D magnetic ordering of $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

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Atom



Solid



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$$\text{Photo-absorption} \frac{d\sigma}{d\Omega} \propto \sum \left| \langle \Psi_f | \mathbf{A} \cdot \mathbf{P} | \Psi_i \rangle \right|^2 \cdot \delta(E_f - E_i - h\nu)$$

If $\mathbf{k} \cdot \mathbf{r} \ll 1$, **Dipole approximation:** $e^{i\mathbf{k} \cdot \mathbf{r}} \approx 1 + i\mathbf{k} \cdot \mathbf{r} \approx 1$

$$\mathbf{A} = \boldsymbol{\epsilon} e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)} \approx \boldsymbol{\epsilon} e^{-i\omega t}$$

↖ polarization of x-ray

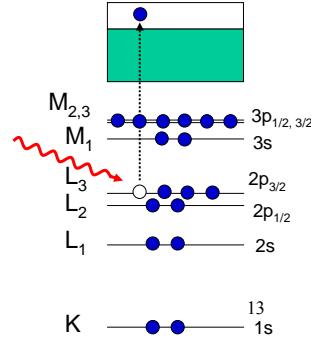
$$\frac{d\sigma}{d\Omega} \propto \sum \left| \langle f | \boldsymbol{\epsilon} \cdot \mathbf{P} | i \rangle \right|^2 \cdot \delta(E_f - E_i - h\nu) \propto \sum \left| \langle f | \boldsymbol{\epsilon} \cdot \mathbf{r} | i \rangle \right|^2 \cdot \delta(E_f - E_i - h\nu)$$

$$\because \langle f | \boldsymbol{\epsilon} \cdot \mathbf{P} | i \rangle \approx \frac{im}{\hbar} \boldsymbol{\epsilon} \cdot \langle f | [\hat{H}, \mathbf{r}] | i \rangle = \frac{im}{\hbar} (E_f - E_i) \boldsymbol{\epsilon} \cdot \langle f | \mathbf{r} | i \rangle = im\omega \langle f | \boldsymbol{\epsilon} \cdot \mathbf{r} | i \rangle$$

$$[\hat{H}, \mathbf{r}] = \frac{\hbar}{i} \frac{\mathbf{P}}{m}, \text{ if } [V(r), \mathbf{r}] = 0$$

$1s \rightarrow np$ K-edge XAS can be accurately described with single-particle methods.

$2p \rightarrow 3d$ L-edge XAS: the single-particle approximation breaks down and the pre-edge structure is affected by the core hole wave function. **The multiplet effect exists.**



Dipole transition

$$\text{Absorption probability: } W = \frac{2\pi}{\hbar} |M_{ij}|^2 \delta(\hbar\omega - E_f + E_i) \quad M_{ij} \propto \langle f | \boldsymbol{\epsilon} \cdot \hat{\mathbf{r}} | i \rangle$$

$$\boldsymbol{\epsilon} \cdot \hat{\mathbf{r}} = e_x \sin \theta \cos \phi + e_y \sin \theta \sin \phi + e_z \cos \theta$$

$$\hat{\mathbf{r}} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$$

$$\cos \theta = \sqrt{\frac{4\pi}{3}} Y_{1,0}(\theta, \phi) \quad \sin \theta \cdot e^{\pm i\phi} = \mp \sqrt{\frac{8\pi}{3}} Y_{1,\pm 1}(\theta, \phi)$$

$$\boldsymbol{\epsilon} \cdot \hat{\mathbf{r}} = \sqrt{\frac{4\pi}{3}} \left(\frac{-\epsilon_x + i\epsilon_y}{\sqrt{2}} Y_{1,1} + \frac{\epsilon_x + i\epsilon_y}{\sqrt{2}} Y_{1,-1} + \epsilon_z Y_{1,0} \right)$$

$$\text{Selection rule: } (\Delta m_l \equiv m_f - m_i) \quad \Delta m_l = 0$$

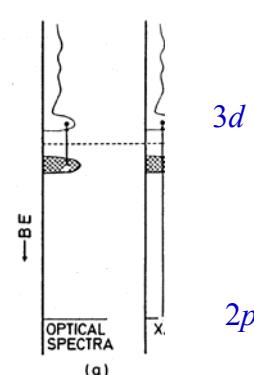
$$\Delta l \equiv l_f - l_i = \pm 1$$

L. circularly polarized

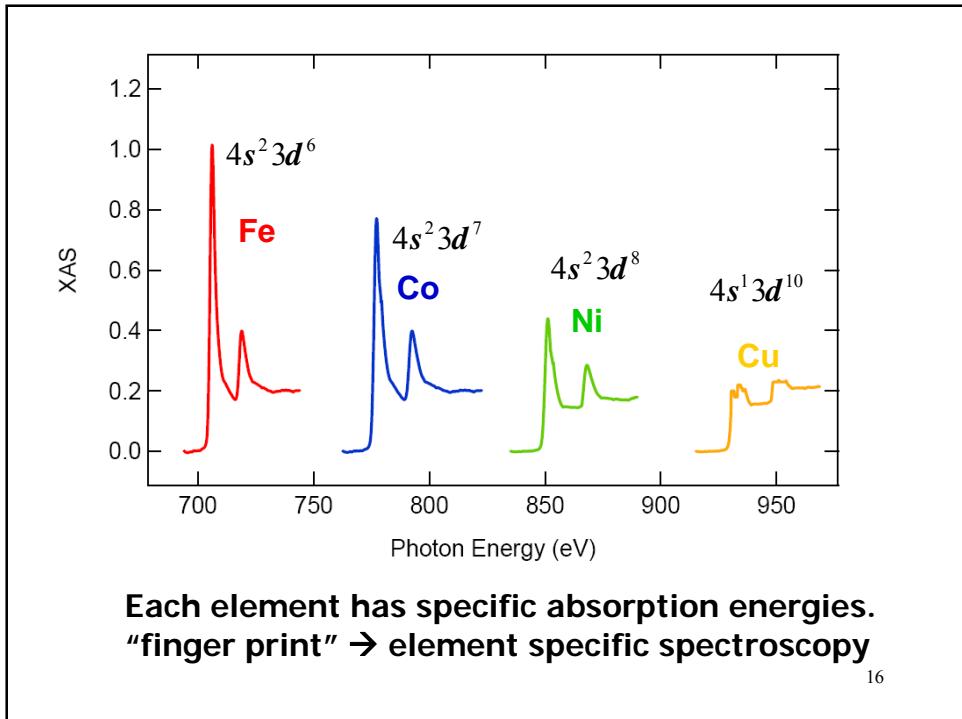
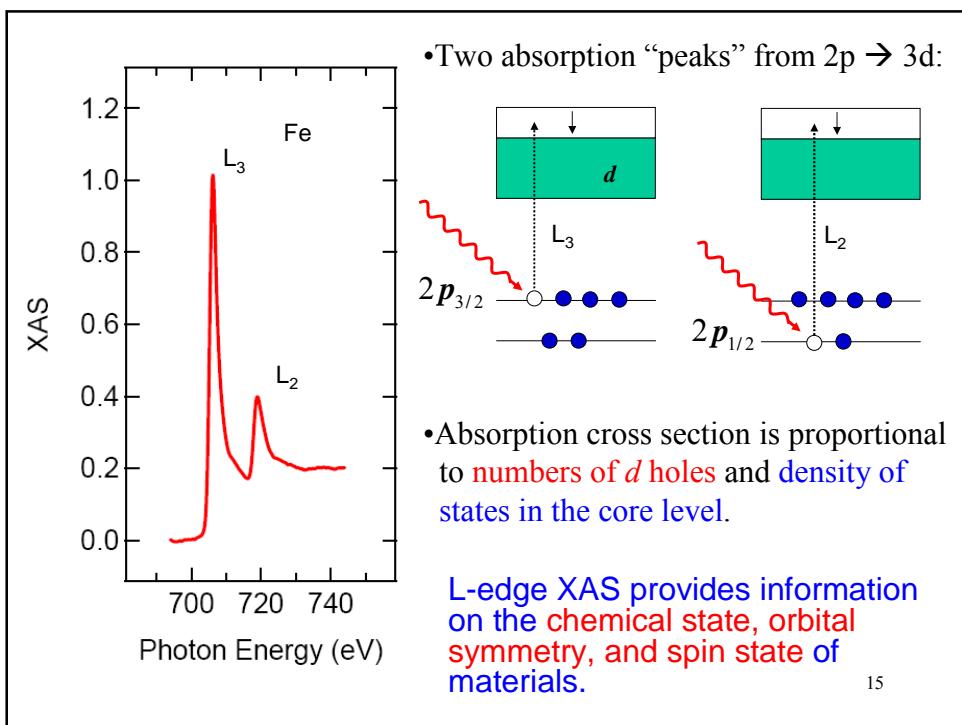
$$Y_{1,1}: \Delta m_l = +1$$

R. circularly polarized

$$Y_{1,-1}: \Delta m_l = -1$$



$$\text{For } 2p \rightarrow 3d: \quad M_{ij} \propto \langle 2p^5 3d^{n+1} | \boldsymbol{\epsilon} \cdot \mathbf{r} | 2p^6 3d^n \rangle$$



Soft X-ray Absorption and Resonant Scattering

1. Basics of x-ray scattering and absorption

2. Soft X-ray Absorption

Experimental Setup: how to measure XAS spectra?

Applications

- Chemical analysis
- Orbital polarization
- Magnetic Circular Dichroism

3. Resonant Soft X-ray Scattering

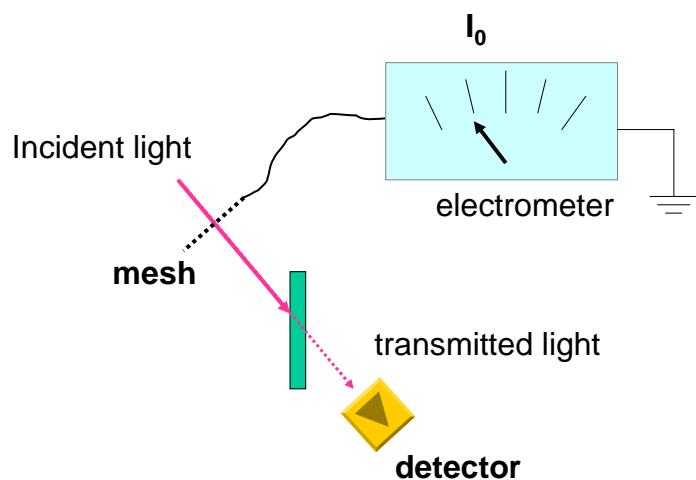
Basics

Examples

- Verwey transition of Fe_3O_4
- Charge-Orbital ordering and Quasi-2D magnetic ordering of $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

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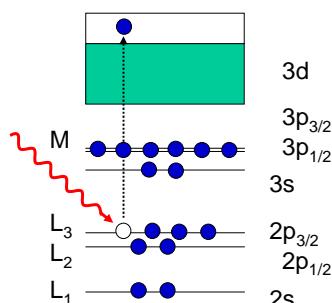
Measurement of Soft X-ray absorption



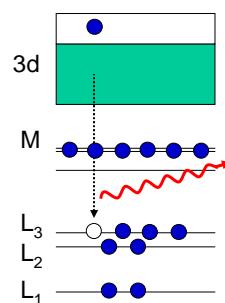
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Photoexcitation and Relaxation

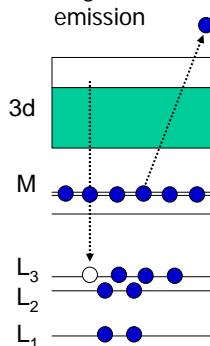
Photoelectric absorption



Fluorescent x-ray emission



Auger electron emission



$1s$

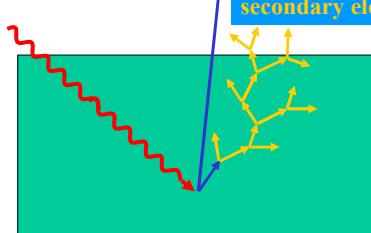
K

K

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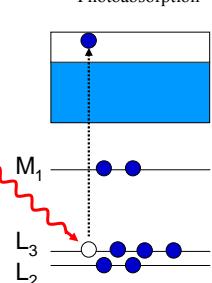
Photoelectrons or
Auger electrons

$h\nu$



Auger electrons

Photoabsorption



Intensity (log)

Kinetic energy

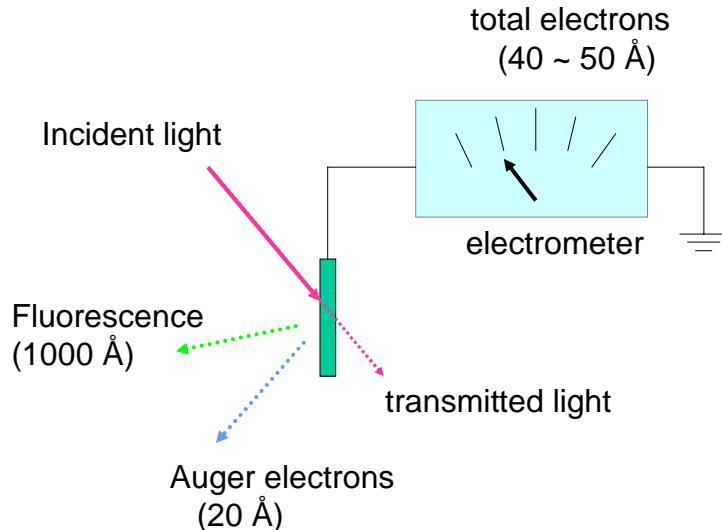
secondary electrons

Auger electrons

Photoelectrons

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Measurement of Soft X-ray absorption



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Soft X-ray Absorption and Resonant Scattering

1. Basics of x-ray scattering and absorption

2. Soft X-ray Absorption Experimental Setup

Applications

- Chemical analysis
- Orbital polarization
- Magnetic Circular Dichroism

3. Resonant Soft X-ray Scattering

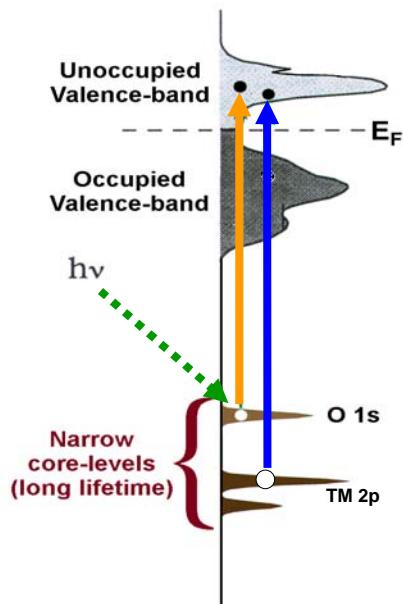
Basics

Examples

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In transition-metal oxides



soft x-ray absorption & scattering

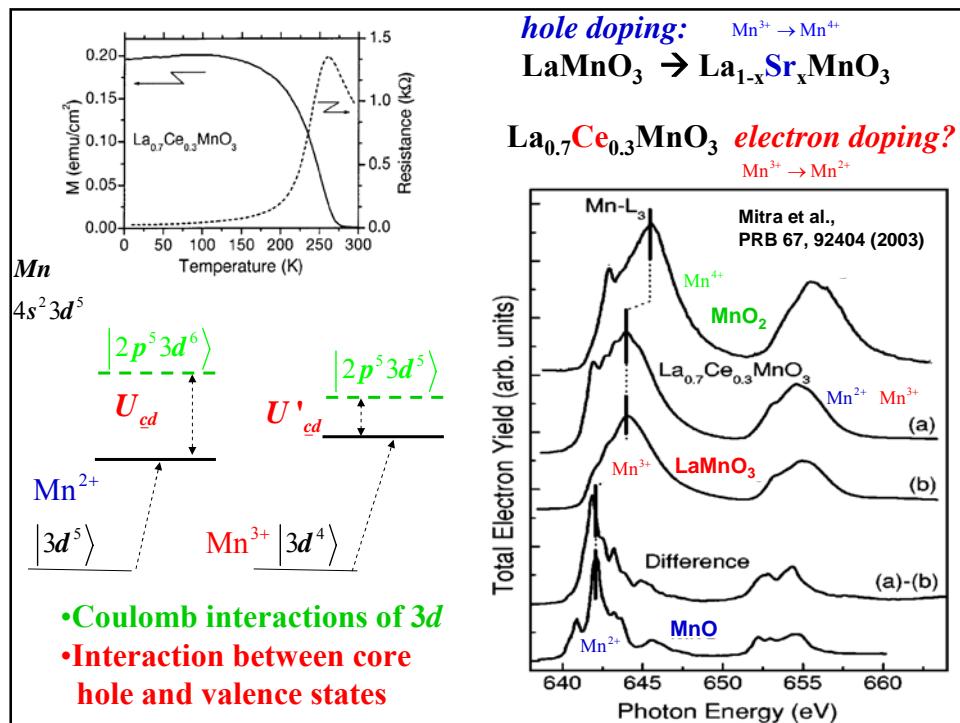
TM: $2p \rightarrow 3d$
O: $1s \rightarrow 2p$

direct, element-specific
probing of electronic
structure of TMO

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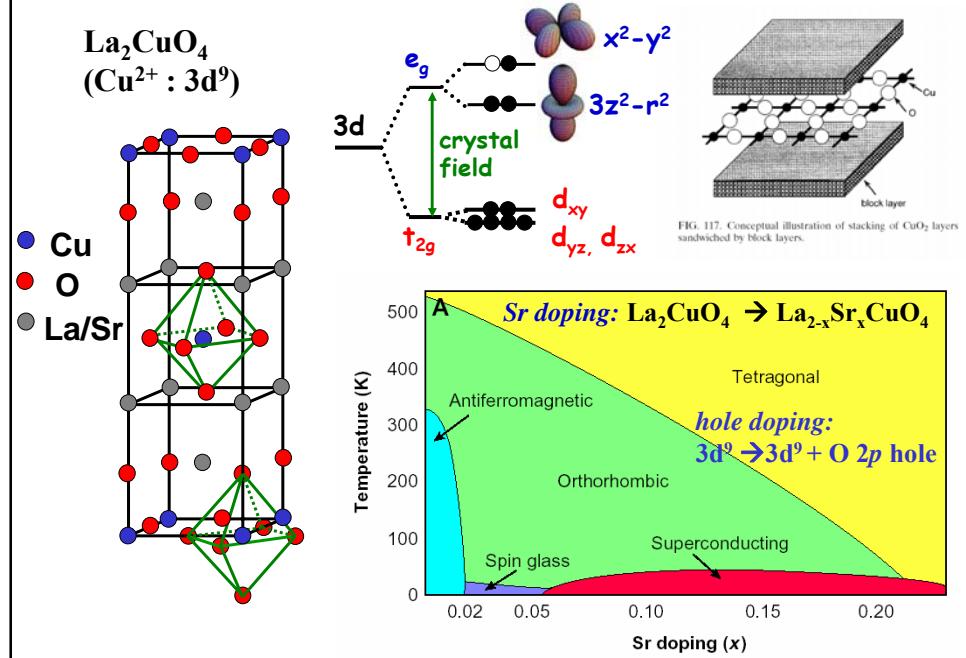
L-edge XAS provides information on
the **chemical state**, orbital symmetry,
and spin state of materials.

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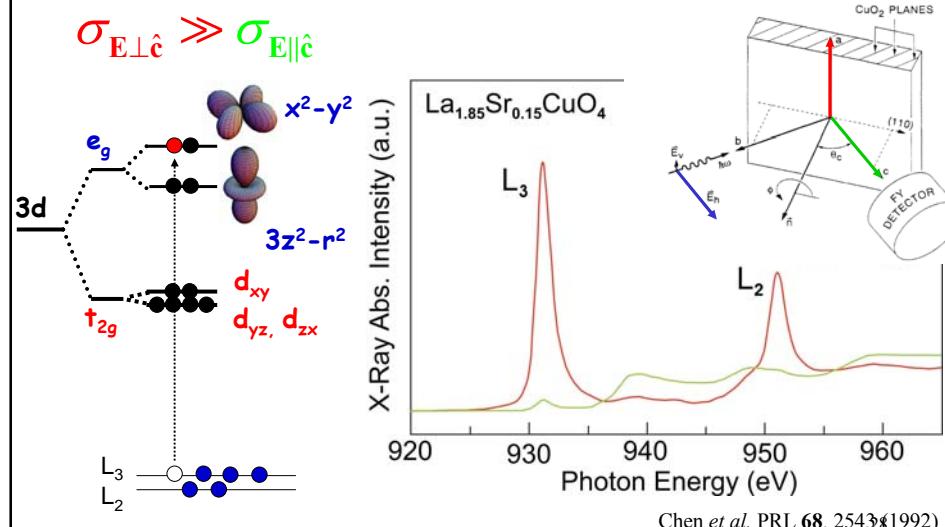


L-edge XAS provides information on the chemical state, orbital symmetry, and spin state of materials.

High Temperature Superconducting Cu oxides

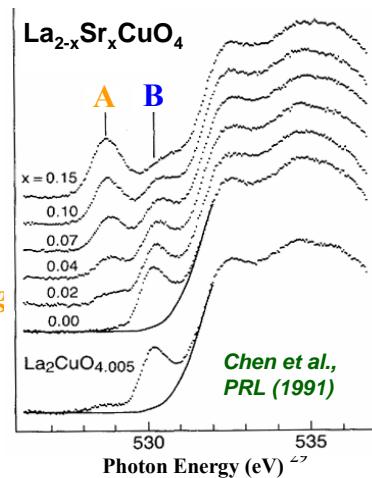
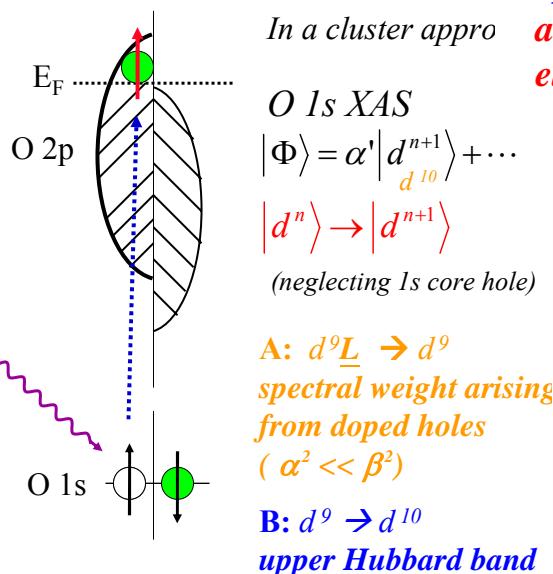


Orbital Characters of Doped Holes in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$



O 1s X-Ray Absorption on TMO

*Spectral weight transfer:
a fingerprint of strong
electron correlations*



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2. Soft X-ray Absorption

Experimental Setup

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- Orbital polarization
- Magnetic Circular Dichroism

3. Resonant Soft X-ray Scattering

Basics

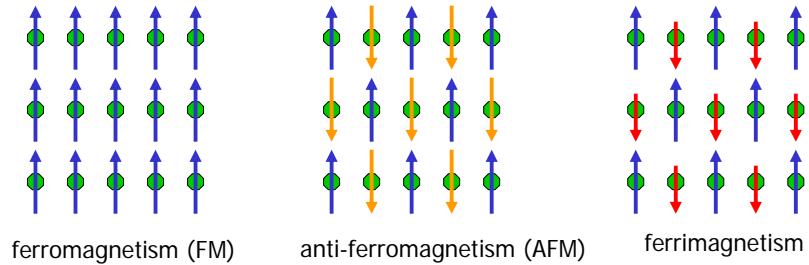
Examples

- Verwey transition of Fe_3O_4
- Charge-Orbital ordering and Quasi-2D magnetic ordering of $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

Magnetic materials:

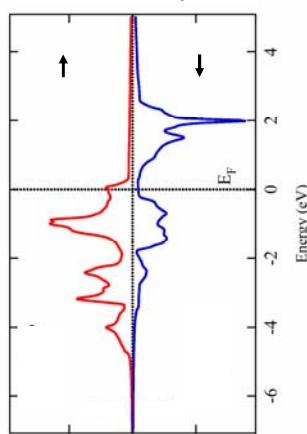
In some solids, individual ions have non vanishing average vector moments below a critical temperature. Such solids are called *magnetically ordered*.

If a solid exhibits a spontaneous magnetization, its ordered state is described as *ferromagnetic* or *ferrimagnetic*. A solid with magnetic ordering has no spontaneous called *antiferromagnetic*.



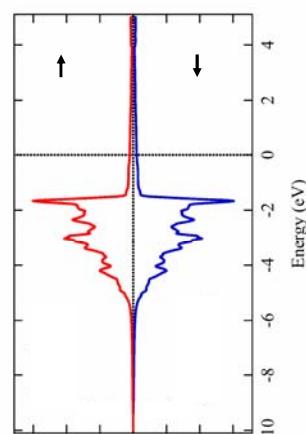
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Fe



Density of states

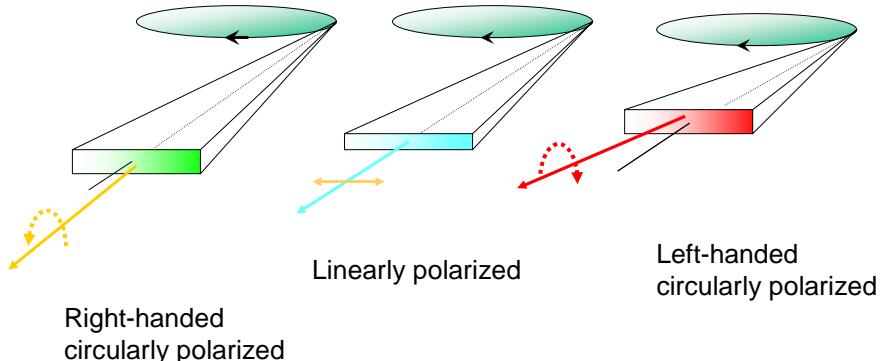
Cu



Density of states

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Polarization of Synchrotron Radiation



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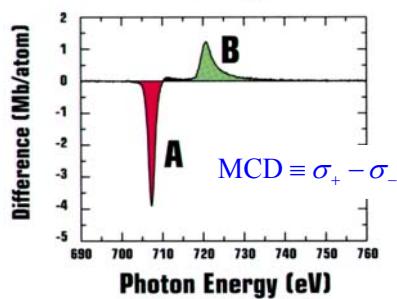
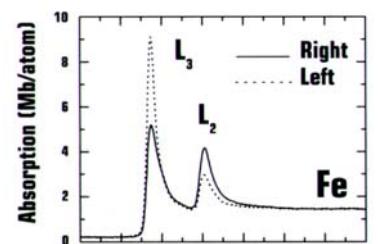
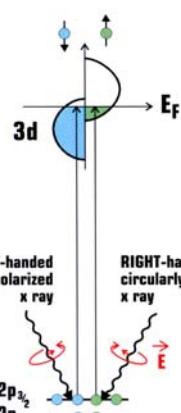
Soft X-Ray Magnetic Circular Dichroism in Absorption

For $2p_{3/2} \rightarrow 3d$

Right-handed circularly polarized light preferentially excites spin-down electrons.

Left-handed circularly polarized light preferentially excites spin-up electrons.

MCD is defined as the difference in absorption intensity of magnetic systems excited by left and right circularly polarized light.

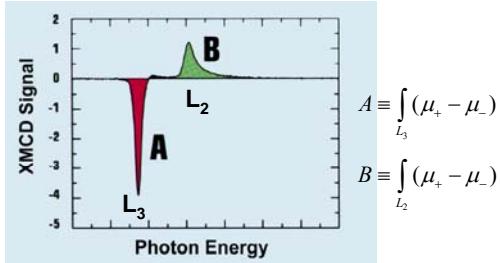


MCD sum rules

$$m_{orb} = - \frac{4 \int_{L_3+L_2} (\mu_+ - \mu_-) d\omega}{3 \int_{L_3+L_2} (\mu_+ + \mu_-) d\omega} \times (10 - n_{3d})$$

$$m_{spin} = - \frac{6 \int_{L_3} (\mu_+ - \mu_-) d\omega - 4 \int_{L_3+L_2} (\mu_+ - \mu_-) d\omega}{\int_{L_3+L_2} (\mu_+ + \mu_-) d\omega} \times (10 - n_{3d}) \times \left(1 + \frac{7 \langle T_z \rangle}{2 \langle S_z \rangle}\right)^{-1}$$

— Thole *et al.*, PRL 68, 1943 (1992)
 P. Carra *et al.*, PRL 70, 694 (1993)



- Orbital Magnetic Moment
 $m_{orb} \propto (A + B)$
- Spin Magnetic Moment
 $m_{spin} + m_B \propto (A - 2B)$

Experimental confirmation:
 Chen *et al.*, PRL 75, 152 (1995)

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Soft X-Ray Magnetic Circular Dichroism in Absorption

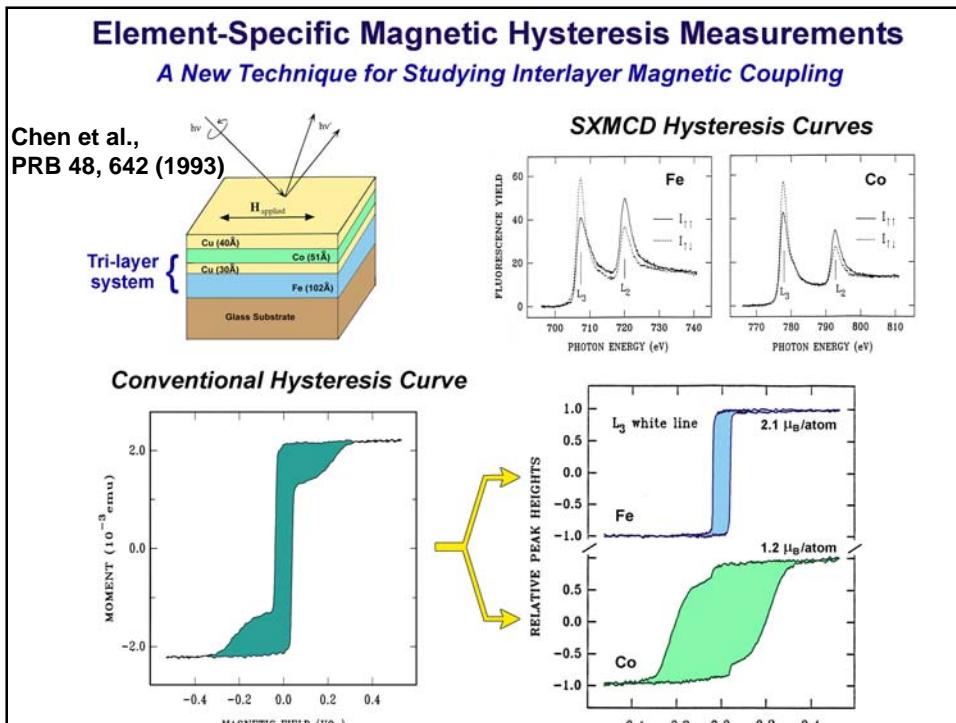
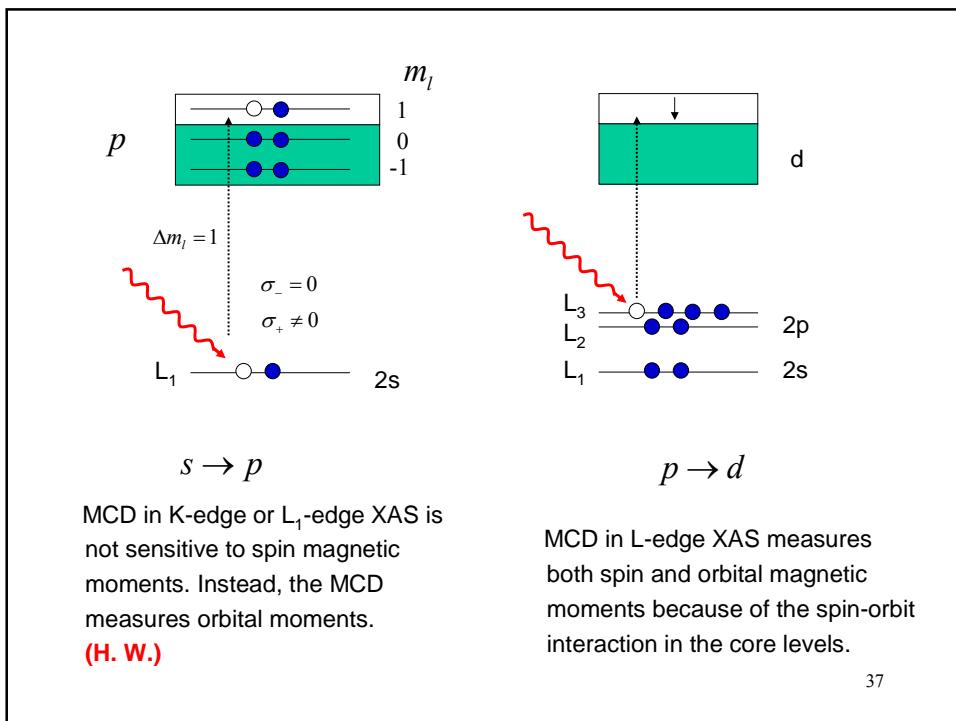
Soft X-ray MCD in absorption provides
 a unique means to probe:

element-specific magnetic hysteresis
 orbital and spin moments
 magnetic coupling.

There are two ways to obtain a MCD spectrum:

- 1) Fixing M, measure XAS with left and right circular lights.
- 2) Fixing the helicity of light, measure XAS with two opposite directions of M.

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Ferromagnetism in one-dimensional monatomic metal chains

P. Gambardella[†], A. Dalmeyer[†], K. Maiti[‡], M. C. Malagoli[‡],
W. Eberhardt^{†,§}, K. Kern[§] & C. Carbone^{†,||}

Nature 416, 301 (2001)

Two-dimensional systems, such as ultrathin epitaxial films and superlattices, display magnetic properties distinct from bulk materials¹. A challenging aim of current research in magnetism is to explore structures of still lower dimensionality^{2–6}. As the dimensionality of a physical system is reduced, magnetic ordering tends to decrease as fluctuations become relatively more important⁷. Spin lattice models predict that an infinite one-dimensional linear chain with short-range magnetic interactions spontaneously breaks up into segments with different orientation of the magnetization, thereby prohibiting long-range ferromagnetic order at a finite temperature^{8–9}. These models, however, do not take into account kinetic barriers to reaching equilibrium or interactions with the substrates that support the one-dimensional nanostructures. Here we demonstrate the existence of both short- and long-range ferromagnetic order for one-dimensional monatomic chains of Co constructed on a Pt substrate. We find evidence that the monatomic chains consist of thermally fluctuating segments of ferromagnetically coupled atoms which, below a threshold temperature, evolve into a ferromagnetic long-range-ordered state owing to the presence of anisotropy barriers. The Co chains are characterized by large localized orbital moments and correspondingly large magnetic anisotropy energies compared to two-dimensional films and bulk Co.

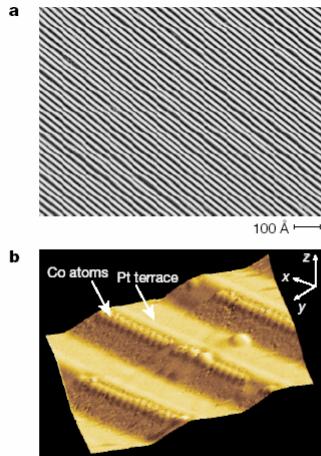


Figure 1 STM topographs of the Pt(997) surface. **a**, Periodic step structure (each white line represents a single step). The surface has a 6.45° miscut angle relative to the (111) direction; repulsive step interactions result in a narrow terrace width distribution centred at 20.2 Å with 2.9 Å standard deviation. **b**, Co monatomic chains decorating the Pt step edges (the vertical dimension is enhanced for better contrast). The monatomic chains are obtained by evaporating 0.13 monolayers of Co onto the substrate held at $T = 260$ K and previously cleaned by ion sputtering and annealing cycles in ultrahigh vacuum (UHV). The chains are linearly aligned and have a spacing equal to the terrace width.

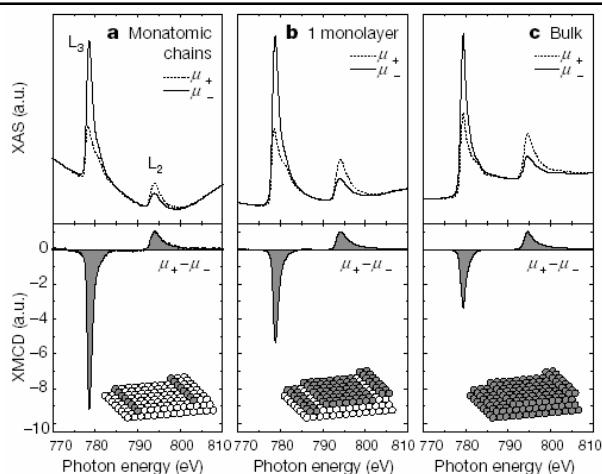


Figure 2 Co X-ray absorption spectra for parallel (μ_+) and antiparallel (μ_-) direction of light polarization and field-induced magnetization. The dichroism signal ($\mu_+ - \mu_-$) is obtained by subtraction of the absorption spectra in each panel and normalization to the L_2 peak. **a**, Monatomic chains; **b**, one monolayer; **c**, thick Co film on Pt(997). The sample was mounted onto a UHV variable-temperature insert that could be rotated with respect to the direction of the external magnetic field applied parallel to the incident photon beam. Spectra were recorded in the electron-yield mode at $T = 10$ K and

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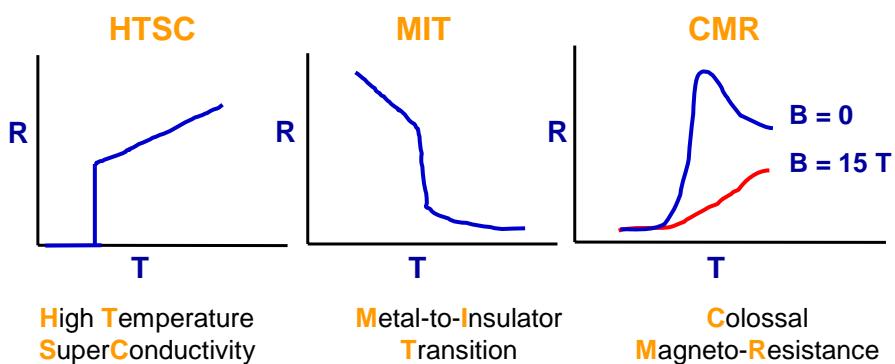
Soft X-ray Absorption and Resonant Scattering

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 - Verwey transition of Fe_3O_4
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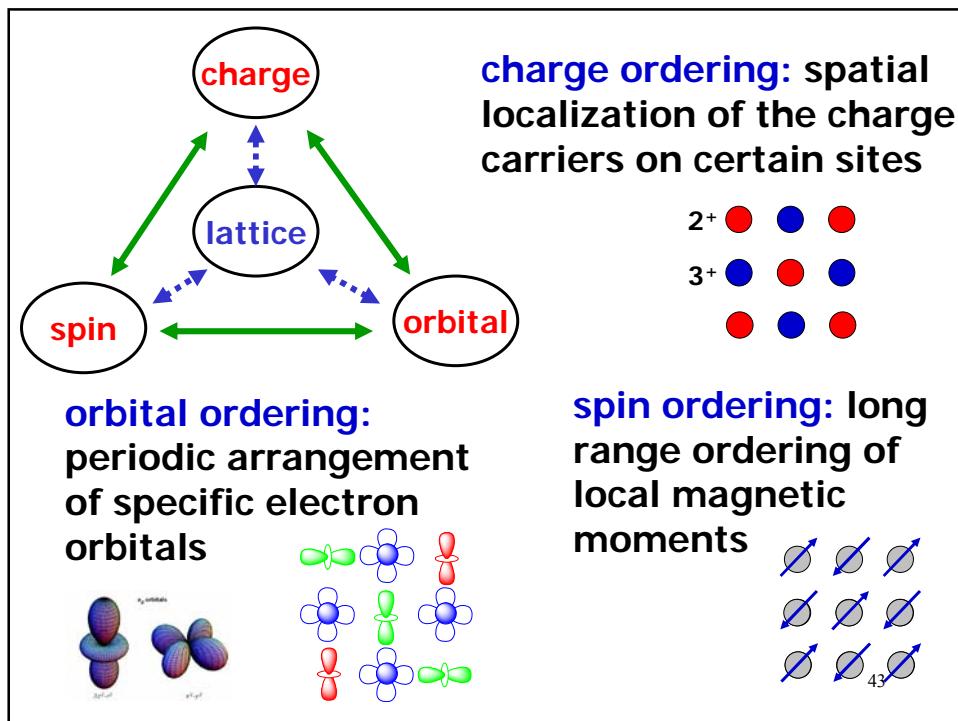
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Correlated-Electron Materials

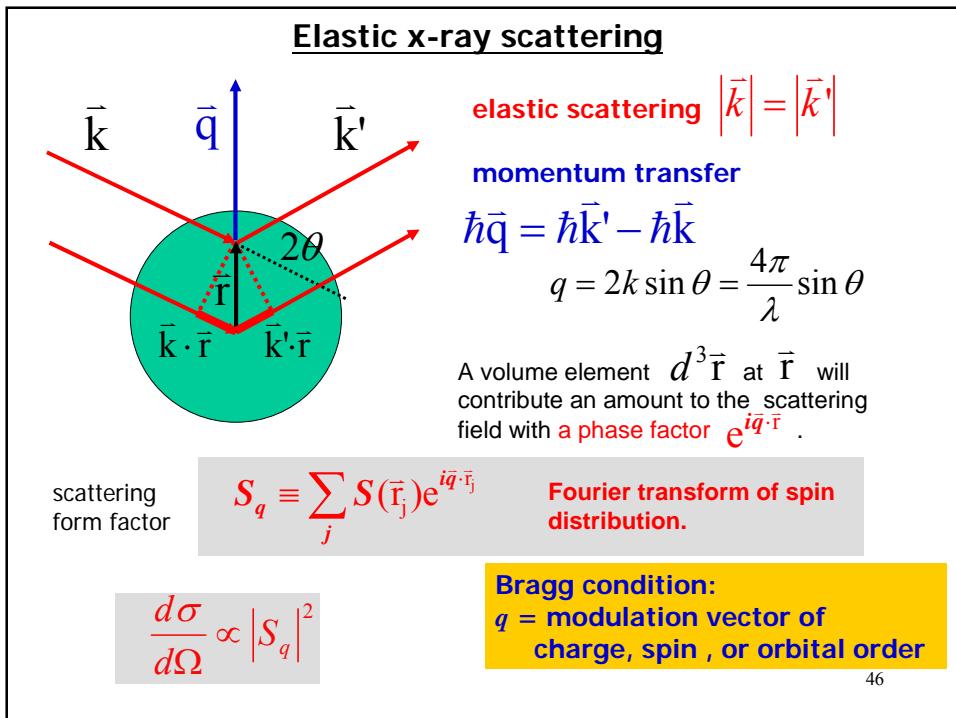
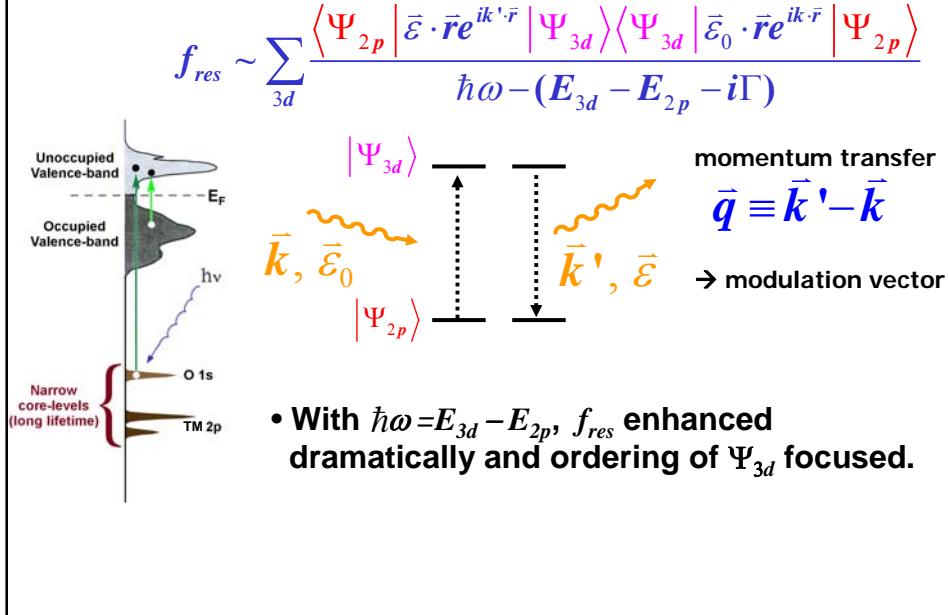
- Variety of fascinating macroscopic phenomena
- Tunable electronic and magnetic properties



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Advantage of Resonant Soft X-ray Scattering



X-ray magnetic scattering

kinetic energy

$$H_{\text{int}} = \underbrace{\frac{e^2}{2mc^2} \sum_j A(\mathbf{r}_j)^2 + \frac{e}{mc} \sum_j \mathbf{A} \cdot \mathbf{P}_j}_{\text{Non-resonant}} - \underbrace{\frac{e\hbar}{2mc} \sum_j \mathbf{s}_j \cdot \nabla \times \mathbf{A}}_{\mathbf{m} \cdot \mathbf{B}} - \underbrace{\frac{e^2 \hbar}{2(mc^2)^2} \sum_j \mathbf{s}_j \cdot (\frac{\partial \mathbf{A}}{\partial t} \times \mathbf{A})}_{\text{SO}}$$

Blume, J. Appl. Phys. (1985)

Resonant

$$\sigma = \frac{2\pi}{\hbar} |\langle f | H_{\text{int}} | i \rangle|^2 = \frac{2\pi}{\hbar} \left(\frac{2\pi\hbar c^2}{V\omega} \frac{e^2}{mc^2} \right)^2 \left| \langle f | \sum_j e^{iq \cdot r_j} | i \rangle \varepsilon' \cdot \varepsilon - i \frac{\hbar\omega}{mc^2} \langle f | \sum_j e^{iq \cdot r_j} s_j \cdot \varepsilon' \times \varepsilon \rangle \right|^2$$

$$\frac{f_{\text{mag}}}{f_{\text{charge}}} \sim \frac{\hbar\omega}{mc^2} \sim 10^{-3} \quad \frac{\sigma_{\text{mag}}}{\sigma_e} \sim 10^{-6}$$

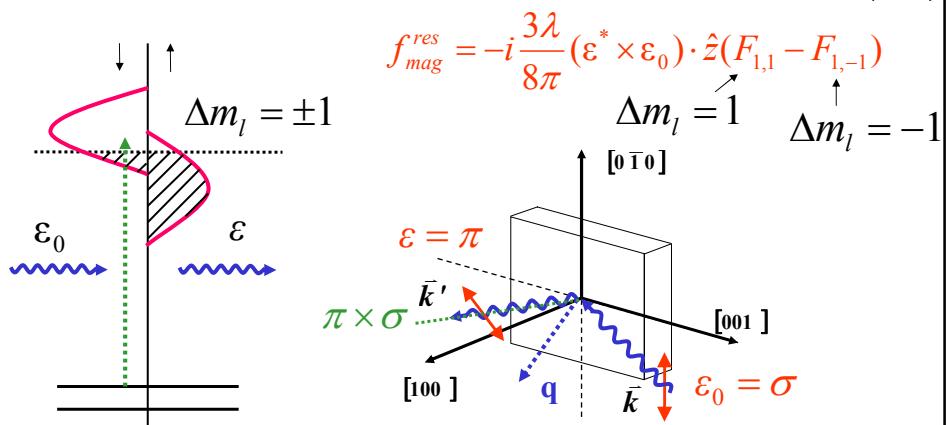
$$\sigma = \frac{2\pi}{\hbar} \left| \sum_n \frac{\langle f | H_{\text{int}} | n \rangle \langle n | H_{\text{int}} | i \rangle}{E_i + \hbar\omega - E_n} \right|^2 \delta(E_i - E_f) \quad \text{for } \hbar\omega \sim 600 \text{ eV}$$

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Resonant X-ray magnetic scattering

electric dipole transitions

Hannon et al., PRL(1988)



**As a result of spin-orbit and exchange interactions,
magnetic ordering manifests itself in resonant scattering.**

Resonant Soft X-ray Scattering

Charge-orbital ordering in **manganite** and **magnetite**

- Wilkins et al., PRL (2003)
- Thomas et al., PRL (2004)
- Dhesi et al., PRL (2004)
- Huang et al., PRL (2006)

.....

CDW or charge stripes in **cuprate** and **nickelate**

- Abbamonte et al., Nature (2004)
- Abbamonte et al., Nature Physics (2005)
- Schüßler-Langeheine et al., PRL (2005)

.....

Antiferromagnetic ordering of **manganite**

- Wilkins et al., PRL (2003)
- Okamoto et al., PRL(2007)

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Soft X-ray Absorption and Resonant Scattering

1. Basics of x-ray scattering and absorption

2. Soft X-ray Absorption

Experimental Setup

Applications

- Chemical analysis
- Orbital polarization
- Magnetic Circular Dichroism

3. Resonant Soft X-ray Scattering

Basics

Examples

- Verwey transition of Fe_3O_4
- Charge-Orbital ordering and Quasi-2D magnetic ordering AFM of $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

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The Verwey transition of magnetite (Fe_3O_4)

➤ Inverted spinel structure (cubic)

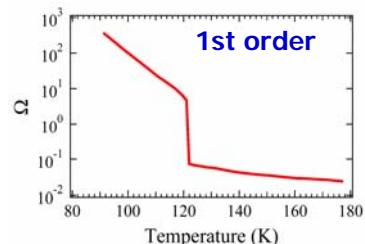
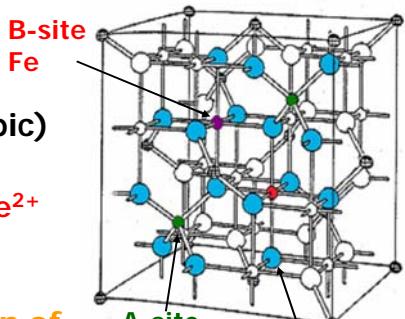
1/3: tetrahedral (A-site) Fe^{3+}

2/3: octahedral (B-site) Fe^{3+} , Fe^{2+}

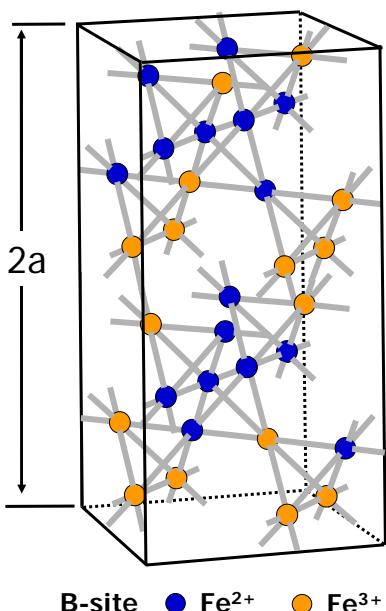
➤ Verwey model:

charge order-disorder transition of B-site Fe^{3+} & Fe^{2+} with $T_V \sim 120$ K

Fe_3O_4 is believed to be a classic example of charge ordering.

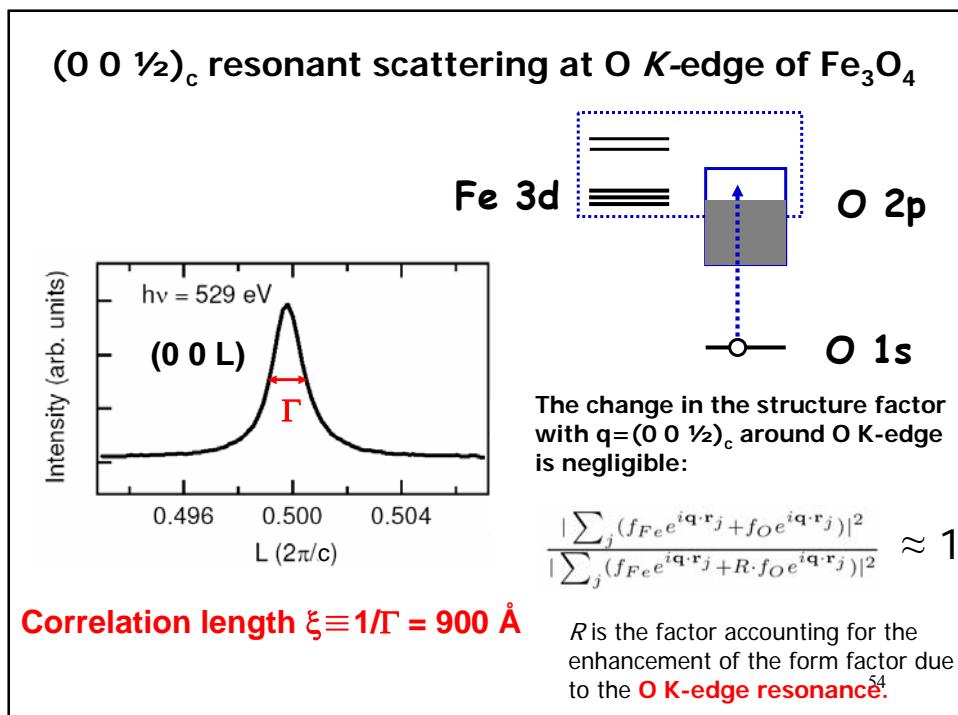
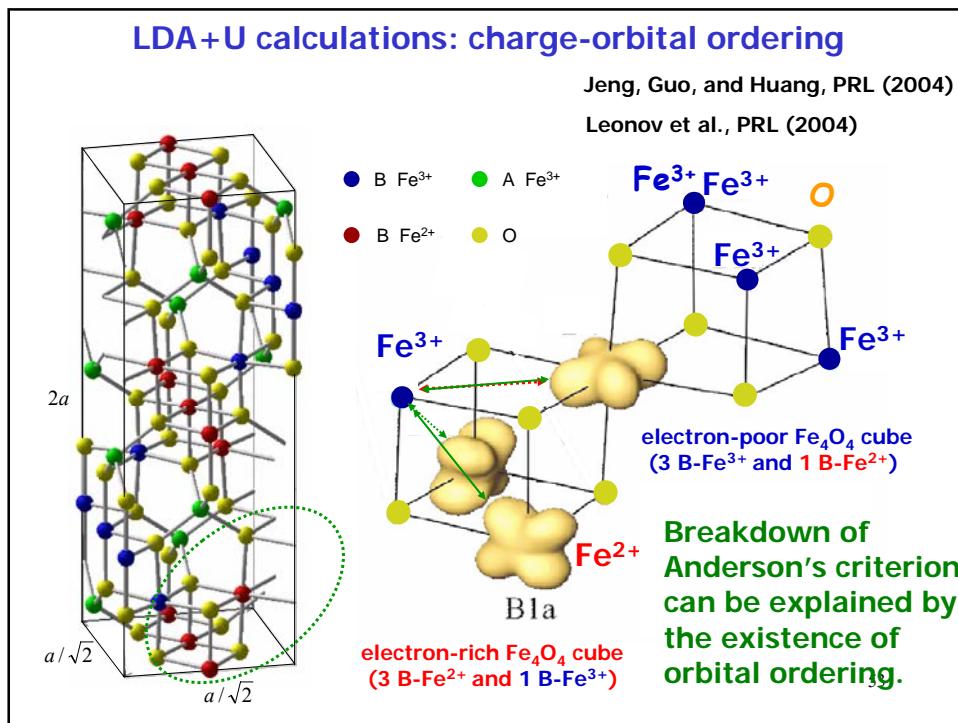


Refinement of x-ray and neutron diffraction

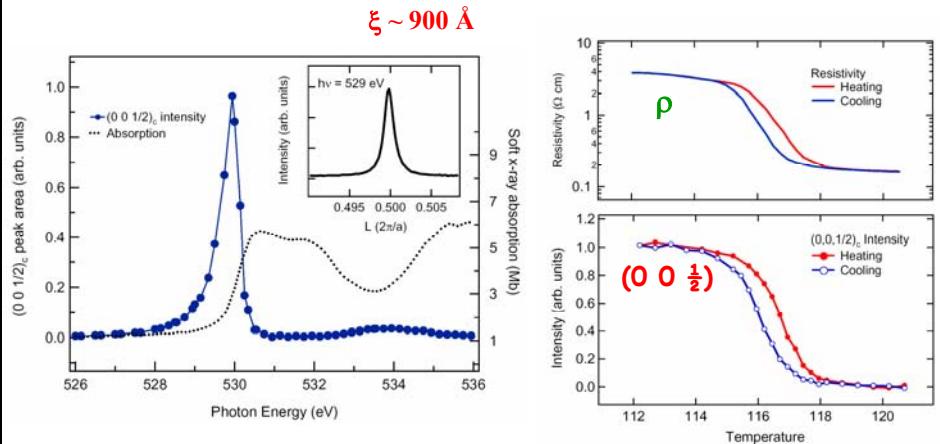


- Charge ordering deduced from the Fe-O distance.
- Valence of B-site Fe: 2.4 & 2.6 (rather than 2 & 3)
- $(0\ 0\ 1)_c$ and $(0\ 0\ 1/2)_c$ charge modulation along the c-axis

Wright, Attfield, and Radaelli,
PRL (2001), PRB (2002)



(0 0 ½)c resonant scattering at O K-edge of Fe₃O₄



Evidence for the ordering of electronic states associated with the Verwey transition.

Huang et al., PRL (2004)

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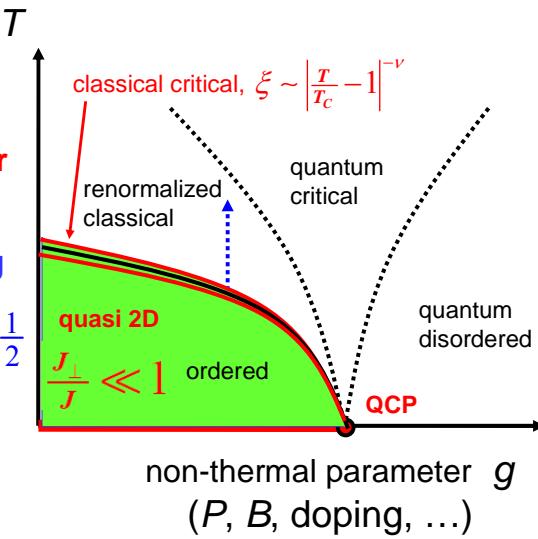
Low-dimensional quantum magnetism

Mermin-Wagner (1966):
Pure 2D magnetic order
only exists at $T=0$.

2D quantum Heisenberg
antiferromagnet on a
square lattice with $S = \frac{1}{2}$
spin correlation

$$\xi(T) \propto e^{2\pi\rho_S/k_B T}$$

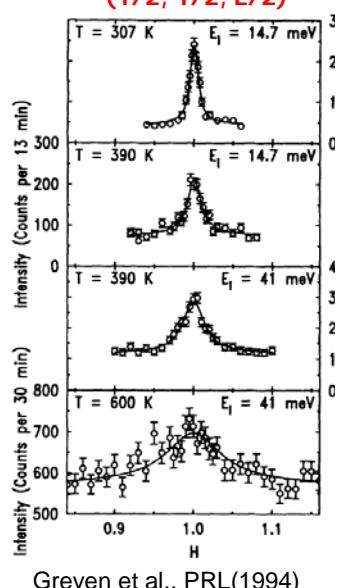
Chakravarty et al.,
PRL 60, 1057 (1988)



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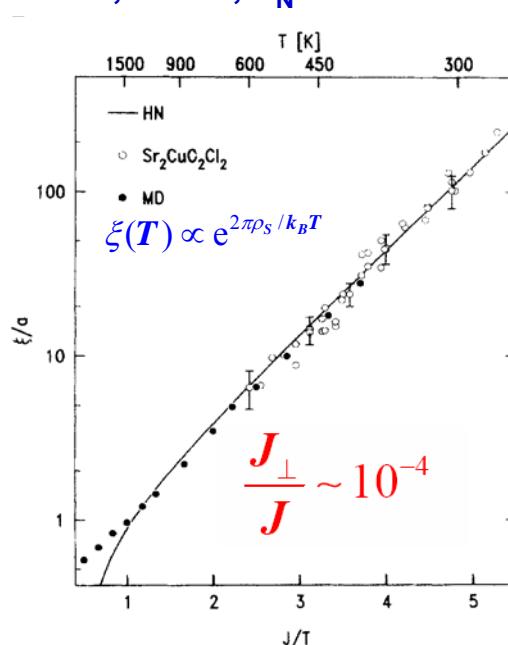
$\text{Sr}_2\text{CuO}_2\text{Cl}_2$

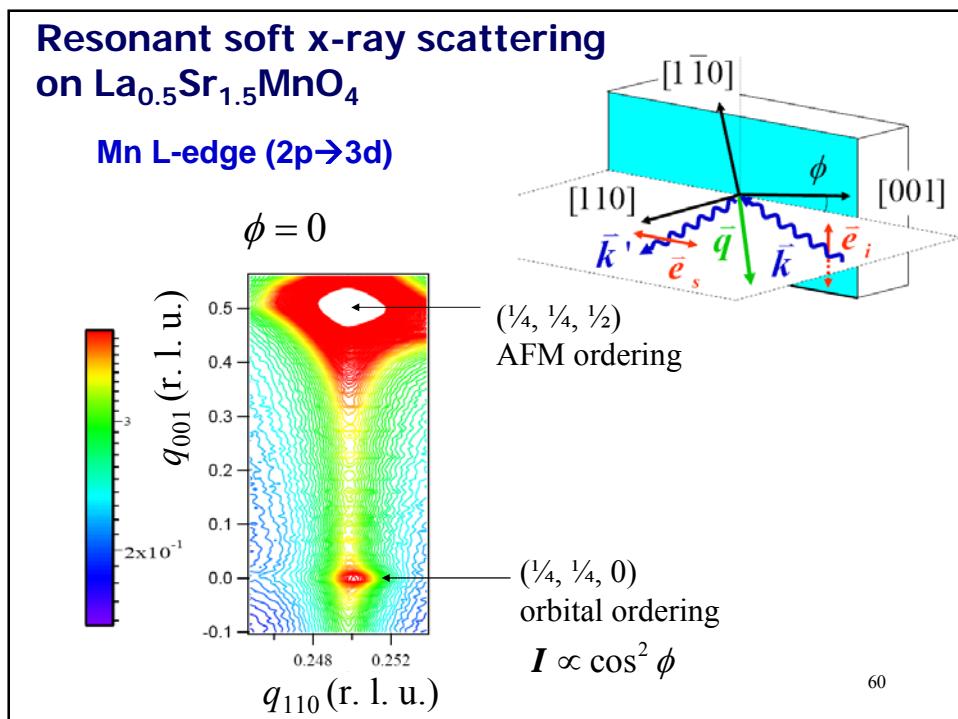
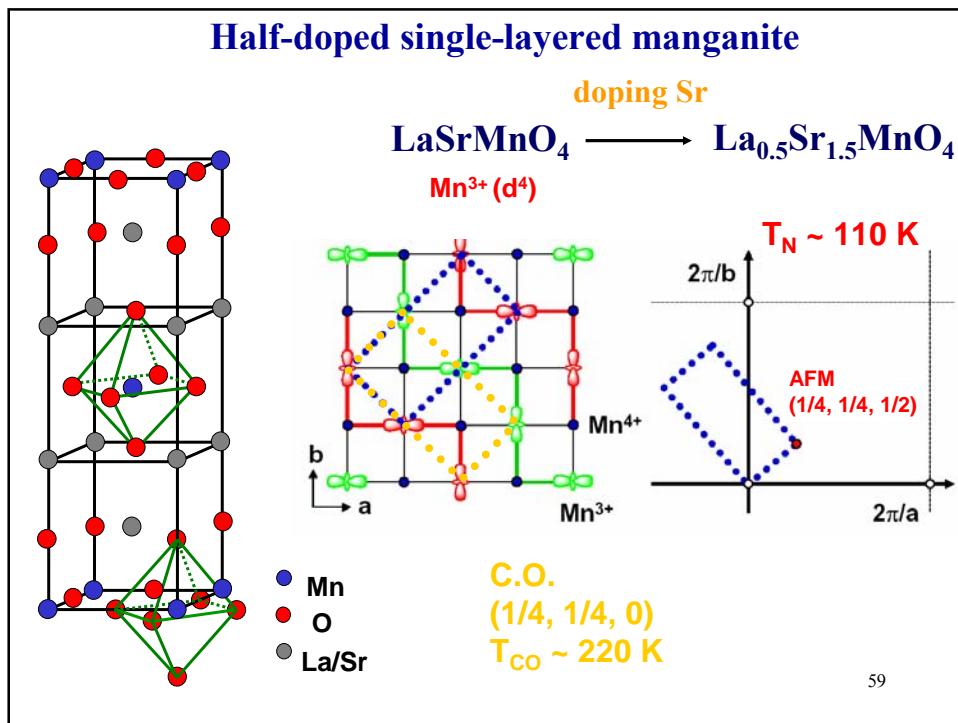
(1/2, 1/2, L/2)

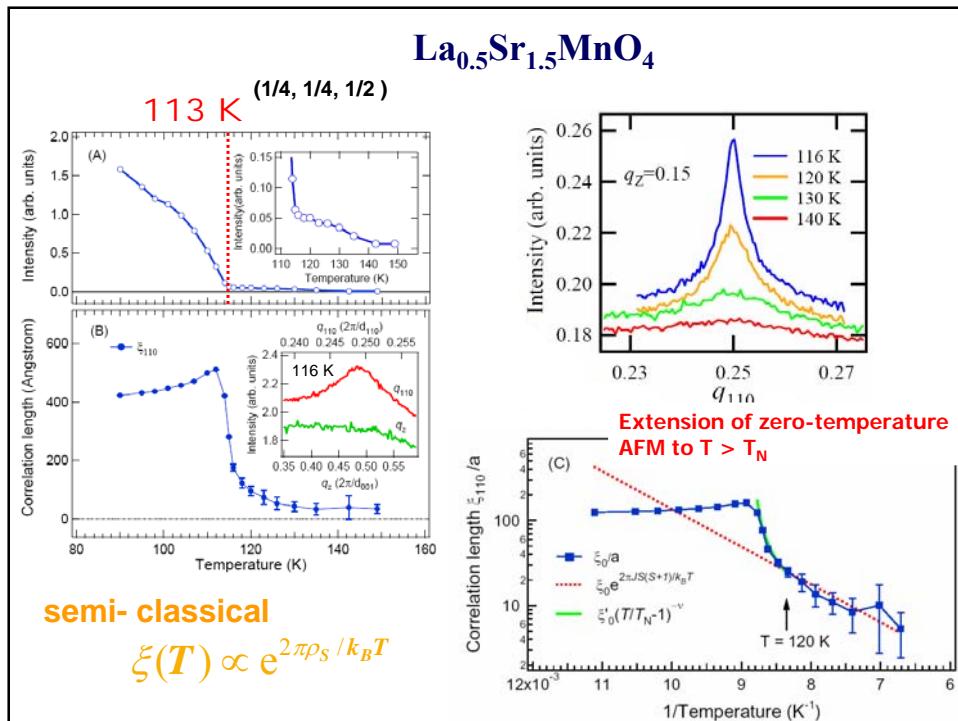
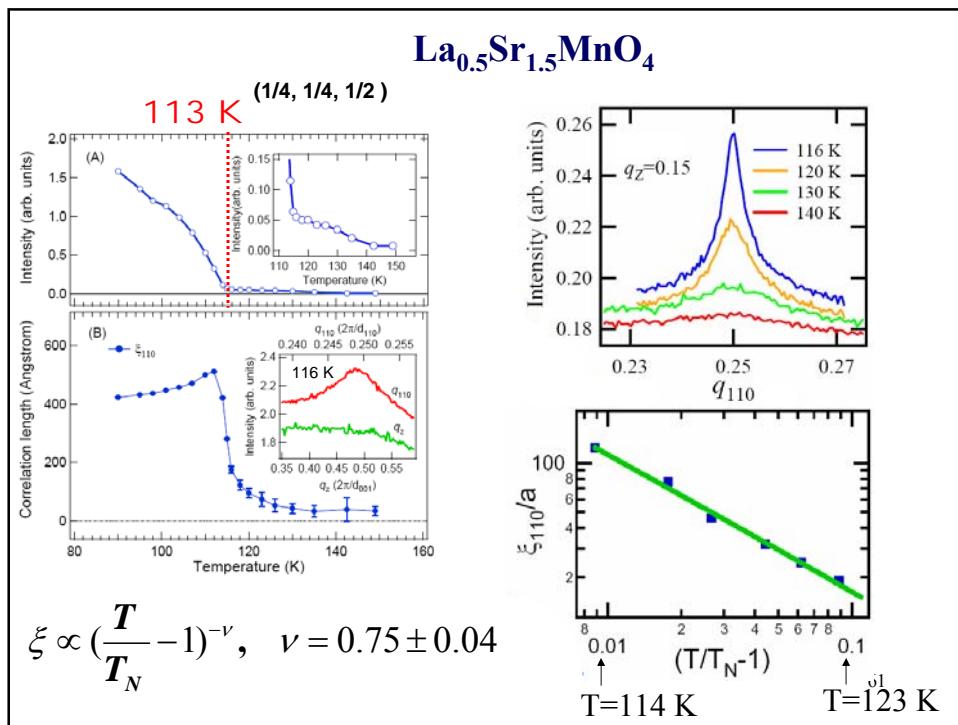


Greven et al., PRL(1994)

$\text{Cu}^{2+}, S=1/2, T_N=256 \text{ K}$





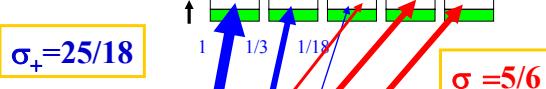


Appendix: Basic of Magnetic Circular Dichroism in X-ray Absorption

Considering L-edge $2p_{3/2} \rightarrow 3d$ absorption, and ignoring the spin-orbit interaction in the 3d bands,

$$\sigma \propto |\langle l, m_l | \mathbf{\epsilon} \cdot \hat{r} | j, m_j \rangle|^2$$

3d



2p_{3/2}

L_3

$$m_j = \frac{3}{2}, \frac{1}{2}, -\frac{1}{2}, -\frac{3}{2}$$

For left-handed circular light

$$\sigma_+ \propto R(r)^2 \cdot \left| \left\langle Y_{lm} \left| \frac{\mathbf{\epsilon}_x - i\mathbf{\epsilon}_y}{\sqrt{2}} Y_{l,-1} \right| j, m_j \right\rangle \right|^2$$

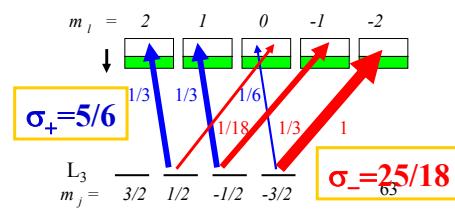
radial part

Left-handed circularly polarized light preferentially excites spin-up electrons.

For right-handed circular light

$$\sigma_- \propto R(r)^2 \cdot \left| \left\langle Y_{lm} \left| \frac{\mathbf{\epsilon}_x + i\mathbf{\epsilon}_y}{\sqrt{2}} Y_{l,+1} \right| j, m_j \right\rangle \right|^2$$

Right-handed circularly polarized light preferentially excites spin-down electrons.



$$\sigma \propto |\langle l, m_l | \mathbf{\epsilon} \cdot \hat{r} | j, m_j \rangle|^2$$

$$\hat{r} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$$

$$\mathbf{\epsilon} \cdot \hat{r} = e_x \sin \theta \cos \phi + e_y \sin \theta \sin \phi + e_z \cos \theta$$

$$\cos \theta = \sqrt{\frac{4\pi}{3}} Y_{1,0}(\theta, \phi) \quad \sin \theta \cdot e^{\pm i\phi} = \mp \sqrt{\frac{8\pi}{3}} Y_{1,\pm 1}(\theta, \phi)$$

$$\mathbf{\epsilon} \cdot \hat{r} = \sqrt{\frac{4\pi}{3}} \left(\frac{-\mathbf{\epsilon}_x + i\mathbf{\epsilon}_y}{\sqrt{2}} Y_{1,1} + \frac{\mathbf{\epsilon}_x + i\mathbf{\epsilon}_y}{\sqrt{2}} Y_{1,-1} + \mathbf{\epsilon}_z Y_{1,0} \right)$$

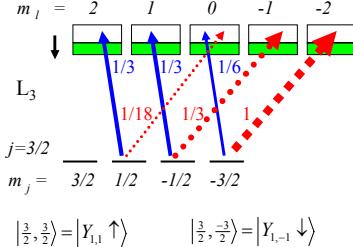
$$\sigma_{\pm} \propto R(r)^2 \cdot \left| \left\langle Y_{lm} \left| \frac{\mathbf{\epsilon}_x \mp i\mathbf{\epsilon}_y}{\sqrt{2}} Y_{l,\pm 1} \right| j, m_j \right\rangle \right|^2$$

$$\sigma_+ \propto \left| \left\langle Y_{2,2} \left| \frac{\mathbf{\epsilon}_x - i\mathbf{\epsilon}_y}{\sqrt{2}} Y_{1,1} \right| j = \frac{3}{2}, m_j = \frac{1}{2} \right\rangle \right|^2 = \frac{1}{3}$$

$$\therefore \left\langle Y_{2,2} \left| Y_{1,1} \right| j = \frac{3}{2}, m_j = \frac{1}{2} \right\rangle = \sqrt{\frac{1}{3}} \left\langle Y_{2,2} \left| Y_{1,1} \right| Y_{1,1} \right\rangle = \sqrt{\frac{1}{3}}$$

$$\sigma_- \propto \left| \left\langle Y_{2,0} \left| \frac{\mathbf{\epsilon}_x + i\mathbf{\epsilon}_y}{\sqrt{2}} Y_{1,-1} \right| j = \frac{3}{2}, m_j = \frac{1}{2} \right\rangle \right|^2 = \frac{1}{18}$$

$$\therefore \left\langle Y_{2,0} \left| Y_{1,-1} \right| j = \frac{3}{2}, m_j = \frac{1}{2} \right\rangle = \sqrt{\frac{1}{3}} \left\langle Y_{2,0} \left| Y_{1,-1} \right| Y_{1,1} \right\rangle = \sqrt{\frac{1}{18}}$$



$$\left| \frac{1}{2}, \frac{3}{2} \right\rangle = \left| Y_{1,1} \uparrow \right\rangle$$

$$\left| \frac{3}{2}, \frac{-3}{2} \right\rangle = \left| Y_{1,-1} \downarrow \right\rangle$$

$$\left| \frac{1}{2}, \frac{1}{2} \right\rangle = \sqrt{\frac{1}{3}} \left| Y_{1,0} \uparrow \right\rangle + \sqrt{\frac{1}{3}} \left| Y_{1,0} \downarrow \right\rangle$$

$$\left| \frac{3}{2}, \frac{-1}{2} \right\rangle = \sqrt{\frac{1}{3}} \left| Y_{1,-1} \uparrow \right\rangle + \sqrt{\frac{2}{3}} \left| Y_{1,0} \downarrow \right\rangle$$

Clesbsch-Gordan coefficients

$$Y_{lm} = \sum_{m_1 m_2} (l_1 m_1 l_2 m_2 | l, m) Y_{l_1 m_1} Y_{l_2 m_2}$$

$$Y_{l_1 m_1} Y_{l_2 m_2} = \sum_{l=|l_1-l_2|}^{l_1+l_2} (l_1 m_1 l_2 m_2 | lm) Y_{lm}$$

$$Y_{1,1} Y_{1,1} = Y_{2,2} \quad Y_{1,-1} Y_{1,1} = \sqrt{\frac{1}{6}} Y_{642,0} + \dots$$

| | |
|---|--|
| $\sigma \propto \langle l, m_l \boldsymbol{\epsilon} \cdot \hat{r} j, m_j \rangle ^2$ $\boldsymbol{\epsilon} \cdot \hat{r} = \sqrt{\frac{4\pi}{3}} \left(\frac{-\epsilon_x + i\epsilon_y}{\sqrt{2}} Y_{1,1} + \frac{\epsilon_x + i\epsilon_y}{\sqrt{2}} Y_{1,-1} + \epsilon_z Y_{1,0} \right)$ $\sigma_{\pm} \propto R(r)^2 \cdot \left \left\langle Y_{lm} \left \frac{\epsilon_x \mp i\epsilon_y}{\sqrt{2}} Y_{l,\pm 1} \right j, m_j \right\rangle \right ^2$ $\sigma_+ \propto \left \left\langle Y_{2,1} \left \frac{\epsilon_x - i\epsilon_y}{\sqrt{2}} Y_{1,1} \right j = \frac{3}{2}, m_j = \frac{-1}{2} \right\rangle \right ^2 = \frac{1}{3}$ $\therefore \langle Y_{2,1} Y_{1,1} j = \frac{3}{2}, m_j = \frac{-1}{2} \rangle = \sqrt{\frac{2}{3}} \langle Y_{2,1} Y_{1,1} Y_{1,0} \rangle = \sqrt{\frac{1}{3}}$ $Y_{1,1} Y_{1,0} = \sqrt{\frac{1}{2}} Y_{2,1} + \dots$ $\sigma_- \propto \left \left\langle Y_{2,-1} \left \frac{\epsilon_x + i\epsilon_y}{\sqrt{2}} Y_{1,-1} \right j = \frac{3}{2}, m_j = \frac{-1}{2} \right\rangle \right ^2 = \frac{1}{3}$ $\therefore \langle Y_{2,-1} Y_{1,-1} j = \frac{3}{2}, m_j = \frac{-1}{2} \rangle = \sqrt{\frac{2}{3}} \langle Y_{2,-1} Y_{1,-1} Y_{1,0} \rangle = \sqrt{\frac{1}{3}}$ $Y_{1,-1} Y_{1,0} = \sqrt{\frac{1}{2}} Y_{2,-1} + \dots$ | <p>$m_l = 2, 1, 0, -1, -2$</p> <p>L_3</p> <p>$j=3/2 \quad m_j = 3/2, 1/2, -1/2, -3/2$</p> <p>$\left \frac{3}{2}, \frac{3}{2} \right\rangle = \left Y_{1,1} \uparrow \right\rangle \quad \left \frac{3}{2}, \frac{-3}{2} \right\rangle = \left Y_{1,-1} \downarrow \right\rangle$</p> <p>$\left \frac{3}{2}, \frac{1}{2} \right\rangle = \sqrt{\frac{1}{3}} \left Y_{1,0} \uparrow \right\rangle + \sqrt{\frac{1}{3}} \left Y_{1,0} \downarrow \right\rangle$</p> <p>$\left \frac{3}{2}, \frac{-1}{2} \right\rangle = \sqrt{\frac{1}{3}} \left Y_{1,-1} \uparrow \right\rangle + \sqrt{\frac{1}{3}} \left Y_{1,0} \downarrow \right\rangle$</p> <p>Clesbsch-Gordan coefficients</p> $Y_{lm} = \sum_{m_1 m_2} (l_1 m_1 l_2 m_2 l, m) Y_{l_1 m_1} Y_{l_2 m_2}$ $Y_{l_1 m_1} Y_{l_2 m_2} = \sum_{l= l_1-l_2 }^{l_1+l_2} (l_1 m_1 l_2 m_2 lm) Y_{lm}$ |
|---|--|

| | |
|---|--|
| $\sigma_+ \propto R(r)^2 \cdot \left \left\langle Y_{lm} \left \frac{\epsilon_x - i\epsilon_y}{\sqrt{2}} Y_{1,1} \right j, m_j \right\rangle \right ^2$ $\sigma_+ \propto \left \left\langle Y_{2,2} \left \frac{\epsilon_x - i\epsilon_y}{\sqrt{2}} Y_{1,1} \right j = \frac{3}{2}, m_j = \frac{3}{2} \right\rangle \right ^2 = 1$ $\therefore \langle Y_{2,2} Y_{1,1} j = \frac{3}{2}, m_j = \frac{3}{2} \rangle = \langle Y_{2,1} Y_{1,1} Y_{1,1} \rangle = 1$ $\sigma_+ \propto \left \left\langle Y_{2,1} \left \frac{\epsilon_x - i\epsilon_y}{\sqrt{2}} Y_{1,1} \right j = \frac{3}{2}, m_j = \frac{1}{2} \right\rangle \right ^2 = \frac{1}{3}$ $\therefore \langle Y_{2,1} Y_{1,1} j = \frac{3}{2}, m_j = \frac{1}{2} \rangle = \sqrt{\frac{2}{3}} \langle Y_{2,1} Y_{1,1} Y_{1,0} \rangle = \sqrt{\frac{1}{3}}$ $Y_{1,1} Y_{1,0} = \sqrt{\frac{1}{2}} Y_{2,1} + \dots$ $\sigma_+ \propto \left \left\langle Y_{2,0} \left \frac{\epsilon_x - i\epsilon_y}{\sqrt{2}} Y_{1,1} \right j = \frac{3}{2}, m_j = \frac{-1}{2} \right\rangle \right ^2 = \frac{1}{18}$ $\therefore \langle Y_{2,0} Y_{1,1} j = \frac{3}{2}, m_j = \frac{-1}{2} \rangle = \sqrt{\frac{1}{3}} \langle Y_{2,0} Y_{1,1} Y_{1,-1} \rangle = \sqrt{\frac{1}{18}}$ $Y_{1,1} Y_{1,-1} = \sqrt{\frac{1}{6}} Y_{2,0} + \dots$ | <p>$m_l = 2, 1, 0, -1, -2$</p> <p>L_3</p> <p>$j=3/2 \quad m_j = 3/2, 1/2, -1/2, -3/2$</p> <p>$\left \frac{3}{2}, \frac{3}{2} \right\rangle = \left Y_{1,1} \uparrow \right\rangle \quad \left \frac{3}{2}, \frac{-3}{2} \right\rangle = \left Y_{1,-1} \downarrow \right\rangle$</p> <p>$\left \frac{3}{2}, \frac{1}{2} \right\rangle = \sqrt{\frac{1}{3}} \left Y_{1,0} \uparrow \right\rangle + \sqrt{\frac{1}{3}} \left Y_{1,0} \downarrow \right\rangle$</p> <p>$\left \frac{3}{2}, \frac{-1}{2} \right\rangle = \sqrt{\frac{1}{3}} \left Y_{1,-1} \uparrow \right\rangle + \sqrt{\frac{1}{3}} \left Y_{1,0} \downarrow \right\rangle$</p> <p>Clesbsch-Gordan coefficients</p> $Y_{lm} = \sum_{m_1 m_2} (l_1 m_1 l_2 m_2 l, m) Y_{l_1 m_1} Y_{l_2 m_2}$ $Y_{l_1 m_1} Y_{l_2 m_2} = \sum_{l= l_1-l_2 }^{l_1+l_2} (l_1 m_1 l_2 m_2 lm) Y_{lm}$ $Y_{1,1} Y_{1,1} = Y_{2,2} \quad Y_{1,-1} Y_{1,1} = \sqrt{\frac{1}{6}} Y_{662,0} + \dots$ |
|---|--|