

Small Angle X-ray Scattering and Applications in Structural Analysis

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<http://pal.postech.ac.kr>

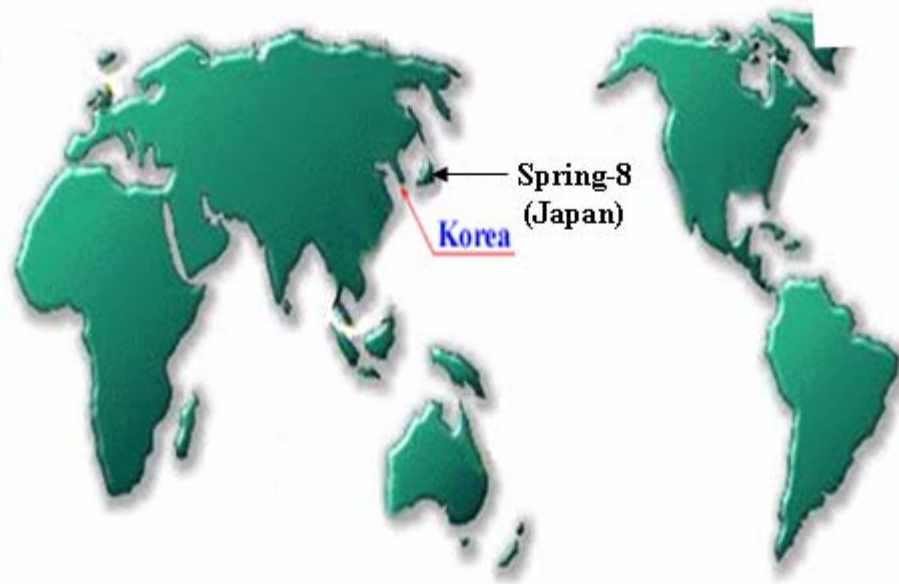


Outline



- 1. Introduction – POSTECH & Pohang Light Source**
- 2. Optics, Beamlines and Equipments of SAXS**
- 3. Data Collection and Samples**
- 4. Fundamentals of SAXS**
- 5. Fundamentals of Conventional, Transmission SAXS (TSAXS)**
 - (1) Single Molecule (or Particle)**
 - (2) Multiple Molecules (or Particles) and Their Assemblies**
- 6. Fundamentals of Grazing Incidence SAXS (GISAXS)**
 - (1) Static GISAXS**
 - (2) In-Situ GISAXS**
- 1. Conclusions – I, II**
- 2. References**
- 3. Introduction – M. Ree’s Group at Postech**
- 4. Acknowledgments**





Korea

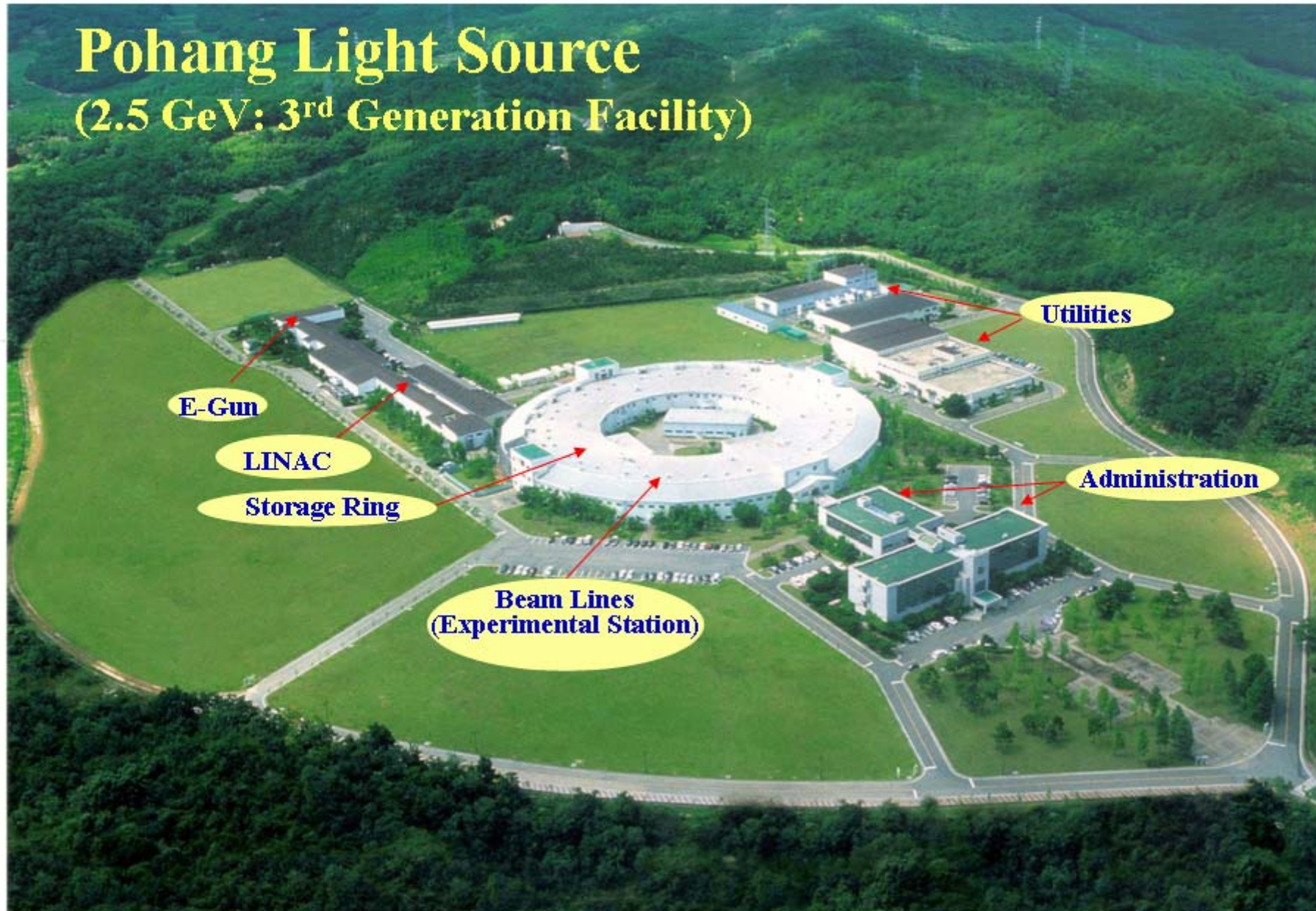




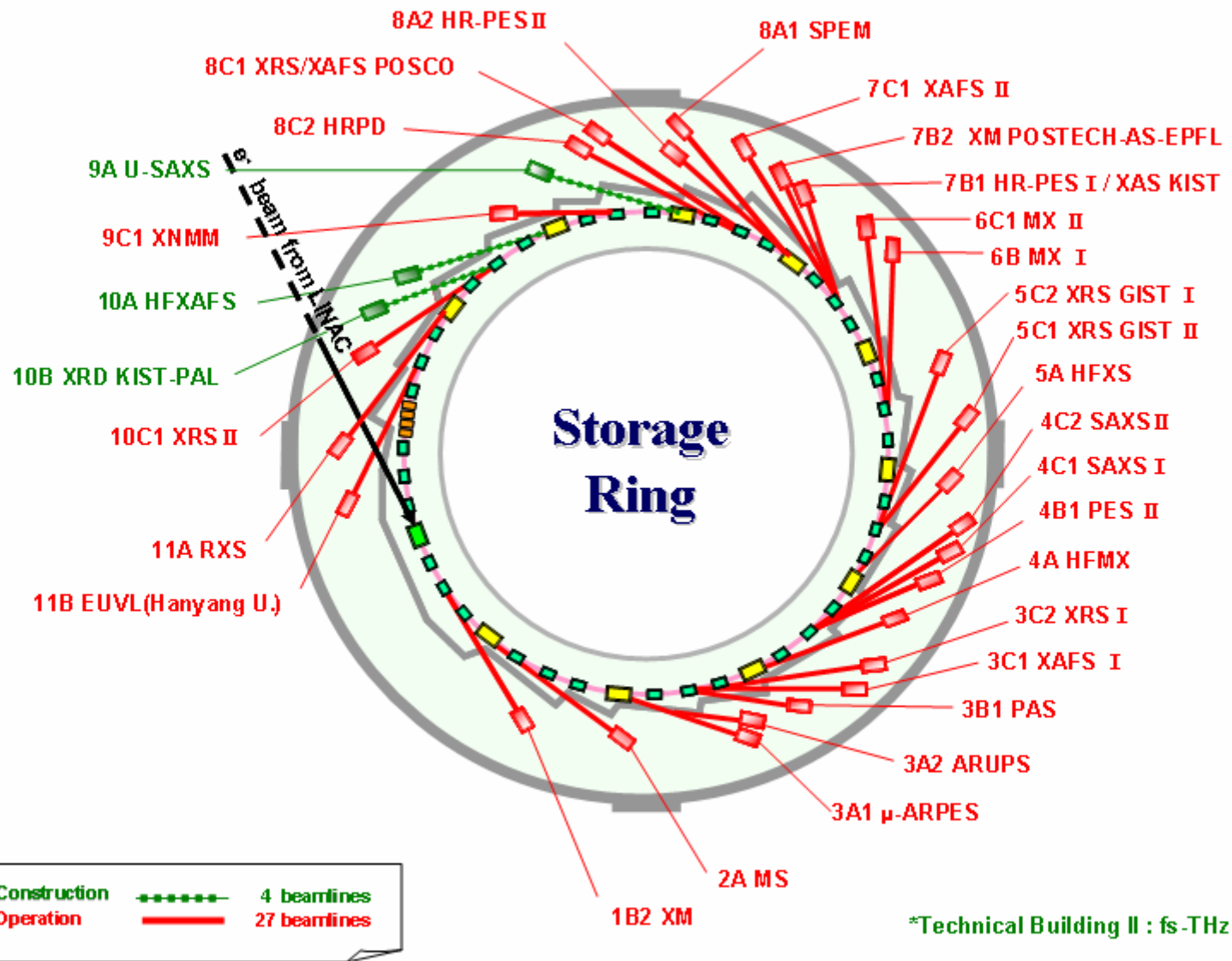
Pohang Light Source

**POSTECH
Campus**

Pohang Light Source (2.5 GeV: 3rd Generation Facility)



PLS Beamline Status



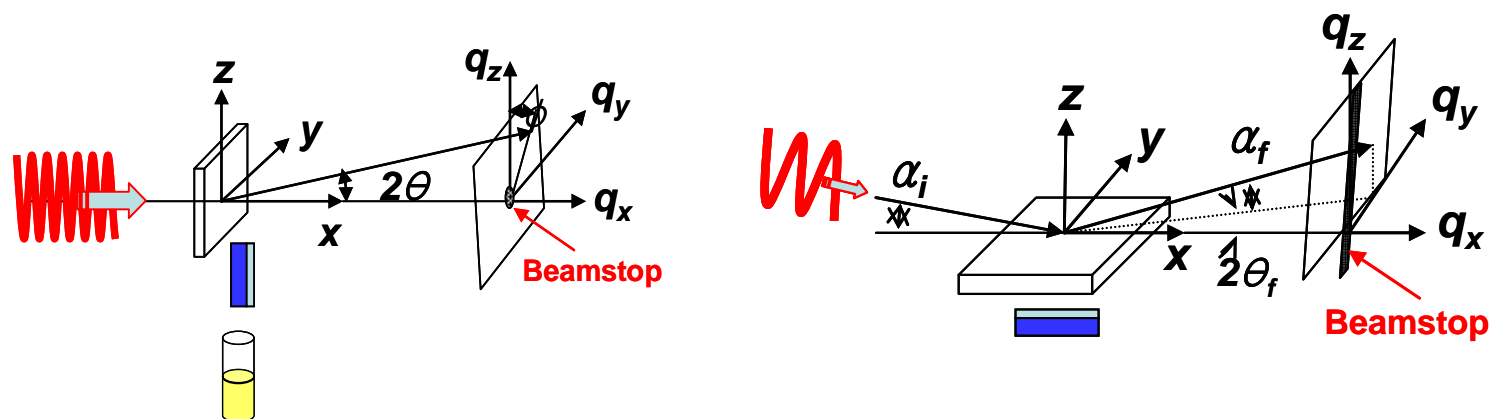
Outline



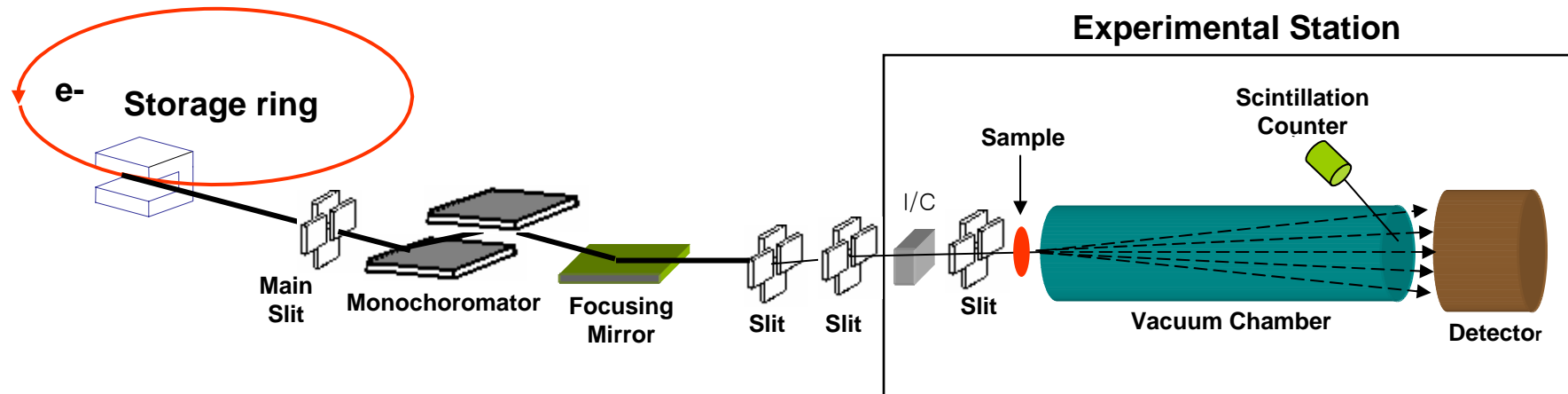
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Optics of Small Angle X-ray Scattering (SAXS)



SAXS Beamlines



X-rays at the sample

- Photon flux (monochromatic, focusing) :

$$10^{11} - 10^{18} \text{ photons/sec/mm}^2 \text{ at 8 keV}$$

- Beam size : $< 0.8 \times 0.8 \text{ mm}^2$



2-D CCD X-Ray Detector

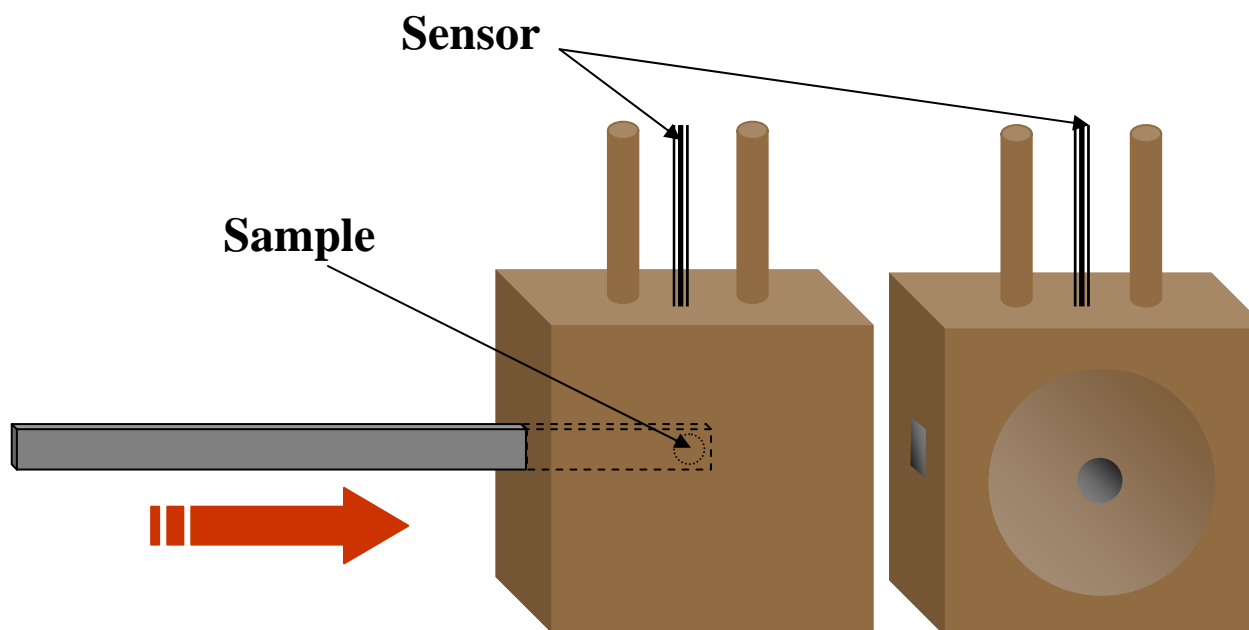
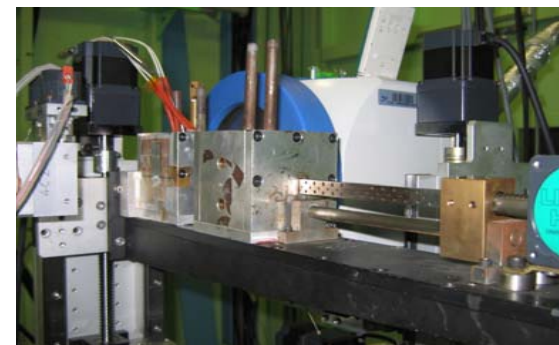
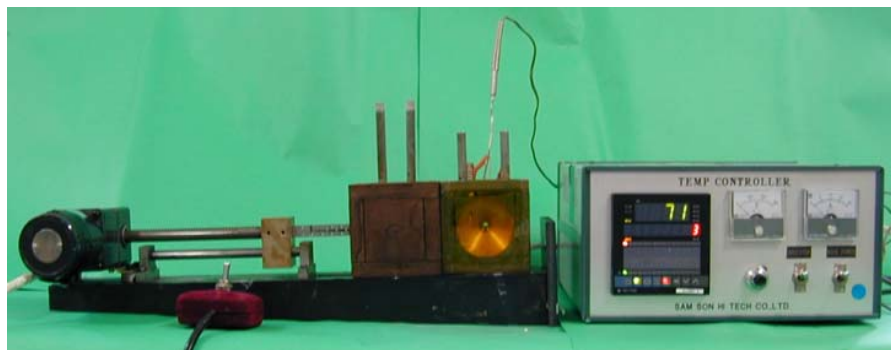


Roper Scientific



MAR research

Device for Temperature Jumping



Temperature A

Temperature B



Other Devices for Samples



- 1. Mechanical Tester**
- 2. Rheometer**
- 3. DSC**
- 4. Liquid Cell**
- 5. Liquid Flow Cell**
- 6. Fiber Spinner**
- 7. Magnets**
- 8. Many Other Devices**
depending on what you want

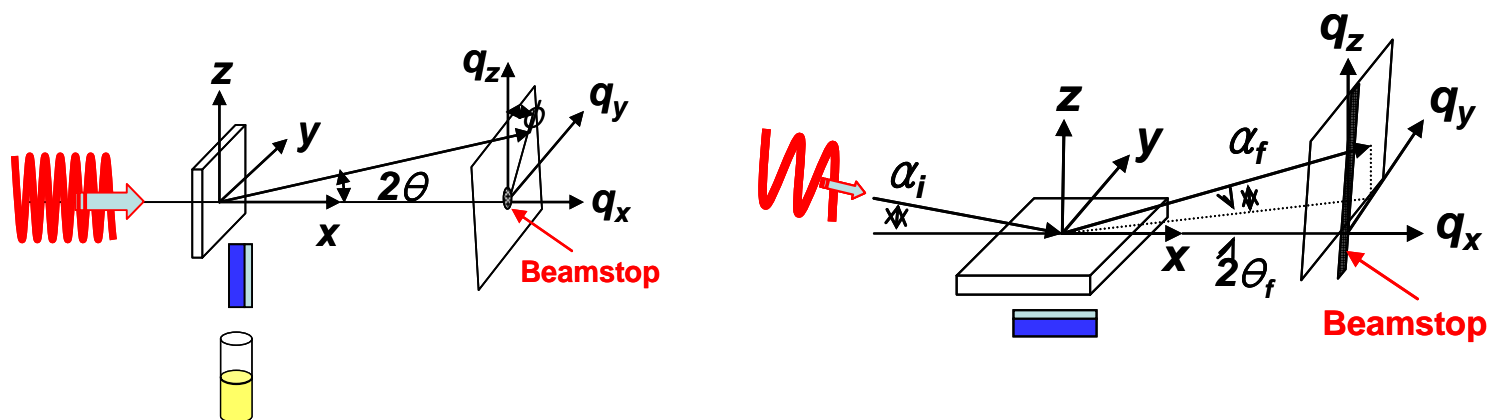


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Data Collection Time and Sample Thickness (Volume) in SAXS Measurements



Optimization of Collection Time (Error Analysis)

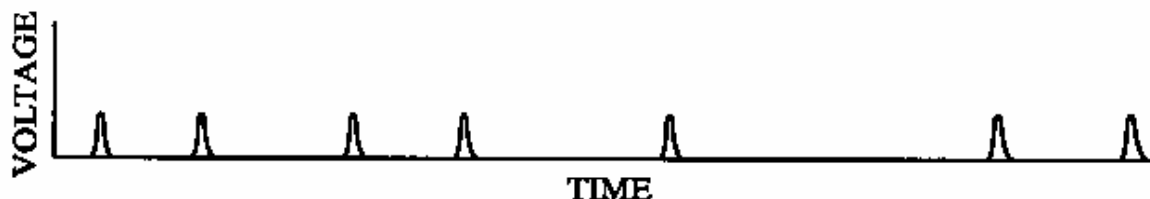


Figure 6-12 Randomly spaced voltage pulses produced by a detector.

Poisson distribution

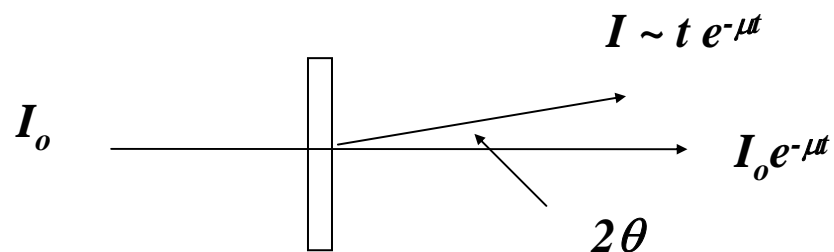
$$P(N) = \frac{(nt)^N e^{-nt}}{N!} \quad \begin{array}{l} nt: \text{average value} \\ P(N) ; \text{probability of having } N \text{ count in a given time } t \end{array}$$

$$\pm \frac{\sqrt{N}}{N} \quad \text{Relative error possessed in the count } N$$

number of pulses counted	standard deviation (%)	collection time (sec)
1,000	3.2	1
10,000	1.0	10
100,000	0.3	100



Optimum Sample Thickness (transmission geometry)



t, μ : Thickness, Linear Absorption Coefficient

$$I_{obs}(s) \sim t \cdot e^{-\mu t}$$

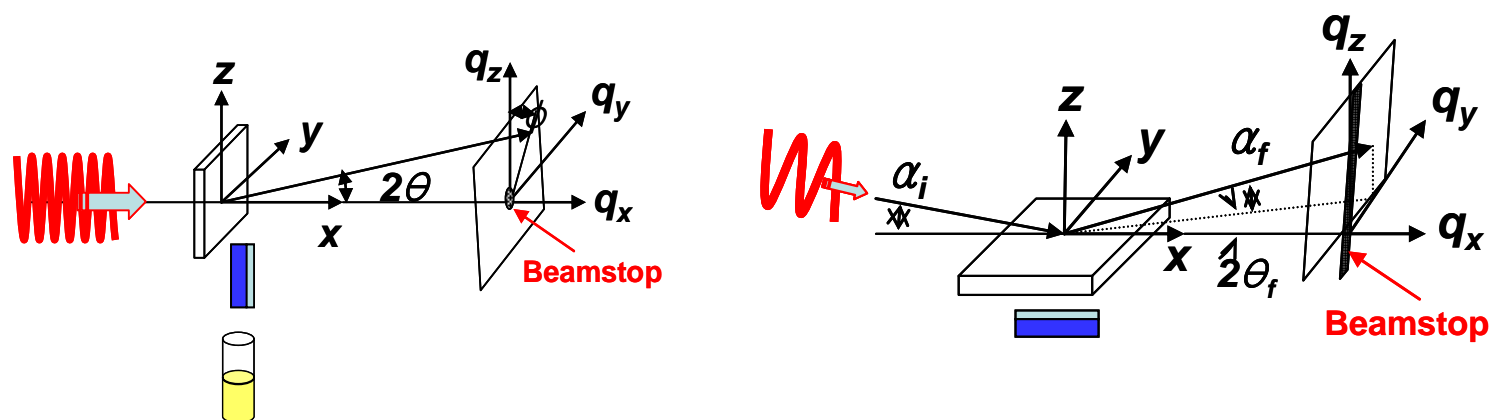
$$t_{opt} = \frac{1}{\mu}$$

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Fundamentals of Small Angle X-ray Scattering (SAXS)

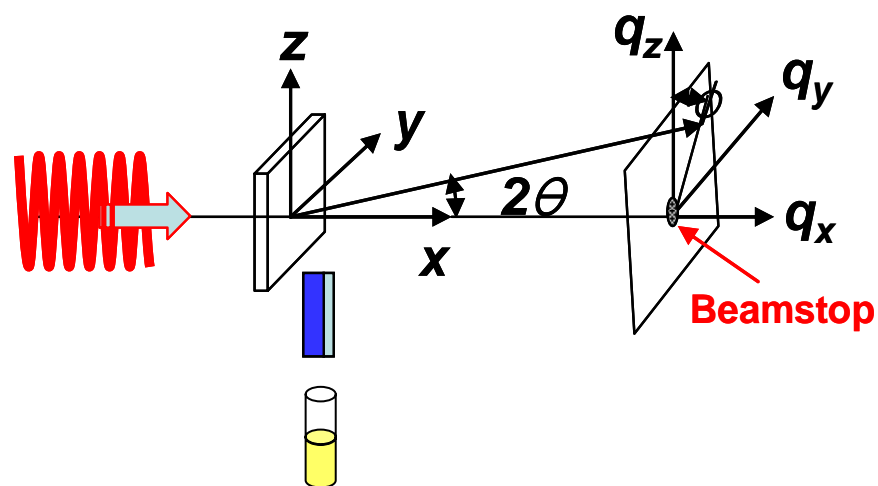


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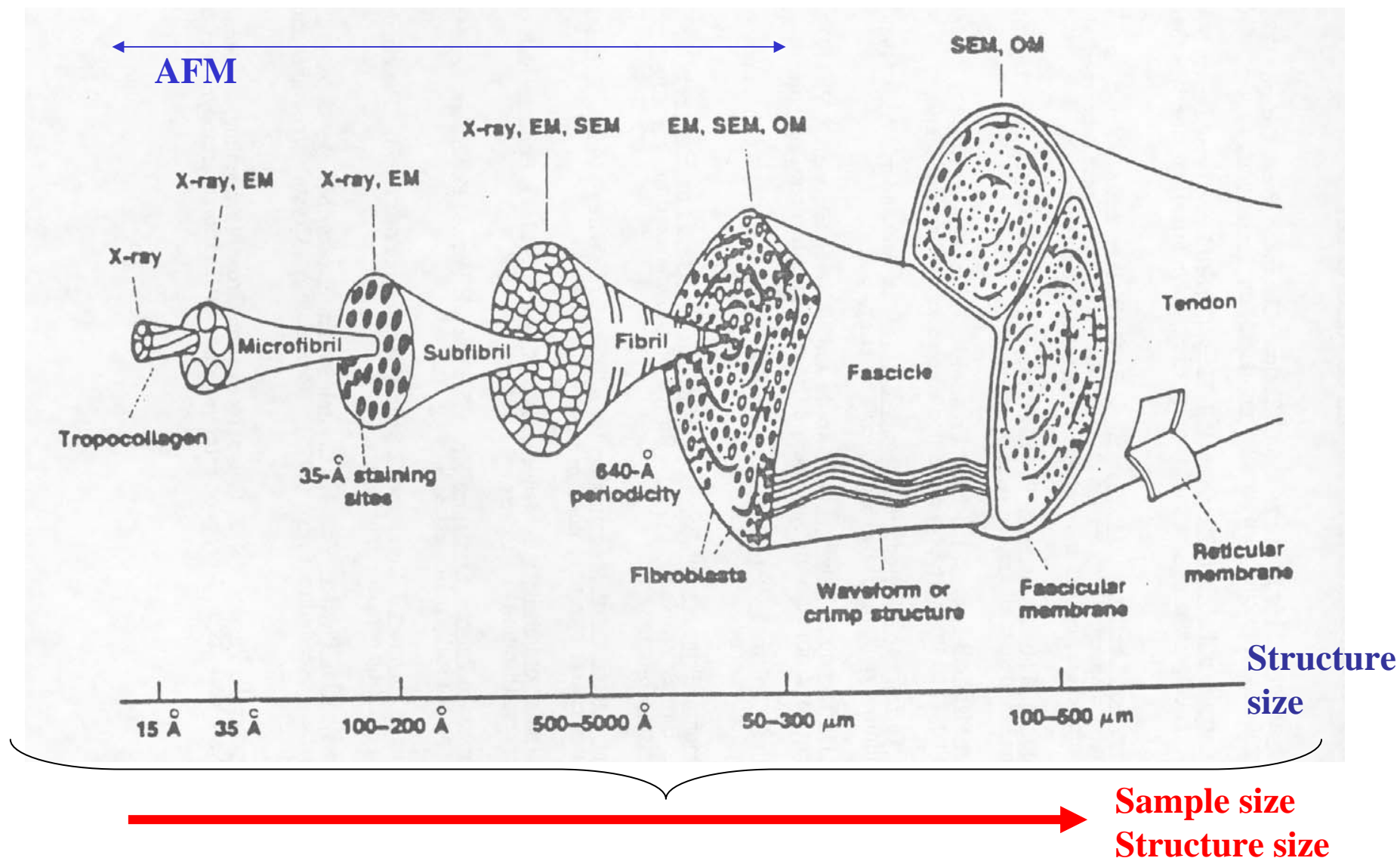
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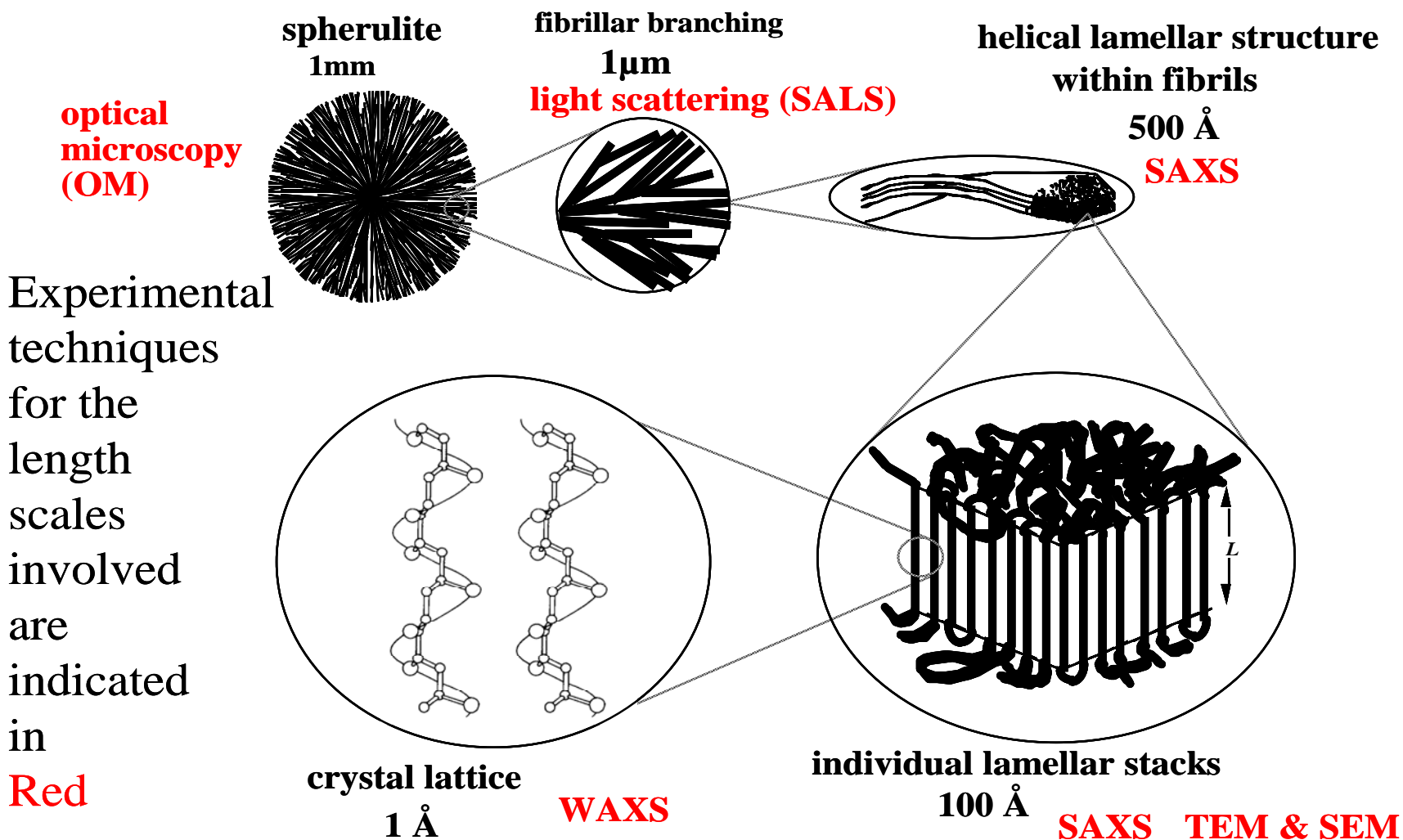
Fundamentals:
Conventional
Small Angle X-ray Scattering (SAXS)
Transmission Small Angle X-ray Scattering
(TSAXS)



Length Scales in Structure



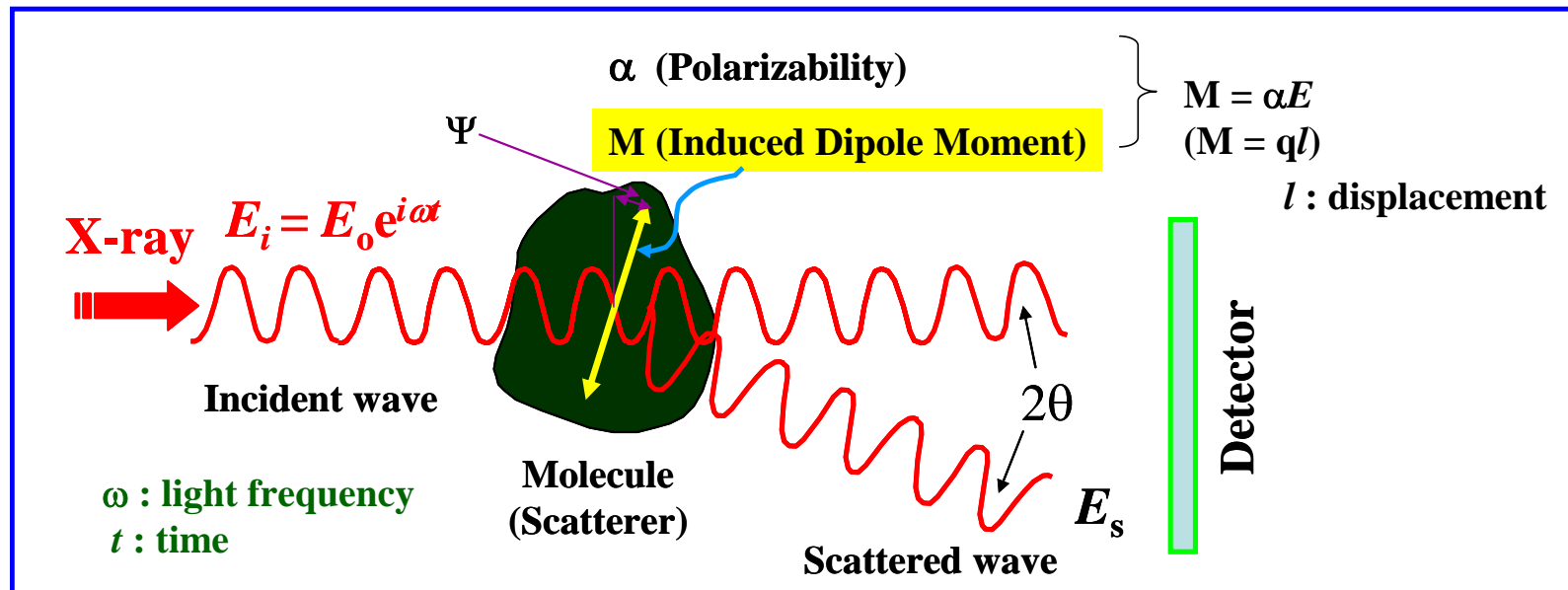
Hierarchical Structure of Polymer Crystals



X-Ray Scattering from Single Molecule (or Particle)



X-Ray Scattering from One Molecule (Particle)



$$E_s = \frac{(\partial^2 \mathbf{M} / \partial t^2)}{c^2 r} \cos \Psi$$

$$\mathbf{M} = \alpha E = \alpha E_0 e^{i\omega t}$$

$$(\partial^2 \mathbf{M} / \partial t^2) = -\alpha \omega^2 E_0 e^{i\omega t}$$

$$E_s = \frac{-\alpha \omega^2 E_0 e^{i\omega t}}{c^2 r} \cos \Psi$$

$$I_s = E_s \cdot E_s^* \quad \text{(scattered wave intensity)}$$

$$I_o = E_o \cdot E_o^* = E_o^2 \quad \text{(incident wave intensity)}$$

c : light speed
 r : sample-to-detector distance



LOW FREQUENCY (Rayleigh) CASE, $\omega \ll \omega_0$ → **Light scattering**

$$\alpha = e^2 / k$$

HIGH FREQUENCY (Thomson) CASE, $\omega \gg \omega_0$ → **X-Ray Scattering**

$$\alpha = e^2 / m\omega^2$$

It is independent of k and decreases with ω . **(because ω is very high.)**

e : charge of an electron

k : force constant

m : mass of an electron

Scattering vector

Scattering vector

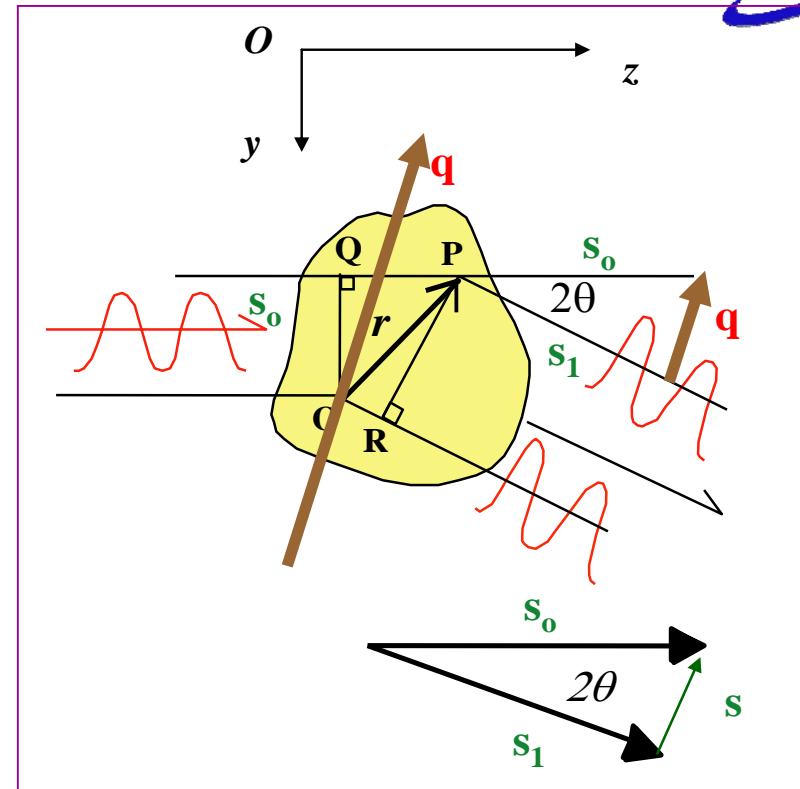
$$\mathbf{s}_0 = \mathbf{e}_z, \quad \mathbf{s}_1 = \mathbf{e}_y \sin 2\theta + \mathbf{e}_z \cos 2\theta$$

$$\mathbf{s} = \mathbf{s}_0 - \mathbf{s}_1 = [\mathbf{e}_z(1 - \cos 2\theta) - \mathbf{e}_y \sin 2\theta]$$

$$k = 2\pi/\lambda \quad \text{Wave number (modulus of wave vector)}$$

$$s = |\mathbf{s}| = \left[(1 - \cos 2\theta)^2 + \sin^2 2\theta \right]^{1/2} = 2 \sin \theta$$

$$\mathbf{q} = k \mathbf{s} \quad q = k s = \frac{4\pi}{\lambda} \sin \theta$$

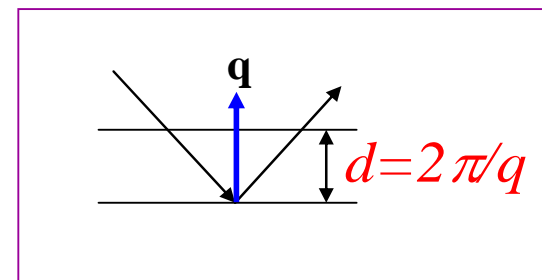


Bragg's eq.: lattice spacing d

$$2d \sin \theta = n\lambda (n = 1, 2, 3 \dots) \Rightarrow d = \frac{n\lambda}{2 \sin \theta} = \frac{2\pi}{q} (n = 1)$$

The **phase difference δ** , from O and P is equal to the inner vector product, $\mathbf{q} \cdot \mathbf{r}$.

$$\delta = \frac{2\pi}{\lambda} (\text{QP} - \text{OR}) = \frac{2\pi}{\lambda} (\mathbf{s}_0 \cdot \mathbf{r} - \mathbf{s}_1 \cdot \mathbf{r}) = \mathbf{q} \cdot \mathbf{r}$$



Phase Factor $\delta = \mathbf{q} \cdot \mathbf{r}$

$$E_s = \frac{-\alpha\omega^2 E_o e^{i\omega t}}{c^2 r} \cos \Psi$$

$$E_s = \frac{-\omega^2 E_o e^{i\omega t}}{c^2 r} \cos \Psi \sum_i \alpha_i e^{-ikx_i}$$

$$F = \sum_i \rho_i e^{-ikx_i}$$

F : Form Factor

$$F(\mathbf{r}_i) = \sum_i \rho_i e^{-i(\mathbf{q} \cdot \mathbf{r}_i)}$$

$$E_s = K_s F(\mathbf{r}_i)$$

$$K_s = \frac{-\omega^2 E_o e^{i\omega t}}{c^2 r} \cos \Psi$$

$$I_s = E_s \cdot E_s^*$$

$$I_s = K_s \{ F(\mathbf{r}_i) \cdot F^*(\mathbf{r}_i) \}$$

I_s : Scattering Intensity

$$\left. \begin{aligned} F(\mathbf{r}_i) &= \sum_i \rho_i(\mathbf{r}_i) e^{-i(\mathbf{q} \cdot \mathbf{r}_i)} \\ F(\mathbf{r}_j) &= \sum_j \rho_j(\mathbf{r}_j) e^{-i(\mathbf{q} \cdot \mathbf{r}_j)} \end{aligned} \right\} \begin{aligned} \rho(\mathbf{r}_i) &= \rho_o + \Delta\rho_i \\ \rho(\mathbf{r}_j) &= \rho_o + \Delta\rho_j \end{aligned}$$

$$I_s = K_s \{ F(\mathbf{r}_i) \cdot F^*(\mathbf{r}_j) \}$$

$$= K_s \sum_i \rho_i e^{-i(\mathbf{q} \cdot \mathbf{r}_i)} \sum_j \rho_j e^{i(\mathbf{q} \cdot \mathbf{r}_j)}$$

$$= K_s \sum_i \sum_j \{ \rho_o^2 e^{-i(\mathbf{q} \cdot \mathbf{r}_{ij})} + \rho_o \Delta\rho_i e^{-i(\mathbf{q} \cdot \mathbf{r}_{ij})} + \rho_o \Delta\rho_j e^{-i(\mathbf{q} \cdot \mathbf{r}_{ij})} + \Delta\rho_i \Delta\rho_j e^{-i(\mathbf{q} \cdot \mathbf{r}_{ij})} \}$$

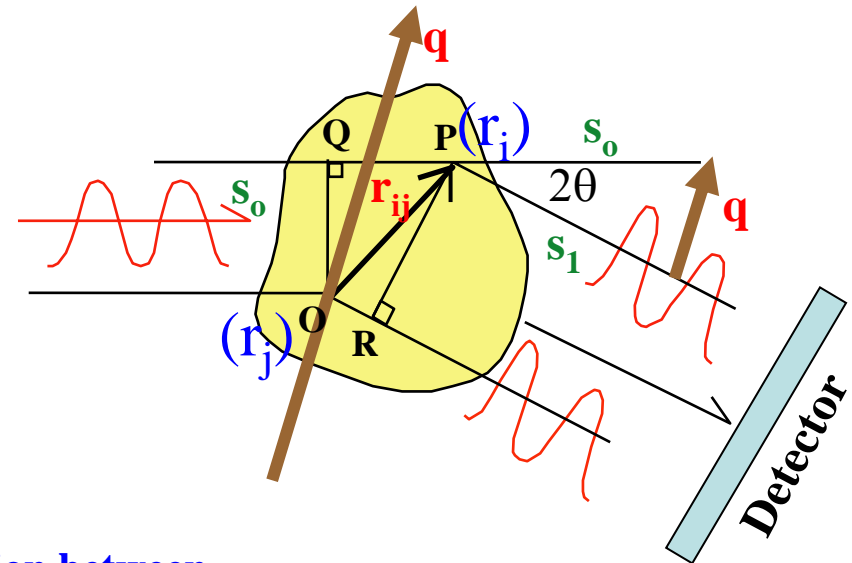
0 (homogeneous) 0 0

$$I_s = K_s \sum_i \sum_j \Delta\rho_i \Delta\rho_j e^{-i(\mathbf{q} \cdot \mathbf{r}_{ij})}$$

$\mathbf{r}_{ij} = \mathbf{r}_i - \mathbf{r}_j$ (interdistance of a pair of scatters)

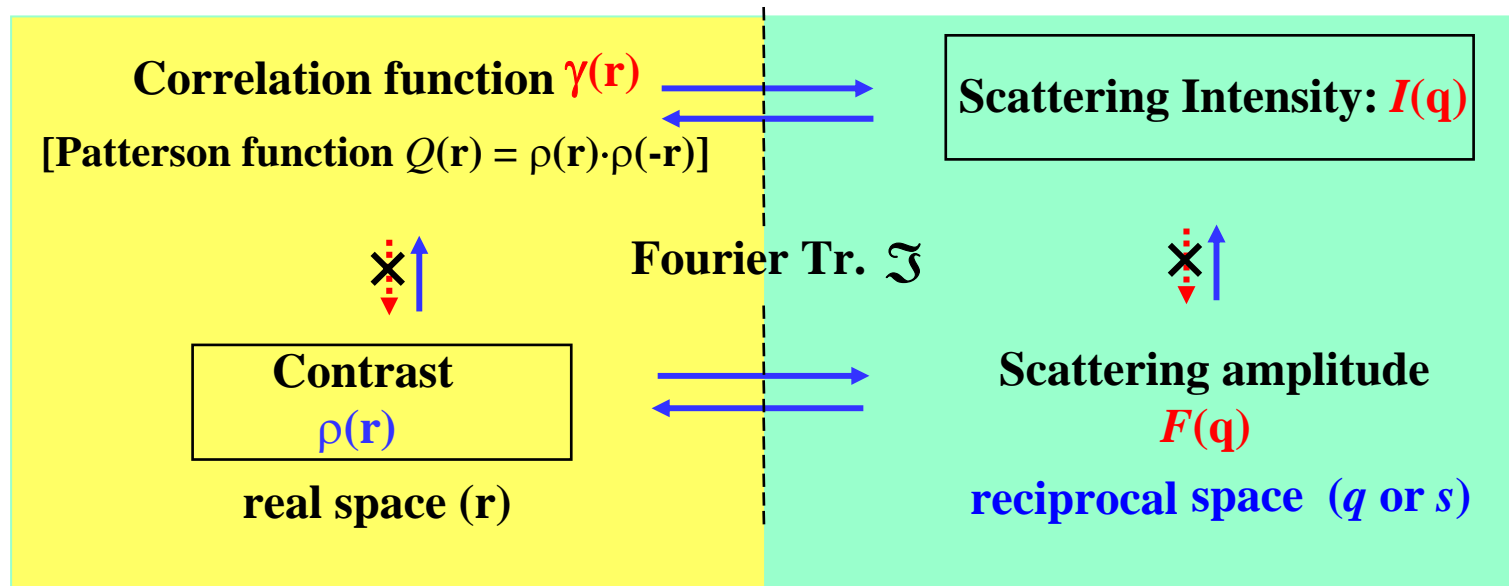
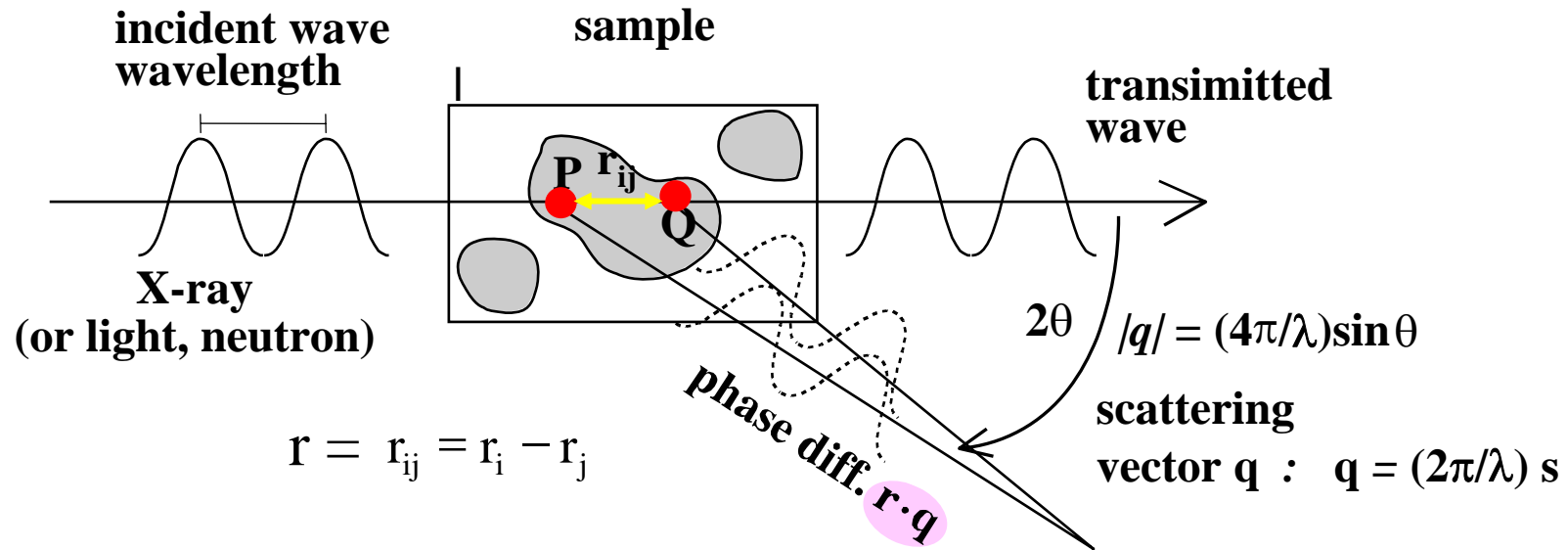
$$I_s(\mathbf{q}) = K_s \sum_i \sum_j \Delta\rho_i(\mathbf{r}) \Delta\rho_j(\mathbf{r}) e^{-i(\mathbf{q} \cdot \mathbf{r})}$$

(a generalized scattering equation)



(no correlation between the fluctuation of a volume element and its distance away from another)

Structure analysis by Scattering and Concept of Real and Reciprocal Spaces



How to find Scattering Amplitude $[F(\mathbf{r})]$ from Scattered Intensity?



$$I_s(\mathbf{q}) = K_s \sum_i \sum_j \Delta\rho_i(\mathbf{r})\Delta\rho_j(\mathbf{r})e^{-i(\mathbf{q}\cdot\mathbf{r})}$$

$$I(\mathbf{q}) = K_s [F(\mathbf{r})\cdot F^*(\mathbf{r}')]]$$

Correlation function
 $\gamma(\mathbf{r})$

(1) Correlation Function Approach

- Correlation function $\gamma(\mathbf{r})$

(2) Fine Structural Model Approach

- sphere
- Gaussian sphere
- core/shell sphere
- rod
- cylinder
- disc
- etc*



(1) Correlation function Approach

Auto-Correlation Function $\gamma(\mathbf{r})$ (Patterson Function)

$$\gamma(\mathbf{r}) = \frac{\Delta\rho(\mathbf{r}) * \Delta\rho(-\mathbf{r})}{\int_0^\infty [\Delta\rho(\mathbf{r})]^2 d\mathbf{r}} = \frac{\int_0^\infty \Delta\rho(\mathbf{u})\Delta\rho(\mathbf{r} + \mathbf{u})d\mathbf{u}}{\int_0^\infty \Delta\rho(\mathbf{u})\Delta\rho(\mathbf{u})d\mathbf{u}}$$

$$\gamma(\mathbf{r}) = \mathfrak{F}^{-1}\{I_{obs}(\mathbf{q})\} \cdot \frac{1}{\langle (\Delta\rho)^2 \rangle V} \quad \Delta\rho(\mathbf{r}) = \rho(\mathbf{r}) - \rho_0$$

For an isotropic system $|\mathbf{q}| = q, |\mathbf{r}| = r$

$$\gamma(r) = \mathfrak{F}^{-1}\{I_{obs}(q)\} \frac{1}{\langle \{\Delta\rho(u)\}^2 \rangle V} = \frac{\int q^2 I_{obs}(q) \frac{\sin qr}{qr} dq}{\int q^2 I_{obs}(q) dq}$$

Pair Distance Distribution Function (PDDF) $p(r) = r^2 \gamma(r)$



Correlation function *versus* Scattering intensity

Density distribution

$$\rho(\mathbf{r}) \rightarrow \gamma(\mathbf{r}) \text{ Correlation of paired scatters}$$

Correlation function

$$\gamma(\mathbf{r}) = \frac{\int \rho(\mathbf{r}') \rho(\mathbf{r} - \mathbf{r}') d\mathbf{r}'}{\int \rho^2(\mathbf{r}') d\mathbf{r}'}$$

Scattering intensity

Fourier transform

$$I(q) = \frac{K}{V} \int \gamma(\mathbf{r}) \exp(i\mathbf{q} \cdot \mathbf{r}) d\mathbf{r}$$

$$\mathbf{r} = \mathbf{r} - \mathbf{r}'$$

Patterson Function

$$I(\mathbf{q}) = \mathfrak{T}\{Q(\mathbf{q})\} \quad Q(\mathbf{r}) = \mathfrak{T}^{-1}\{I(\mathbf{q})\}$$

$$Q(\mathbf{r}) = \rho(\mathbf{r}) * \rho(-\mathbf{r}) = \int \rho(\mathbf{u})\rho(\mathbf{r} + \mathbf{u}) d\mathbf{u}$$

$$Q(\mathbf{r}) = \int_0^\infty (\Delta\rho(\mathbf{u}) + \rho_0)(\Delta\rho(\mathbf{r} + \mathbf{u}) + \rho_0) d\mathbf{u}$$

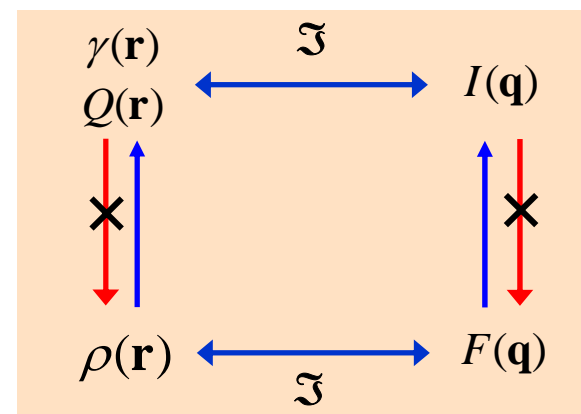
$$= \int \Delta\rho(\mathbf{u})\Delta\rho(\mathbf{r} + \mathbf{u}) d\mathbf{u} + C$$

$$\Delta\rho(\mathbf{r}) = \rho(\mathbf{r}) - \rho_0$$

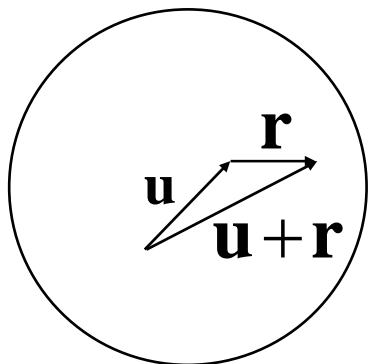
$$I(\mathbf{q}) = \mathfrak{T}\{\Delta\rho(\mathbf{r}) * \Delta\rho(-\mathbf{r})\} + \mathfrak{T}\{C\}$$

$$I_{obs}(\mathbf{q}) = \mathfrak{T}\{\Delta\rho(\mathbf{r}) * \Delta\rho(-\mathbf{r})\}$$

$$I_{obs}(\mathbf{q}) = \mathfrak{T}\left[Q_{\Delta\rho}(\mathbf{r})\right] \Leftrightarrow Q_{\Delta\rho}(\mathbf{r}) = \mathfrak{T}^{-1}\{I_{obs}(\mathbf{q})\}$$



Meaning of Correlation Function



$$\rho(r) = \begin{cases} \rho & \text{inside} \\ 0 & \text{outside} \end{cases}$$

$$\frac{\int_0^\infty \Delta\rho(\mathbf{u})\Delta\rho(\mathbf{r} + \mathbf{u})d\mathbf{u}}{\int_0^\infty \Delta\rho(\mathbf{u})\Delta\rho(\mathbf{u})d\mathbf{u}}$$

\mathbf{u} and $\mathbf{r} + \mathbf{u}$ (inside the particle): $\gamma(\mathbf{r}) = 1$

$\mathbf{r} + \mathbf{u}$ (outside the particle): $\gamma(\mathbf{r}) = 0$

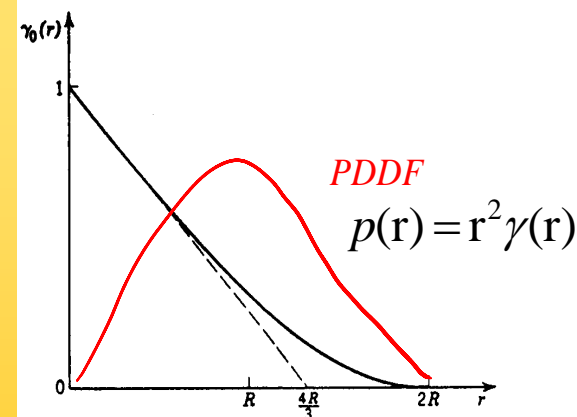
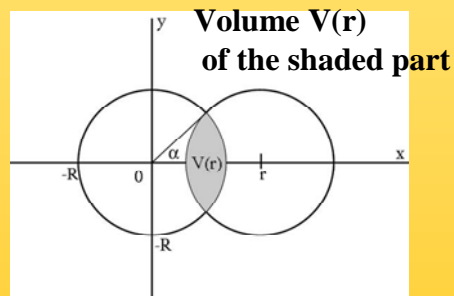
γ depending on particle shape and size,
representing the probability of finding of a point $\mathbf{u} + \mathbf{r}$ within the particle

Correlation function of sphere of radius R

$$\gamma(r) = 0 \quad r > 2R$$

$$\gamma(r) = 1 - \frac{3}{4} \frac{r}{R} + \frac{1}{16} \left(\frac{r}{R}\right)^3$$

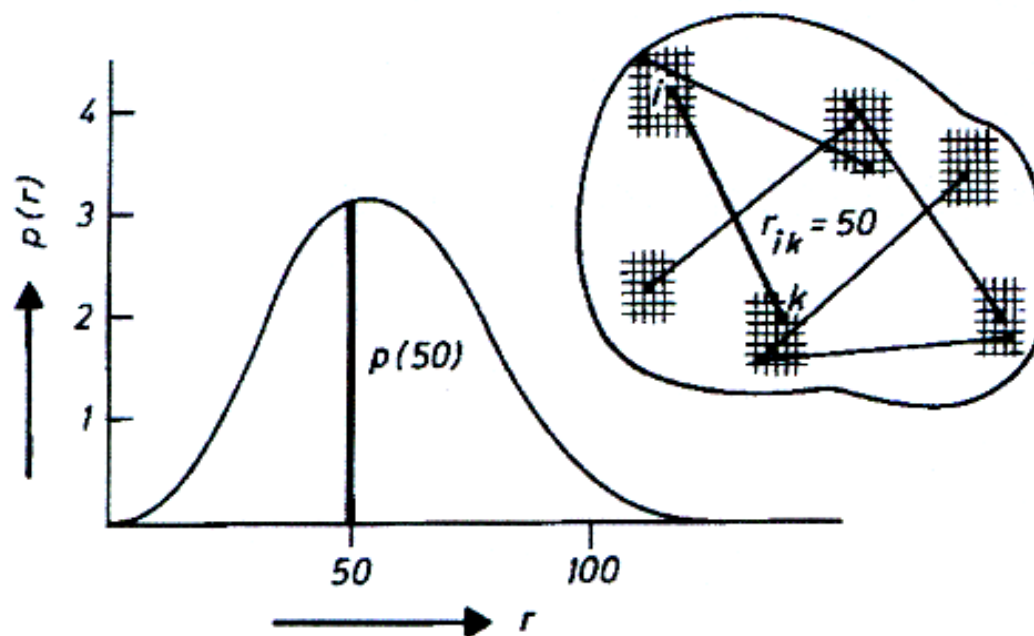
$$\begin{aligned} \gamma(r) &\equiv \frac{V(r)}{4\pi R^3/3} = \frac{1}{2} \left(1 - \frac{r}{2R}\right)^2 \left(2 + \frac{r}{2R}\right) \\ &= 1 - \frac{3}{4} \left(\frac{r}{R}\right) + \frac{1}{16} \left(\frac{r}{R}\right)^3 \end{aligned}$$



Pair Distance Distribution Function (PDDF) and Correlation Function

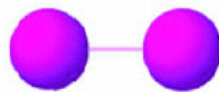
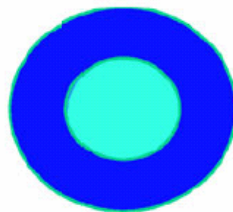
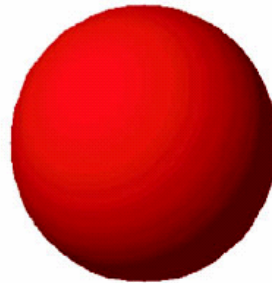
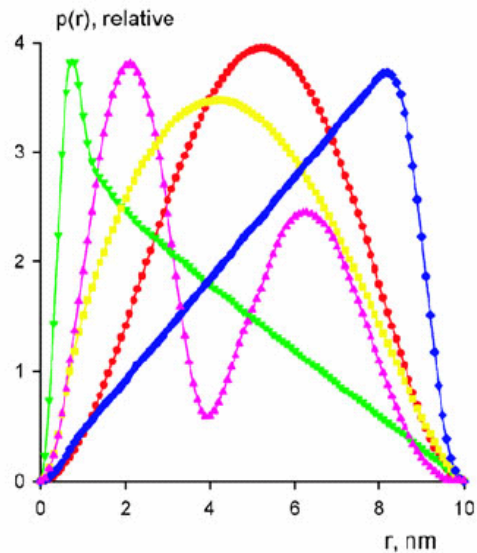
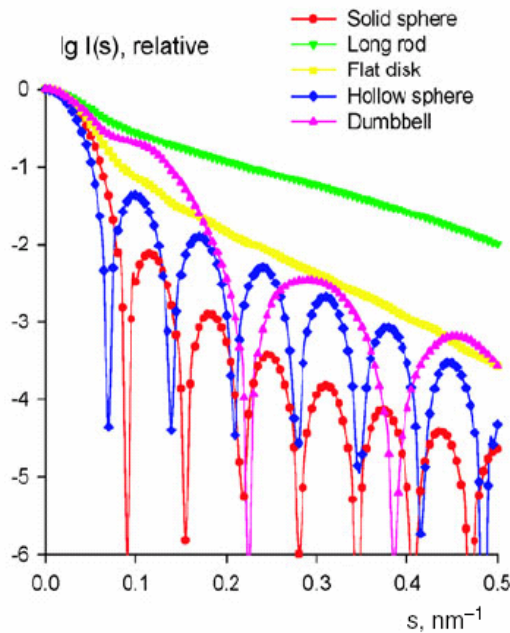
$$p(r) = r^2 \gamma(r)$$

Probability finding scattering elements separated by r



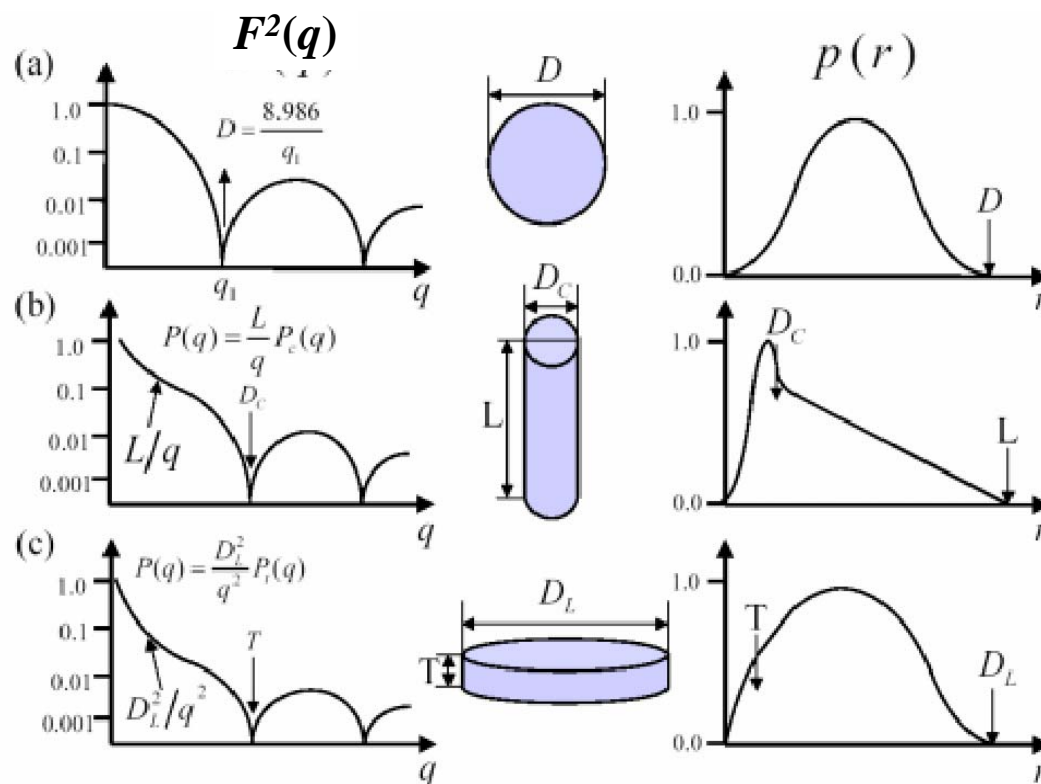
Pair Distance Distribution Function $P(r)$

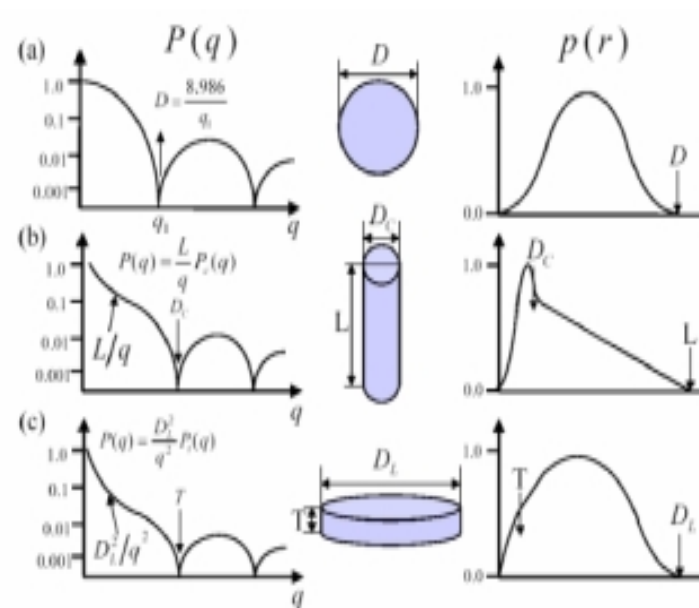
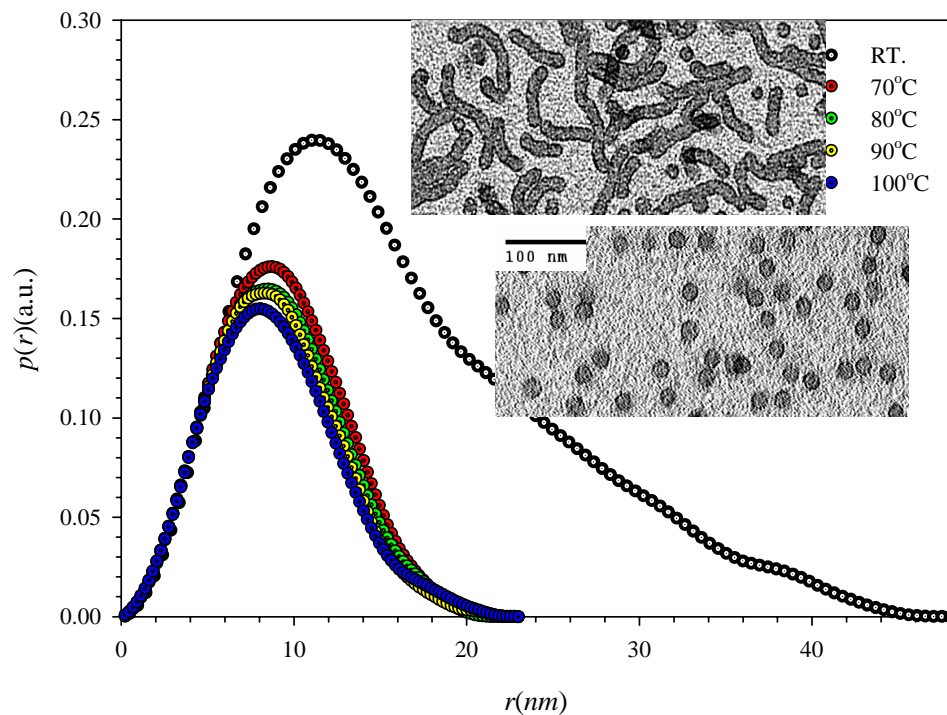
$$P(r) = r^2 \gamma(r) = r^2 \cdot \int \Delta\rho(u) \Delta\rho(r+u) du$$



- ✓ Distribution of distances of atoms from centroid
- ✓ 1-D: Only distance, not direction
- ✓ 20:1 ratio $q_{\min}(\pi/d_{\max}):q_{\max}$ usually ok
- ✓ $p(r)$ gives an alternative measure of R_g and also “longest cord”

Particle Scattering Pattern and PDDF





S.-Y. Park et al., *Macromolecules*, 40, 3757-3764 (2007)

(2) Fine Structural Model Approach



Scattering amplitude (i.e., Scattering Function = Structure Function);
Scattering intensity

Density distribution

$$\rho(\mathbf{r})$$

Fourier TF = summation with
phase difference

$$F(\mathbf{q}) = \frac{K_s}{V} \int \rho(\mathbf{r}) \exp(i\mathbf{q} \cdot \mathbf{r}) d\mathbf{r}$$

Scatt. amplitude

Scattering intensity

$$I(q) = \frac{K}{V} \iint \rho_i(\mathbf{r}) \rho_j(\mathbf{r}) \exp(i\mathbf{q} \cdot \mathbf{r}) d\mathbf{r}$$

$$\mathbf{r} = \mathbf{r} - \mathbf{r}'$$



- X-ray scattering from the electron density distribution in sample
- Small angle scattering for the large distance

$$F(\mathbf{q}) = \int_{V_r} \rho(\mathbf{r}) e^{i(\mathbf{q} \cdot \mathbf{r})} d\mathbf{r}$$

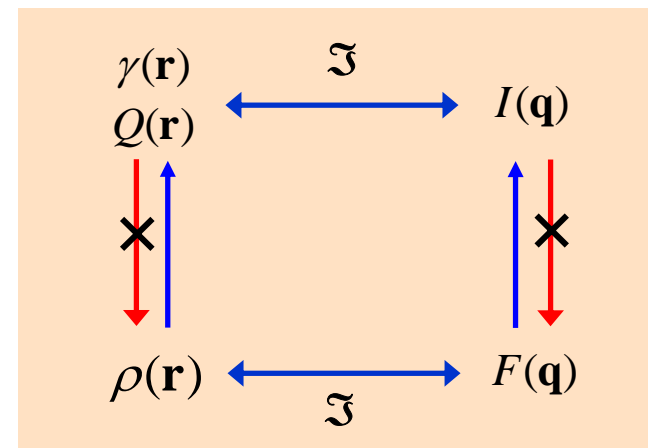
$$I(\mathbf{q}) = F(\mathbf{q}) \cdot F^*(\mathbf{q})$$

$$I(\mathbf{q}) = |\mathfrak{F}\{\rho(x)\}|^2$$

$$|\mathbf{s}| = \frac{2 \sin \theta}{\lambda} = \frac{1}{d} \quad |\mathbf{q}| = \frac{4\pi \sin \theta}{\lambda}$$

$$I(\mathbf{q}) = \mathfrak{F}\{Q(\mathbf{r})\}$$

$$Q(\mathbf{r}) = \mathfrak{F}^{-1}\{I(\mathbf{q})\}$$



$F(\mathbf{r})$: Amplitude of scattered X - ray

$I(\mathbf{q})$: Scattered Intensity

$\rho(\mathbf{r})$: Electron density function

$Q(\bar{\mathbf{r}})$: Patterson function ($\rho(\mathbf{r}) * \rho(-\mathbf{r})$)

\mathfrak{F} : Fourier transform

Example of FFT

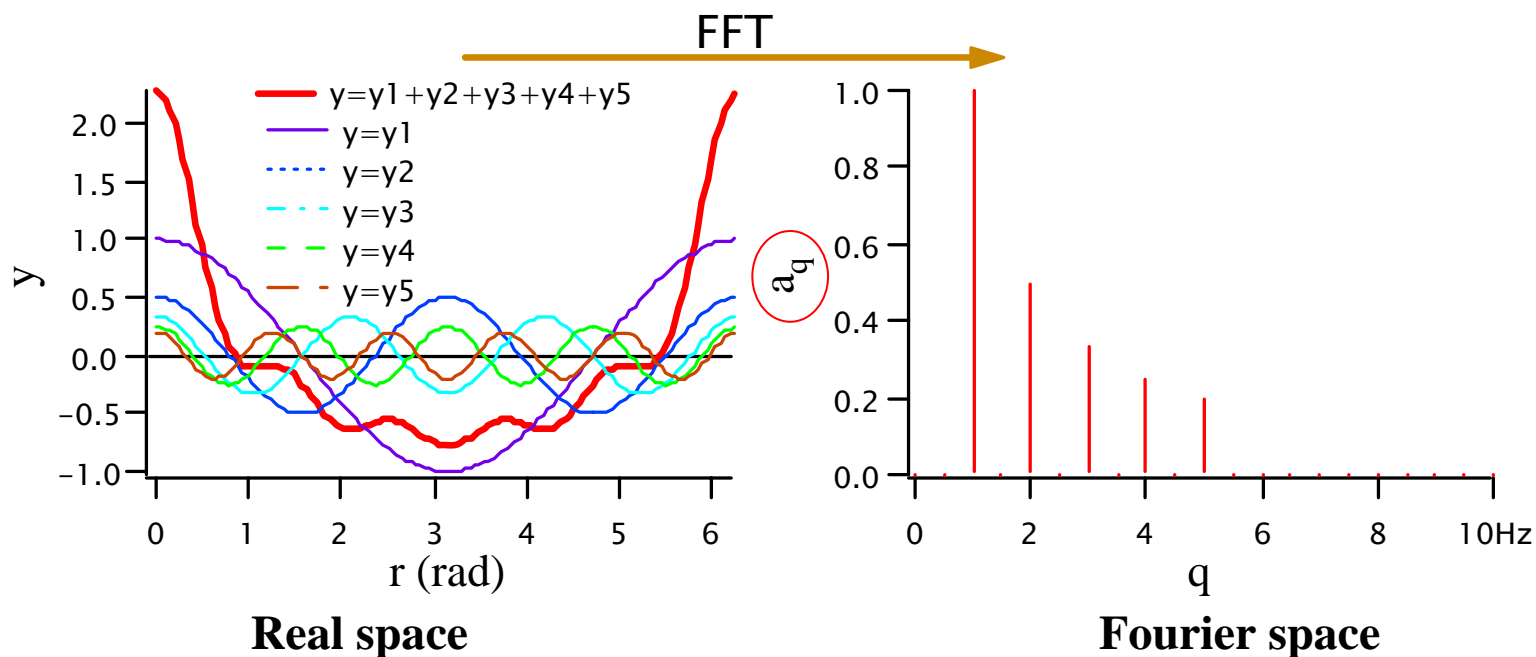
$$y = y_1 + y_2 + y_3 + y_4 + y_5$$

$$y_1 = \cos r, \quad y_2 = \frac{1}{2} \cos 2r, \quad y_3 = \frac{1}{3} \cos 3r,$$

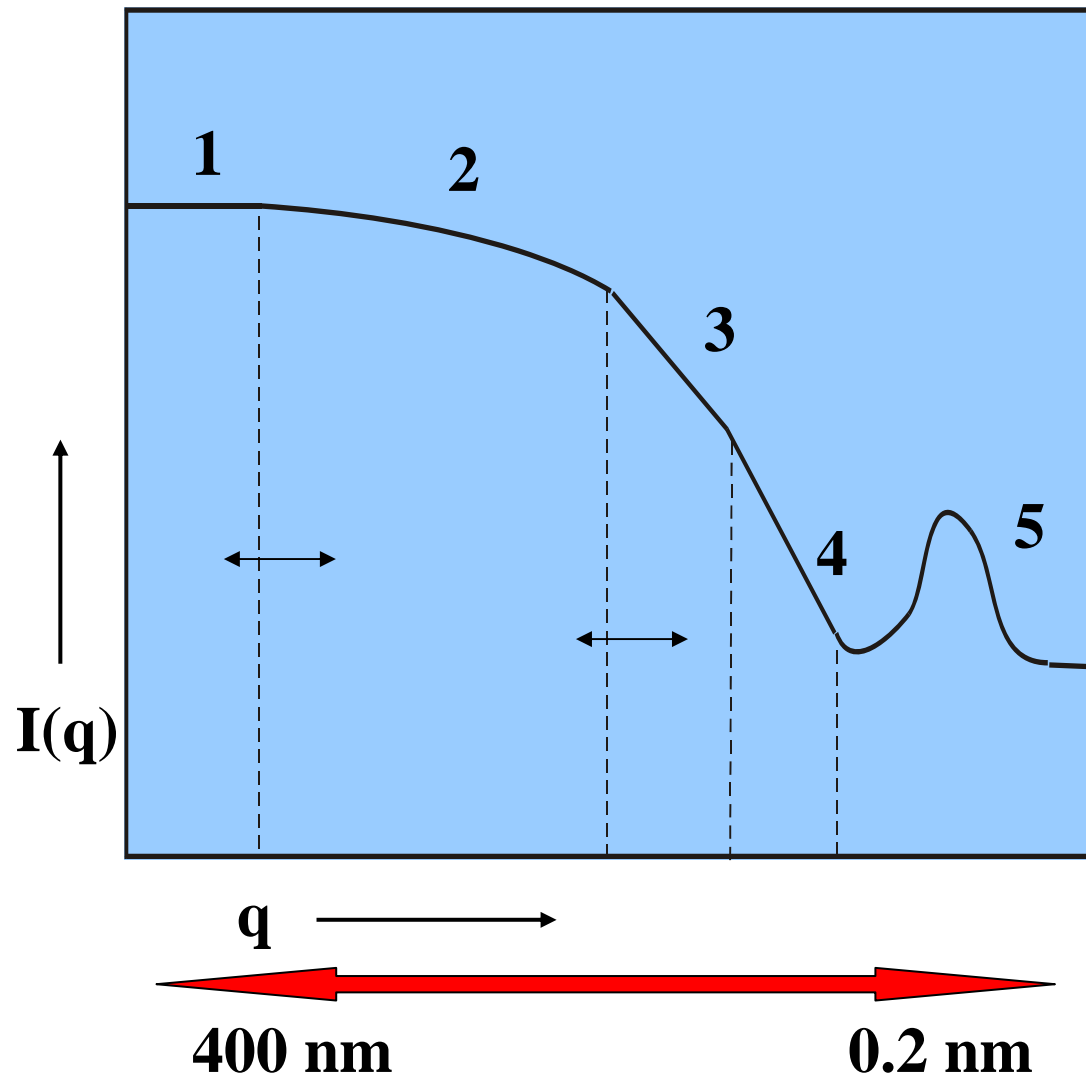
$$y_4 = \frac{1}{4} \cos 4r, \quad y_5 = \frac{1}{5} \cos 5r, \quad y_{q'} = a_{q'} \cos(q'r)$$

$$Y(q) = a_q = \frac{1}{2\pi} \int_0^{2\pi} y \exp(iqr) dr = \frac{1}{\pi} \int_0^{\pi} y \cos(qr) dr$$

1. FT of even functions are Fourier cosine transform.
2. FT of a cosine function is a delta function.
3. The amplitude of each function gives a spectrum.



Scattering Angle Region versus Length Scale in Structural Information



1 limit $q \rightarrow 0$
electron density contrast
density fluctuations
molecular weights

2 Guinier range
particle size

3 particle shape
large scale structures

4 Porod range
particle surface
Surface/volume

5 Intermolecular
ordering



Scattering at Low Angles

Inhomogeneous Density Distribution over Large Distance (nm scale)

$$s = 1/d \text{ (or } q = 2\pi/d) \text{ (\AA}^{-1}\text{)}$$

$$s = 0.001 - 0.1 \text{ \AA}^{-1} \quad d (10 \sim 1000 \text{ \AA})$$

$$2\theta = 0.008 - 8, \quad \lambda = 1.542 \text{ \AA}$$

$$s = |s| = \frac{2\sin\theta}{\lambda} \quad q = |q| = 2\pi s$$

- **Morphological information of multiphase system:
Domain (Particle) Size, Distribution,
Surface Area, Interface Thickness**
- **Density Fluctuation**
- **Supramolecular Ordered Structure**

Various types of plots

Methods to analyze I(q)

low q region; $q < R_g^{-1}$

Guinier plot ... R_g ($\log I$ vs. q^2)

Zimm plot ... R_g, A_2, Mw (I^{-1} vs. q^2)

Ornstein-Zernike plot ... ξ (I^{-1} vs. q^2)

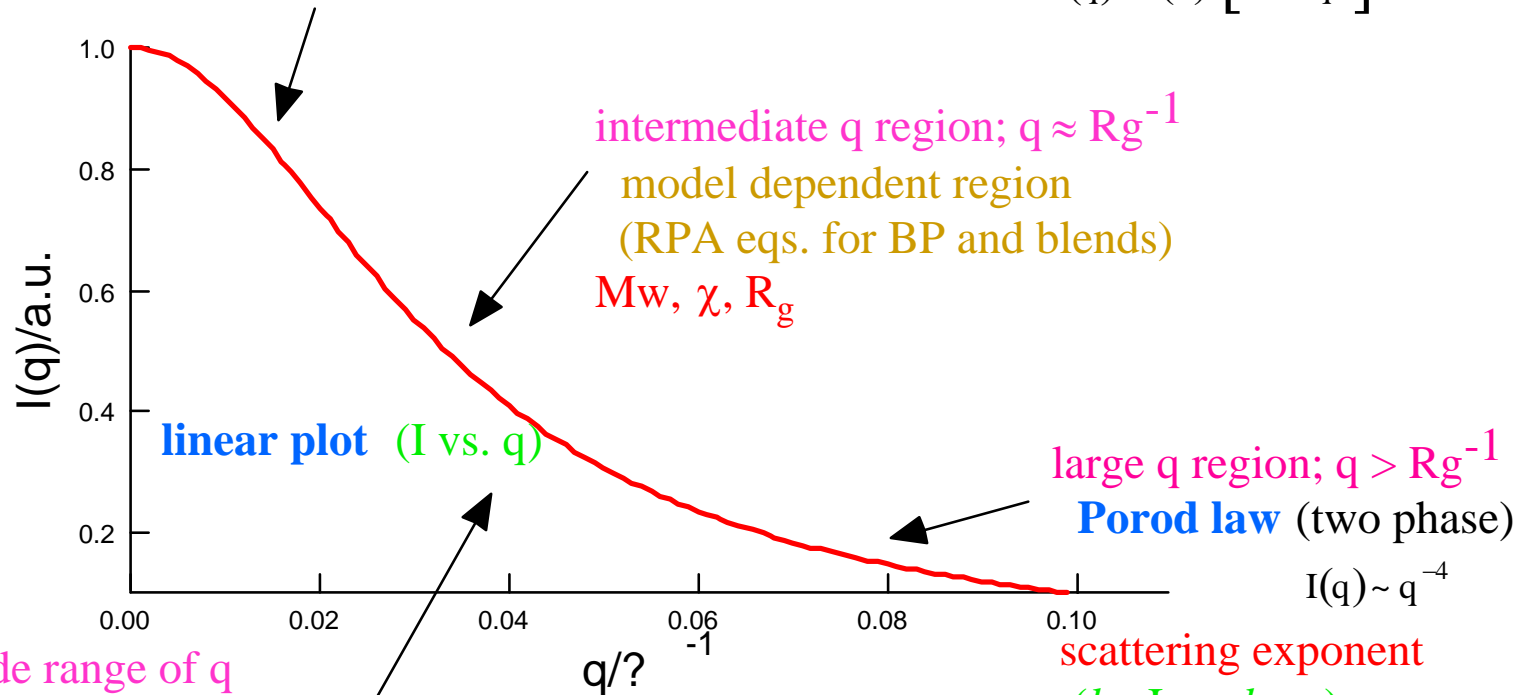
Debye-Bueche plot (two phase) ($I^{-1/2}$ vs. q^2) ... **chord length**

$$I(q) \sim \exp\left[-R_g^2 q^2 / 3\right]$$

$$KC/I(q) = M^{-1} \left[1 + R_g^2 q^2 / 3 + \dots \right] + 2A_2C$$

$$I(q) = I(0) / \left[1 + \xi^2 q^2 \right]$$

$$I(q) = I(0) / \left[1 + a^2 q^2 \right]^2$$



wide range of q

Kratky plot ($q^2 I$ vs. q) ... **segment length, a**

$$q^2 I(q) \sim \frac{Z}{q^4 R_g^4} \left\{ \exp\left[-R_g^2 q^2\right] - 1 \right\} - \frac{1}{a^2} \quad (\text{Debye fun.})$$

$$I(q) \sim q^{-1/\nu}$$

Invariant

Integration of Intensity

$$\int_0^\infty I_{obs}(s) ds = \int_0^\infty I_{obs}(s) e^{2\pi i s \cdot r} ds$$

$$\mathfrak{F}^{-1}[I_{obs}(s)]$$

$$\langle (\Delta\rho)^2 \rangle V \cdot \gamma(0) = \langle (\Delta\rho)^2 \rangle V, \quad r = 0$$

**Integration of intensity =
average density difference * scattering volume**



Outline



1. Introduction – POSTECH & Pohang Light Source
2. Optics, Beamlines and Equipments of SAXS
3. Data Collection and Samples
4. Fundamentals of SAXS
- 5. Fundamentals of Conventional, Transmission SAXS (TSAXS)**
 - (1) Single Molecule (or Particle)
 - (2) Multiple Molecules (or Particles) and Their Assemblies**
6. Fundamentals of Grazing Incidence SAXS (GISAXS)
 - (1) Static GISAXS
 - (2) In-Situ GISAXS
1. Conclusions – I, II
2. References
3. Introduction – M. Ree's Group at Postech
4. Acknowledgments



X-Ray Scattering from Multiple Molecules (or Particles)

Molecules (or Particles) and Their Assemblies



X-Ray Scattering from Multiple Molecules (Particles)

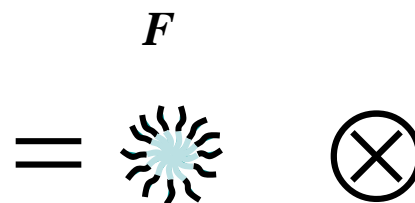
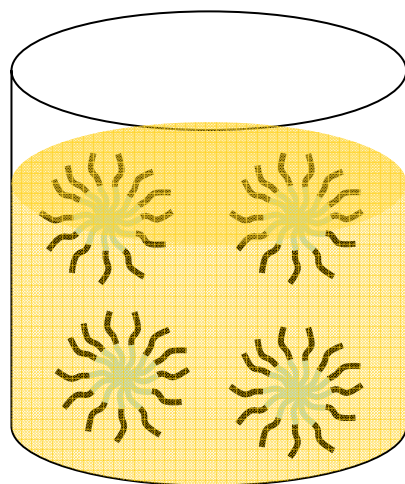


Convolution

$$\{F * S\}(\mathbf{r}) \equiv \int_{-\infty}^{\infty} F(\mathbf{u})S(\mathbf{r} - \mathbf{u})d\mathbf{u}$$

$$\{F * S\}(-\mathbf{r}) \equiv \int_{-\infty}^{\infty} F(\mathbf{u})S(\mathbf{r} + \mathbf{u})d\mathbf{u}$$

$$\mathfrak{T}\{F * S\} = \mathfrak{T}\{F\} \cdot \mathfrak{T}\{S\}$$



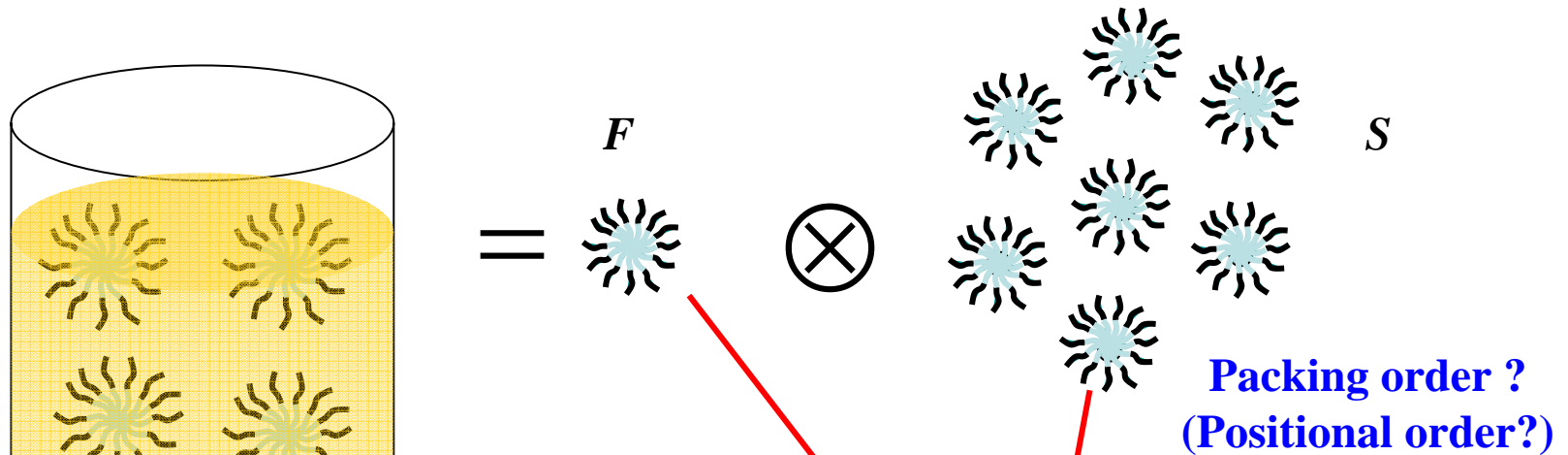
Single
molecule
(particle)



Packing order ?
(Positional order?)



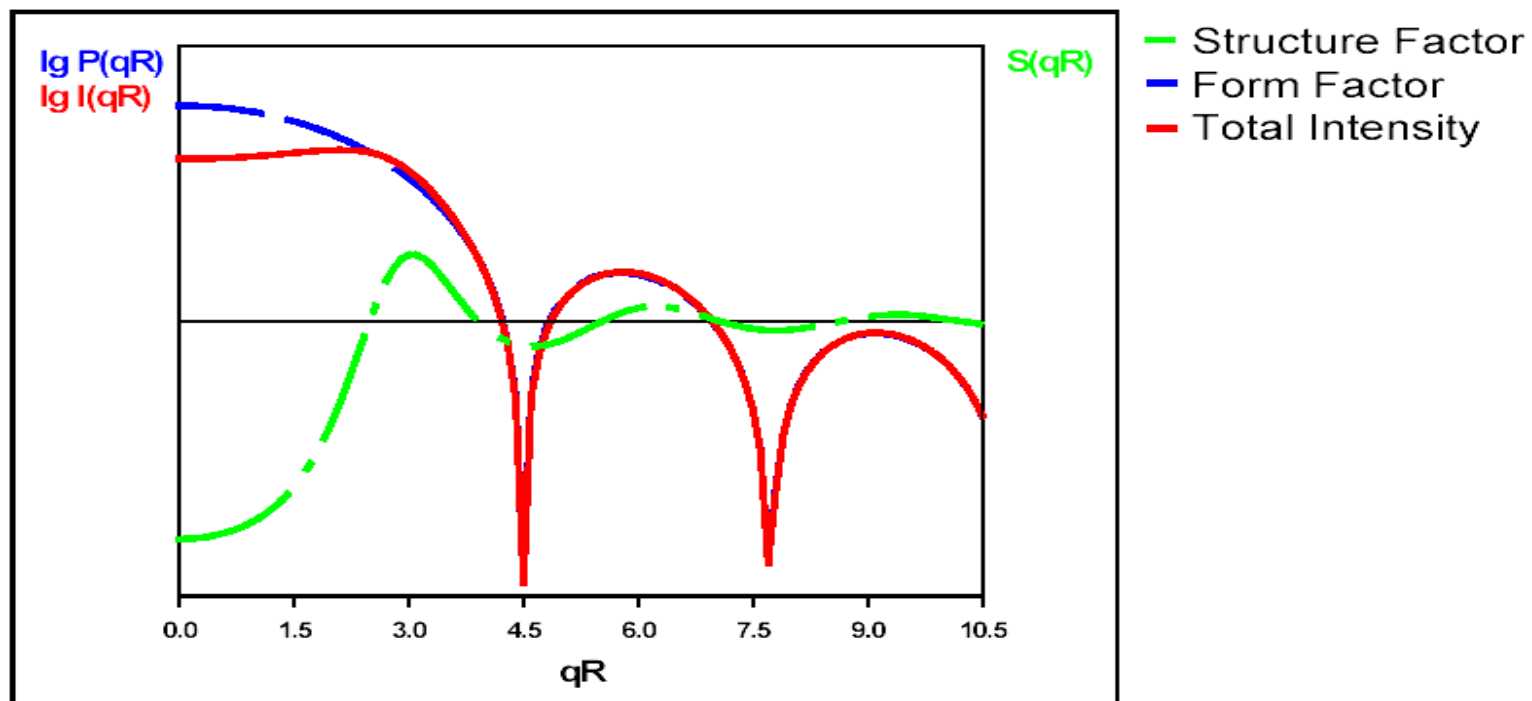
X-Ray Scattering from Multiple Molecules (Particles)



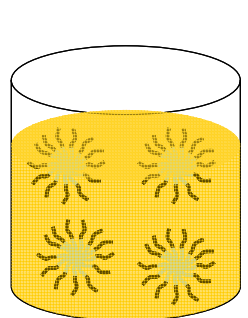
$$I = N_p (\Delta\rho_p)^2 \underbrace{F^2(q)}_{\text{Form Factor}} \underbrace{S^2(q)}_{\text{Structure Factor}}$$

$S(q) \approx 1$ for dilute solution

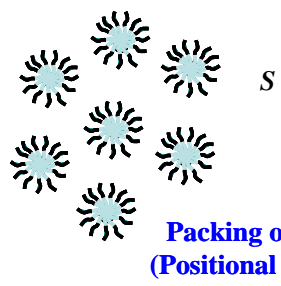




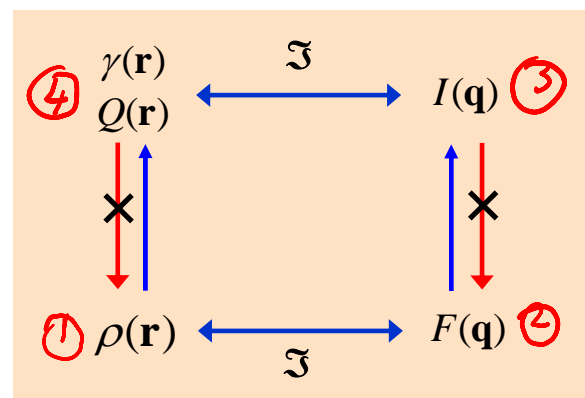
$$I = N_p (\Delta\rho_p)^2 \underbrace{F^2(q)}_{\text{Form Factor}} \underbrace{S^2(q)}_{\text{Structure Factor}}$$



F
= \otimes S
Single molecule (particle)

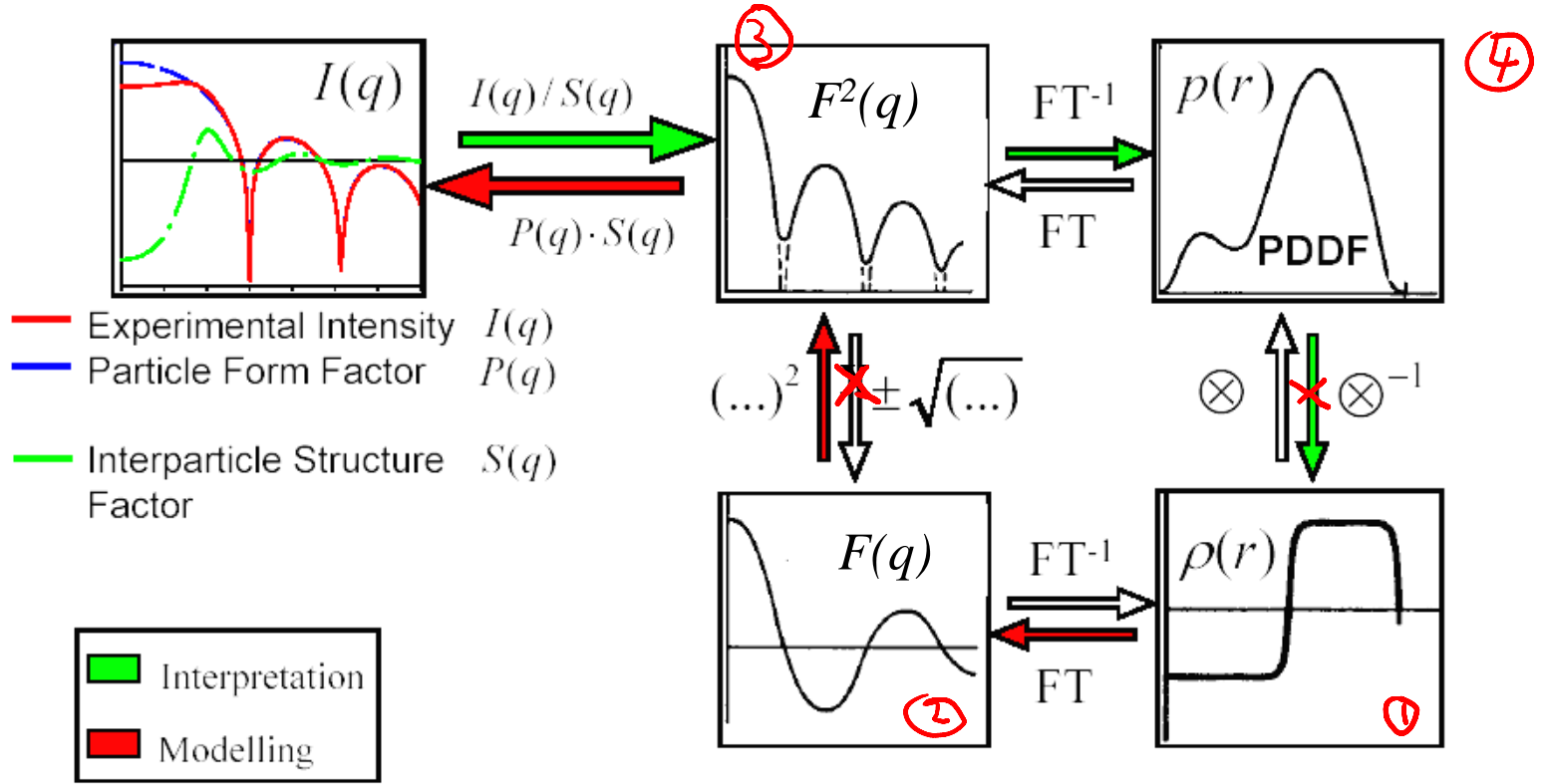


S
Packing order ?
(Positional order?)



Dense system

Dilute system



Various Shapes of Molecules (Particles) and Their Packing

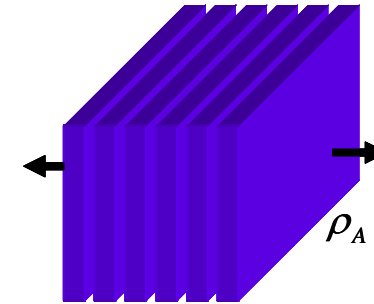
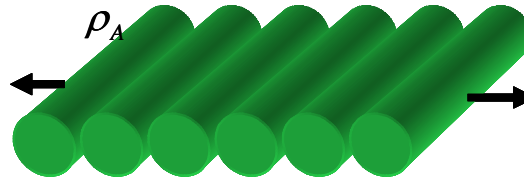
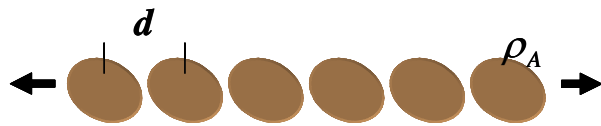


$$I(s) = \left| \mathfrak{T}\{\rho(x)\} \right|^2$$

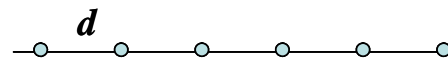
$$s = \frac{2\pi \sin \theta}{\lambda} = \frac{1}{d}$$

$$\begin{aligned} \rho(x) &= \rho_A(x) * S(x) \\ \mathfrak{T}\{\rho(x)\} &= \mathfrak{T}\{\rho_A(x) * S(x)\} \\ &= \mathfrak{T}\{\rho_A(x)\} \mathfrak{T}\{S(x)\} \\ &= F(s) S(s) \end{aligned}$$

$$\mathfrak{T}\{F * S\} = \mathfrak{T}\{F\} \cdot \mathfrak{T}\{S\}$$



$$S(x) \equiv \sum_{n=-\infty}^{+\infty} \delta(x - nd)$$



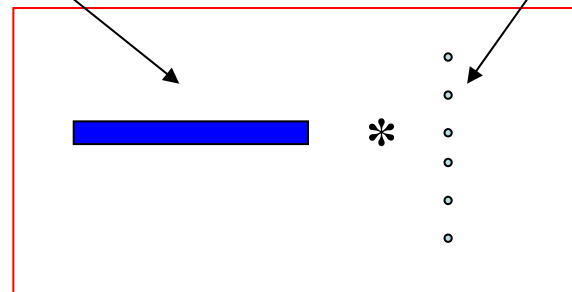
$$\rho(x) = S(x) * \text{particle}$$

$$S(x) * \text{cylinder}$$

$$S(x) * \text{plate}$$

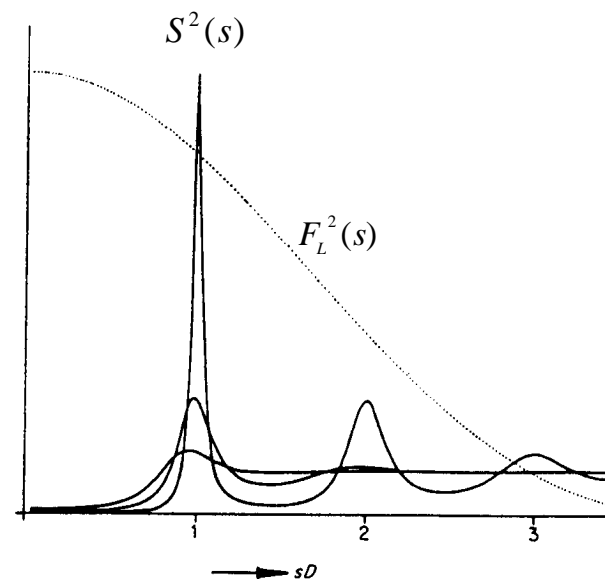
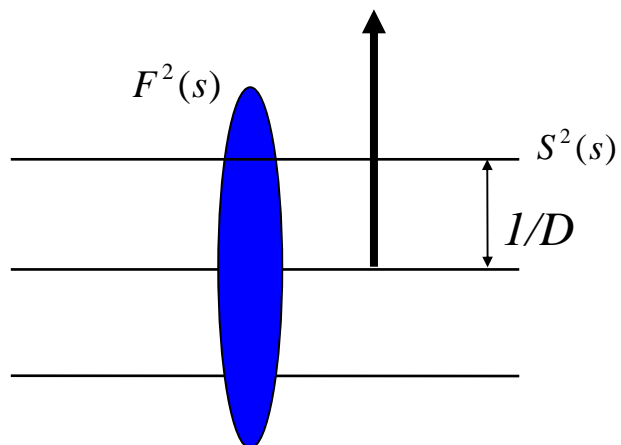


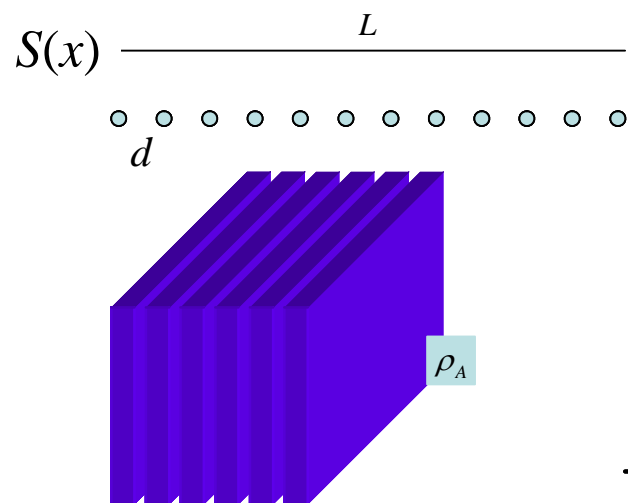
Rod Rod Array (or Packing)



$$\begin{aligned} \rho(x) &= \rho_A(x) * S(x) \\ \mathfrak{T}\{\rho(x)\} &= \mathfrak{T}\{\rho_A(x) * S(x)\} \\ &= \mathfrak{T}\{\rho_A(x)\} \mathfrak{T}\{S(x)\} \\ &= F(s) S(s) \end{aligned}$$

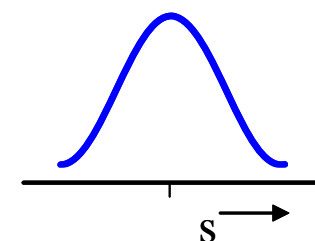
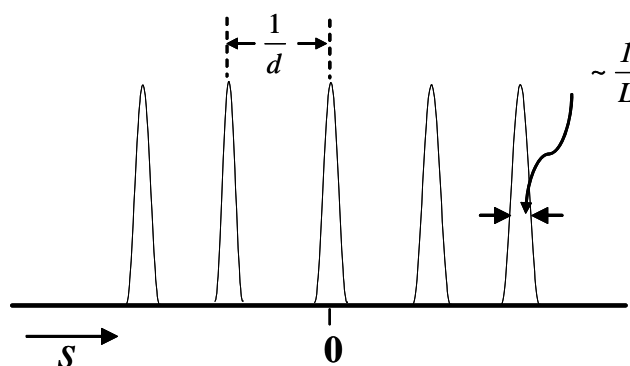
$$I_{obs}(s) = (F^2(s) \cdot S^2(s))$$



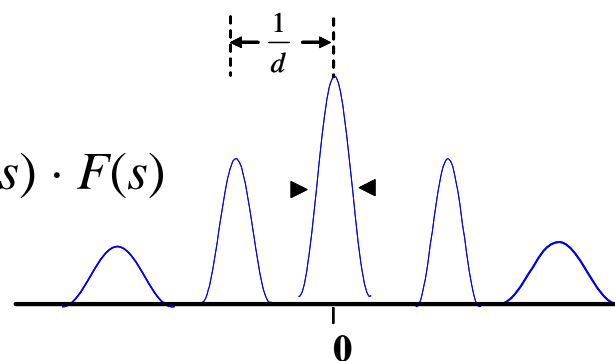


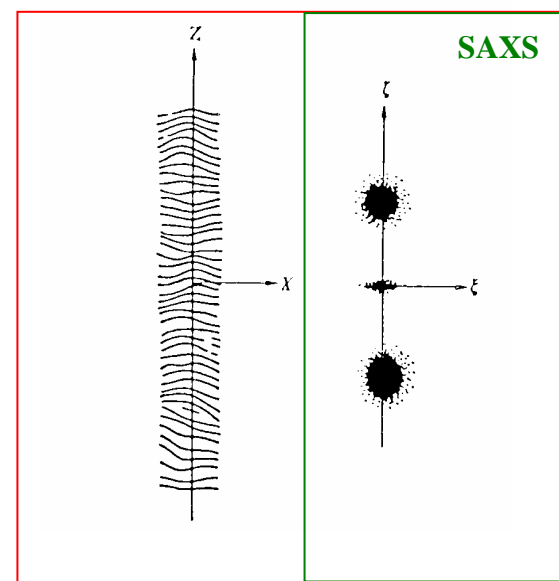
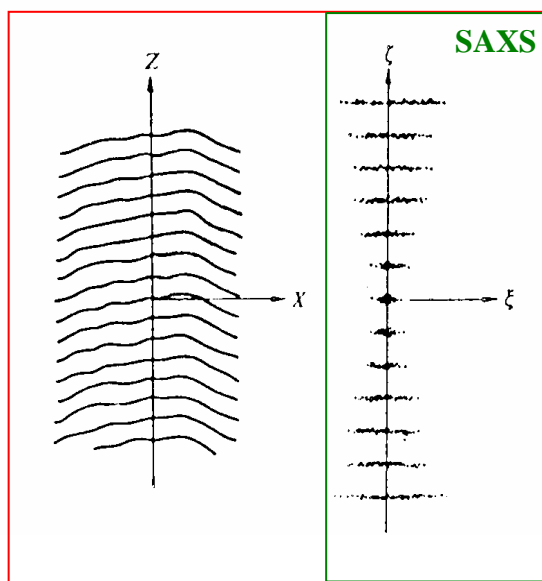
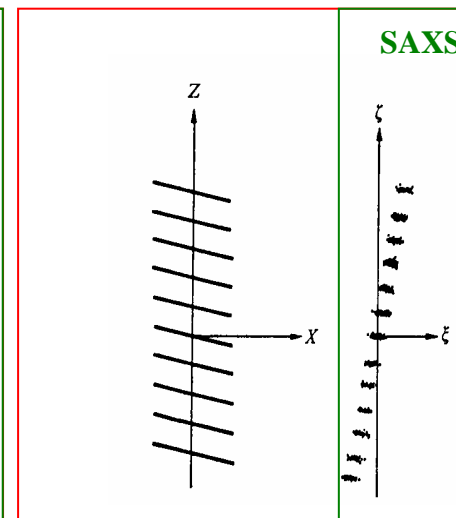
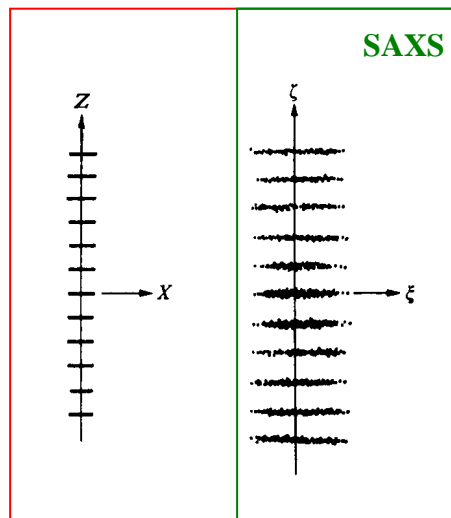
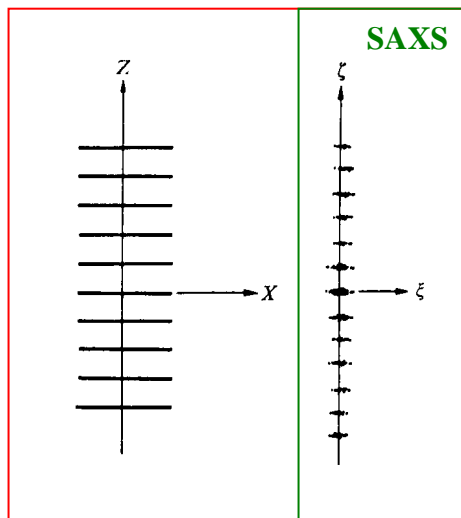
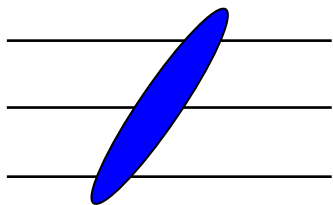
$$S(s) = \mathfrak{T}\{S(x)\}$$

$$F(s) = \mathfrak{T}\{\rho_A(x)\}$$

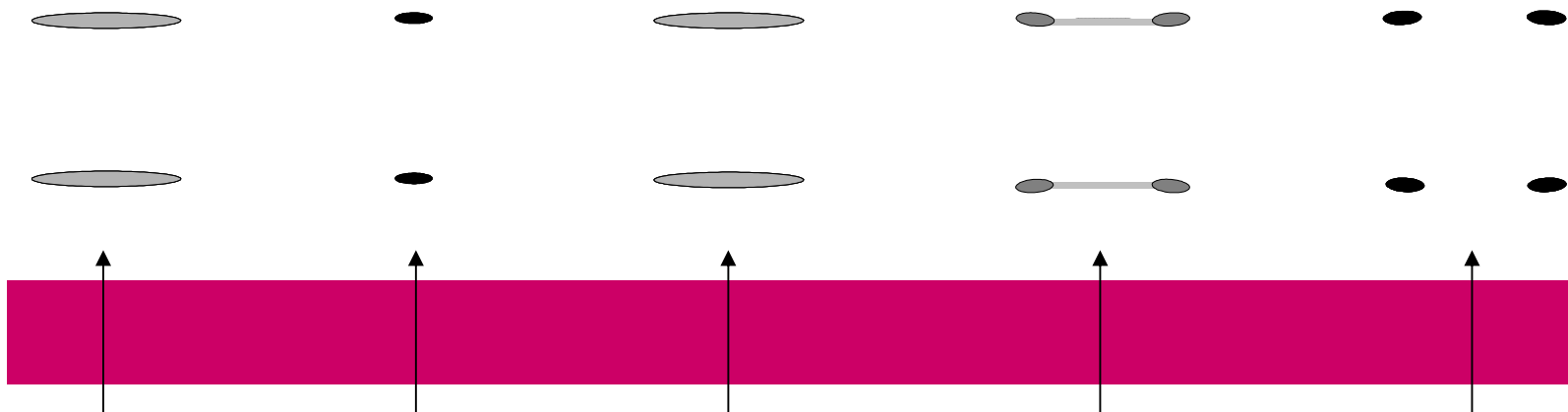


$$S(s) \cdot F(s)$$

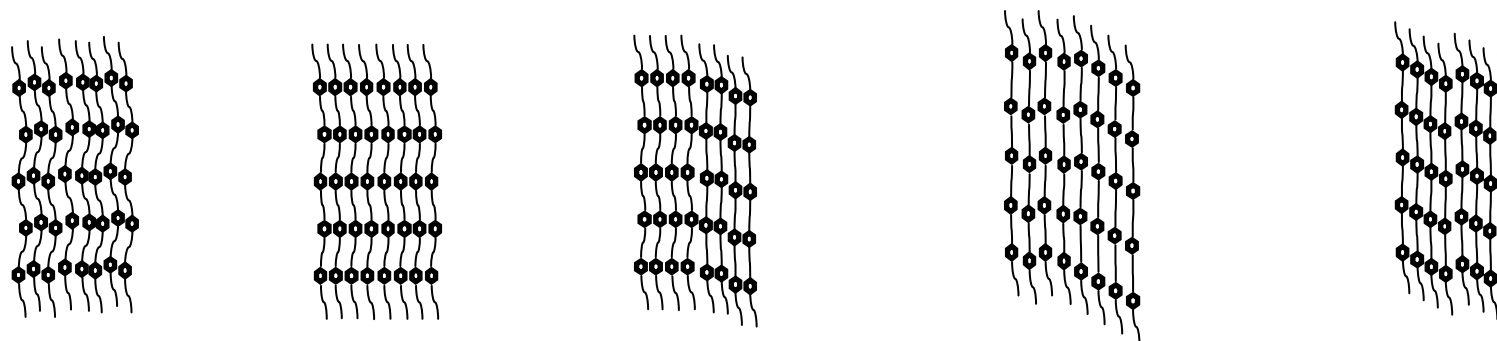




WAXS
patterns



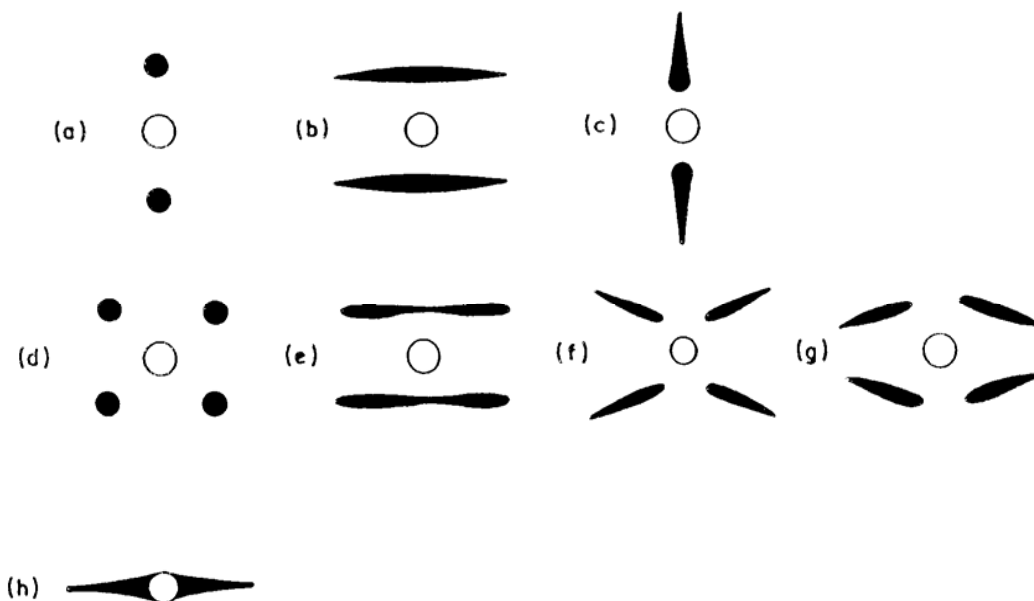
Chain
packing

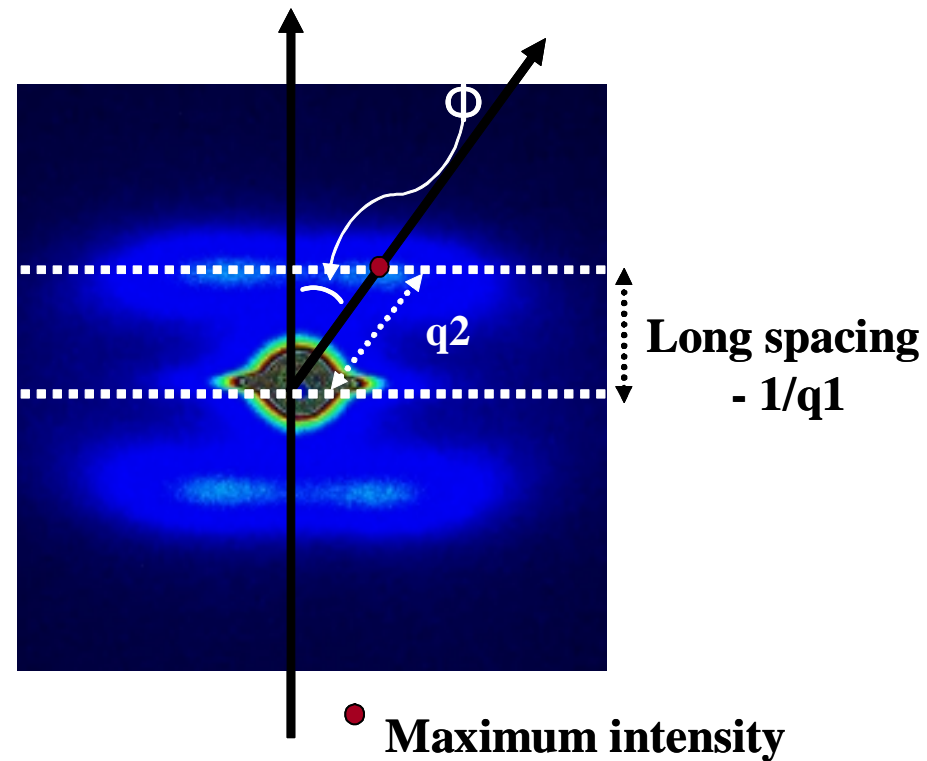
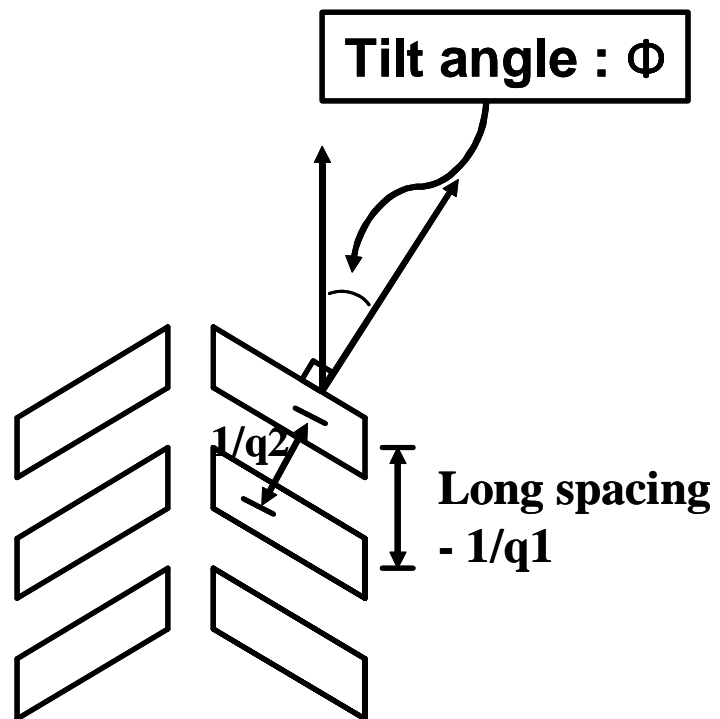


H.H. Song et al., *Macromolecules*, 36, 9873 (2003)



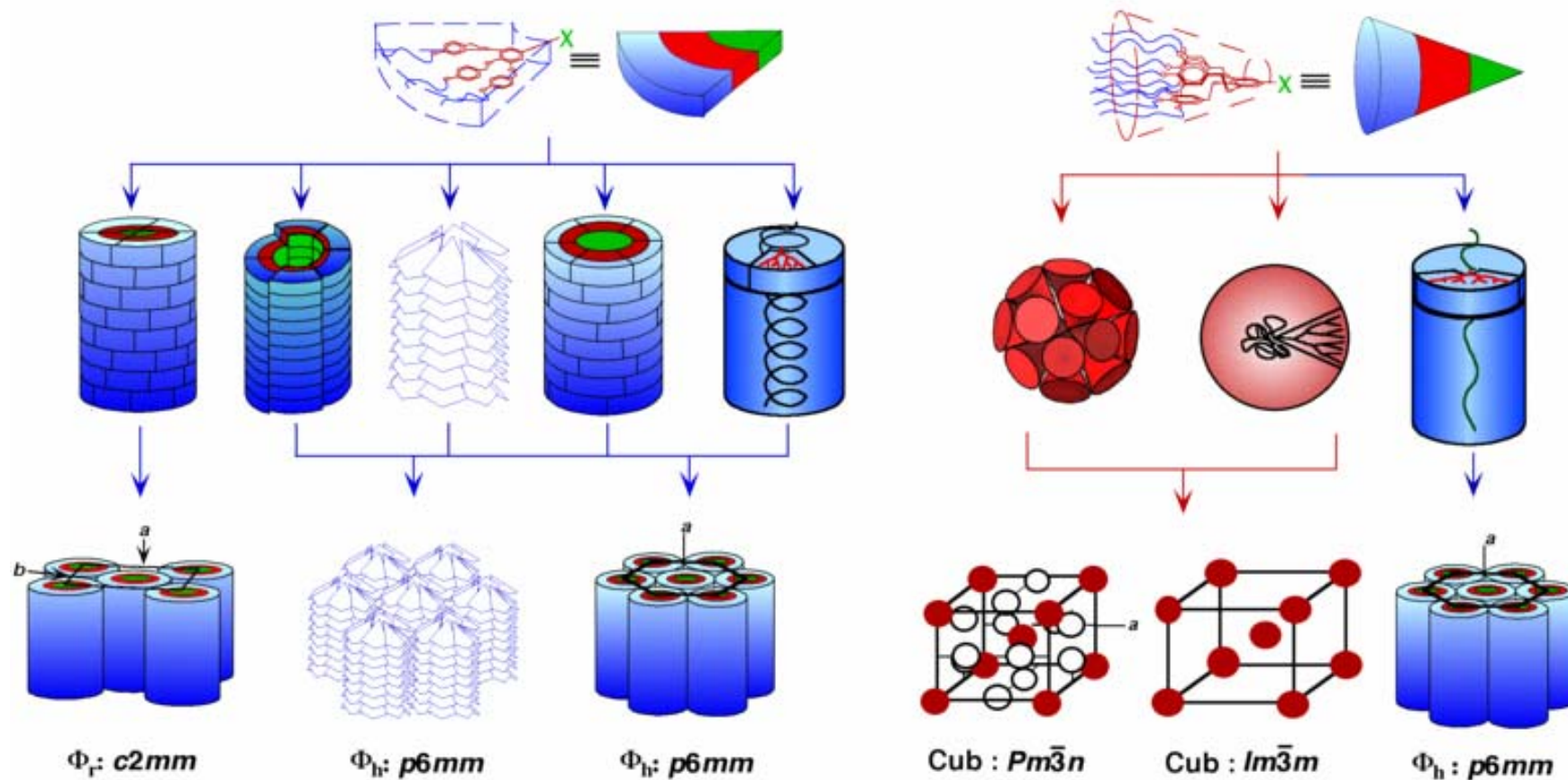
Oriented Lamellar Patterns (WAXS)

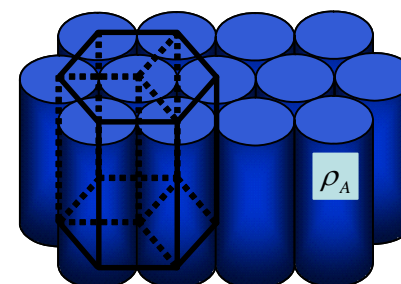
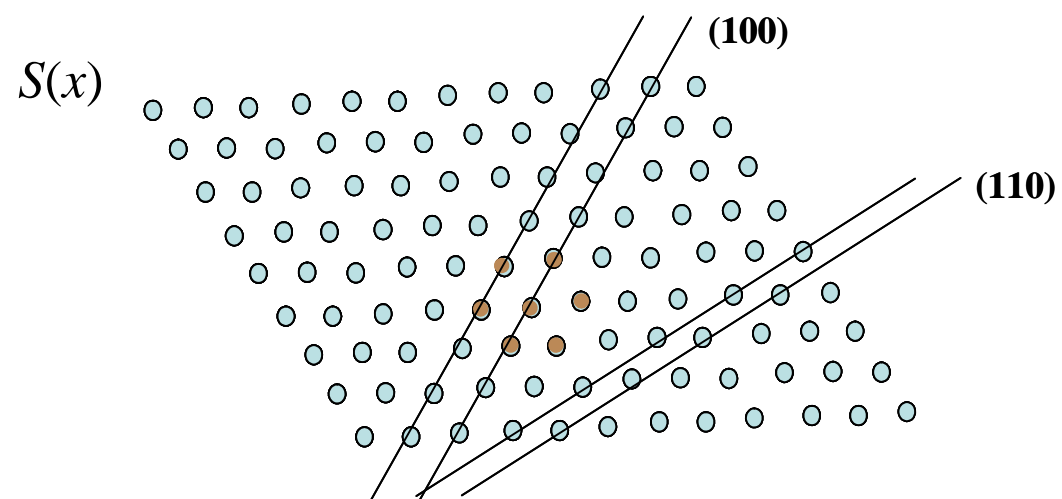




H.H. Song et al., *Macromolecules*, 36, 9873 (2003)

Nanostructures

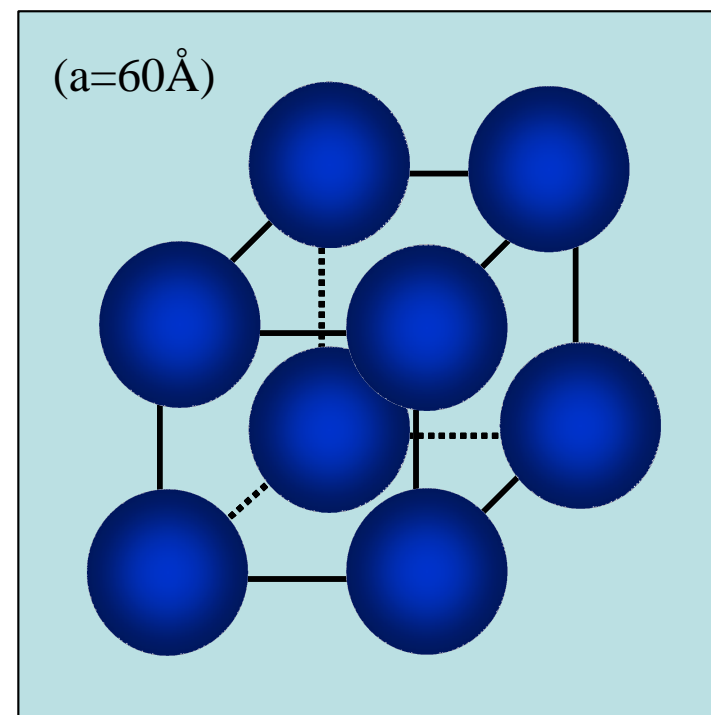




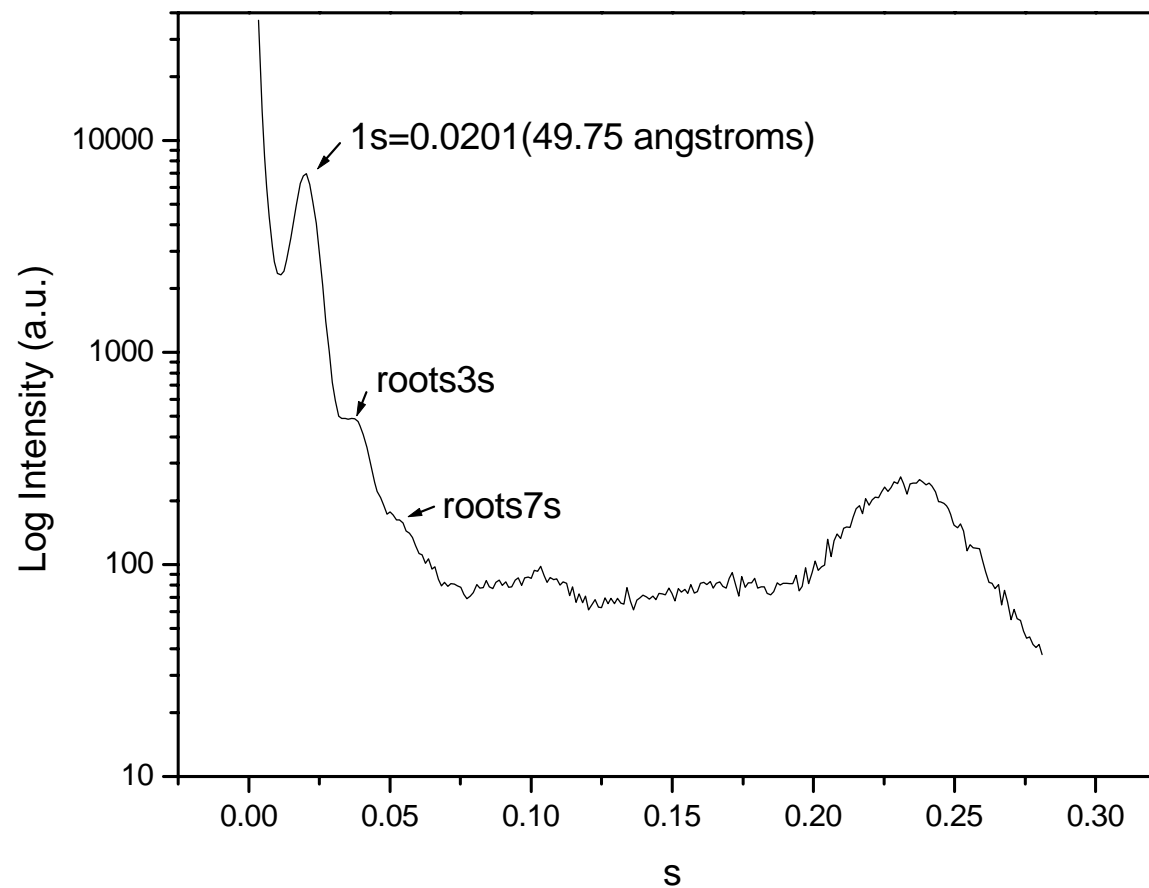
Sphere cubic packing

h	k	l	s²	s	order	d
1	0	0	2.78E-04	0.016667	1	60.00
1	1	0	5.56E-04	0.02357	√2	42.43
1	1	1	8.33E-04	0.028868	√3	34.64
2	0	0	0.00111	0.033333	√4	30.00
2	1	0	0.00139	0.037268	√5	26.83
2	1	1	0.00167	0.040825	√6	24.49
2	2	0	0.00222	0.04714	√8	21.21
2	2	1	0.0025	0.05	√9	20.00
2	2	2	0.00333	0.057735	√12	17.32
3	0	0	0.0025	0.05	√9	20.00
3	1	0	0.00278	0.052705	√10	18.97
3	1	1	0.00306	0.055277	√11	18.09
3	2	0	0.00361	0.060093	√13	16.64
3	2	1	0.00389	0.062361	√14	16.04

$$\frac{1}{d^2} = \frac{h^2 + k^2 + l^2}{a^2}$$



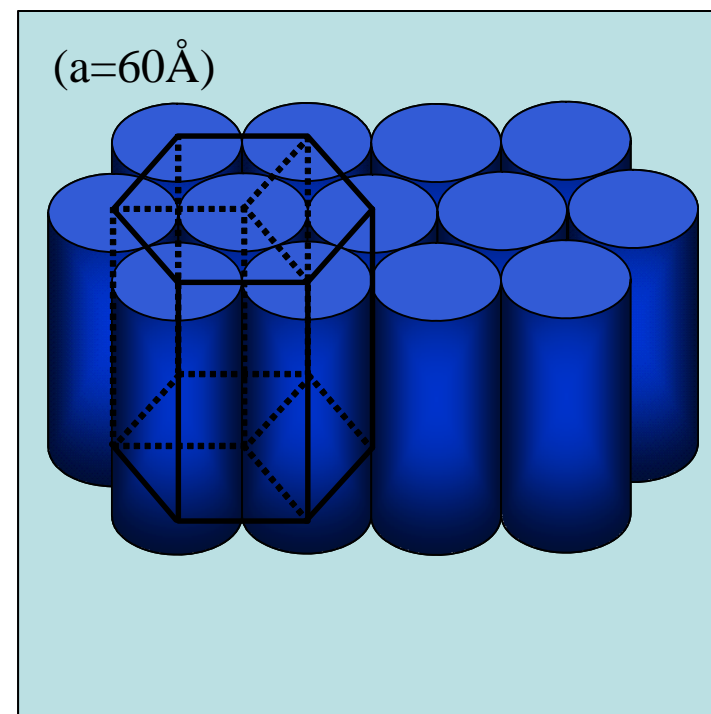
Hexagonal cylinder



Columnar hexagonal packing

h	k	l	s ²	s	order	d
1	0	0	0.00037	0.019245	1	51.96
1	1	0	0.001111	0.033333	√3	30.00
2	0	0	0.001481	0.03849	√4	25.98
2	1	0	0.002593	0.050918	√7	19.64
2	2	0	0.004444	0.066667	√12	15.00
3	0	0	0.003333	0.057735	√9	17.32
3	1	0	0.004815	0.069389	√13	14.41
3	2	0	0.007037	0.083887	√19	11.92
3	3	0	0.01	0.1	√27	10.00
4	0	0	0.005926	0.07698	√16	12.99
4	1	0	0.007778	0.088192	√21	11.34
4	2	0	0.01037	0.101835	√28	9.82
4	3	0	0.013704	0.117063	√37	8.54
4	4	0	0.017778	0.133333	√48	7.50

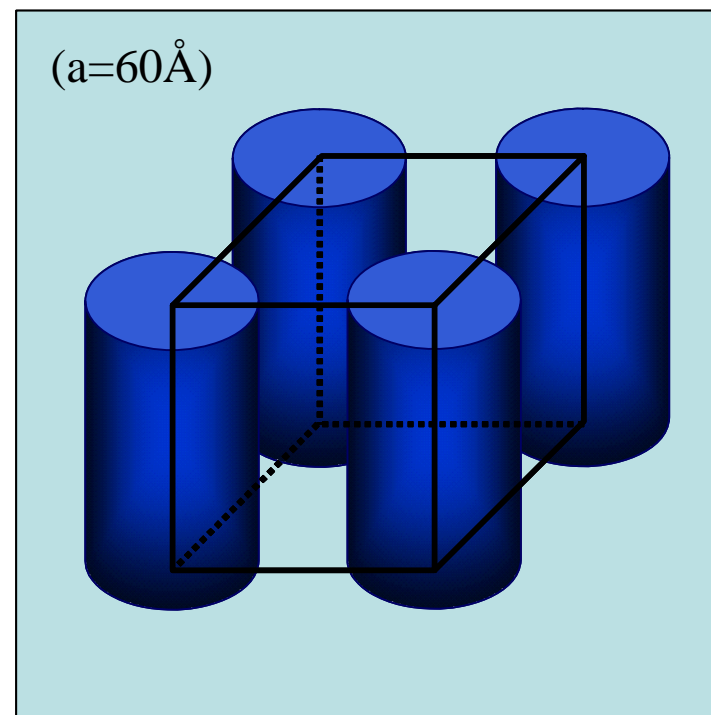
$$\frac{1}{d^2} = \frac{4}{3} \left(\frac{h^2 + hk + k^2}{a^2} \right) + \frac{l^2}{c^2}$$



Columnar quadratic packing

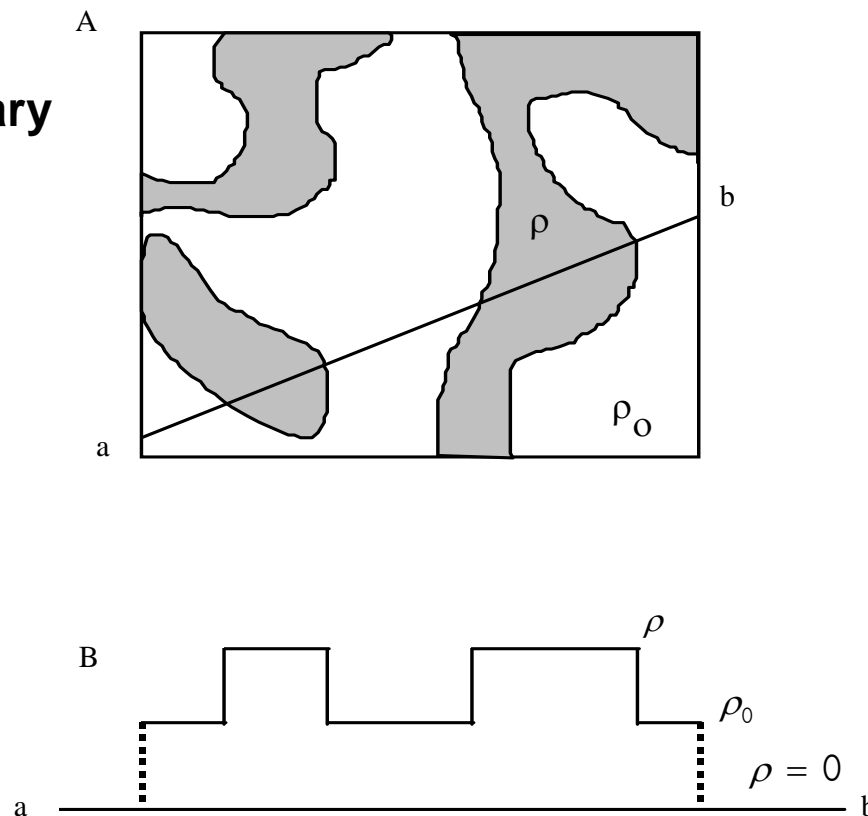
h	k	l	s ²	s	order	d
1	0	0	0.000278	0.016667	1	60.00
1	1	0	0.000556	0.02357	√2	42.43
2	0	0	0.001111	0.033333	√4	30.00
2	1	0	0.001389	0.037268	√5	26.83
2	2	0	0.002222	0.04714	√8	21.21
3	0	0	0.0025	0.05	√9	20.00
3	1	0	0.002778	0.052705	√10	18.97
3	2	0	0.003611	0.060093	√13	16.64
3	3	0	0.005	0.070711	√18	14.14
4	0	0	0.004444	0.066667	√16	15.00
4	1	0	0.004722	0.068718	√17	14.55
4	2	0	0.005556	0.074536	√20	13.42
4	3	0	0.006944	0.083333	√25	12.00
4	4	0	0.008889	0.094281	√32	10.61

$$\frac{1}{d^2} = \frac{h^2 + k^2 + l^2}{a^2}$$



Two Phase System

Sharp boundary



Model of two phase system (A) and electron density distribution follow up line a-b (B).

Condensed Multi-phase

ρ_1, ρ_2 : densities of particle and matrix

ϕ_1, ϕ_2 : volume fractions $\rho_0 = \rho_1 \phi_1 + \rho_2 \phi_2$ average density

$\eta(r) = \rho(r) - \rho_0$

$$\langle \eta^2 \rangle = \Delta \rho^2 \phi_1 \phi_2 \quad \Delta \rho = \rho_1 - \rho_2$$

$$I_{obs}(\mathbf{s}) = \langle \eta^2 \rangle V \mathfrak{F}\{\gamma(\mathbf{r})\} = \Delta \rho^2 \phi_1 \phi_2 V \cdot \mathfrak{F}\{\gamma(\mathbf{r})\}$$

$$I_{obs}(\mathbf{s}) = 2\pi(\Delta \rho)^2 \phi_1 \phi_2 V \cdot \mathfrak{F}\{\gamma(\mathbf{r})\}$$

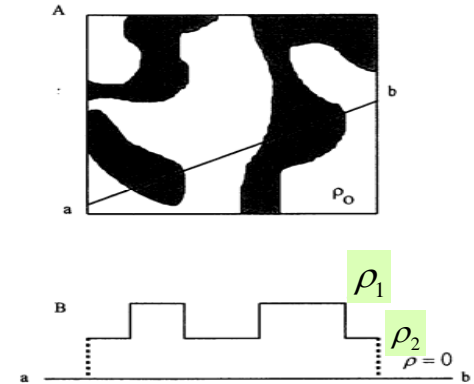
Invariant

$$\int I_{obs}(\mathbf{s}) d\mathbf{s} = \int I_{obs}(\mathbf{s}) e^{i2\pi(\mathbf{s} \cdot \mathbf{r})} d\mathbf{s} \quad (\mathbf{r} = 0)$$

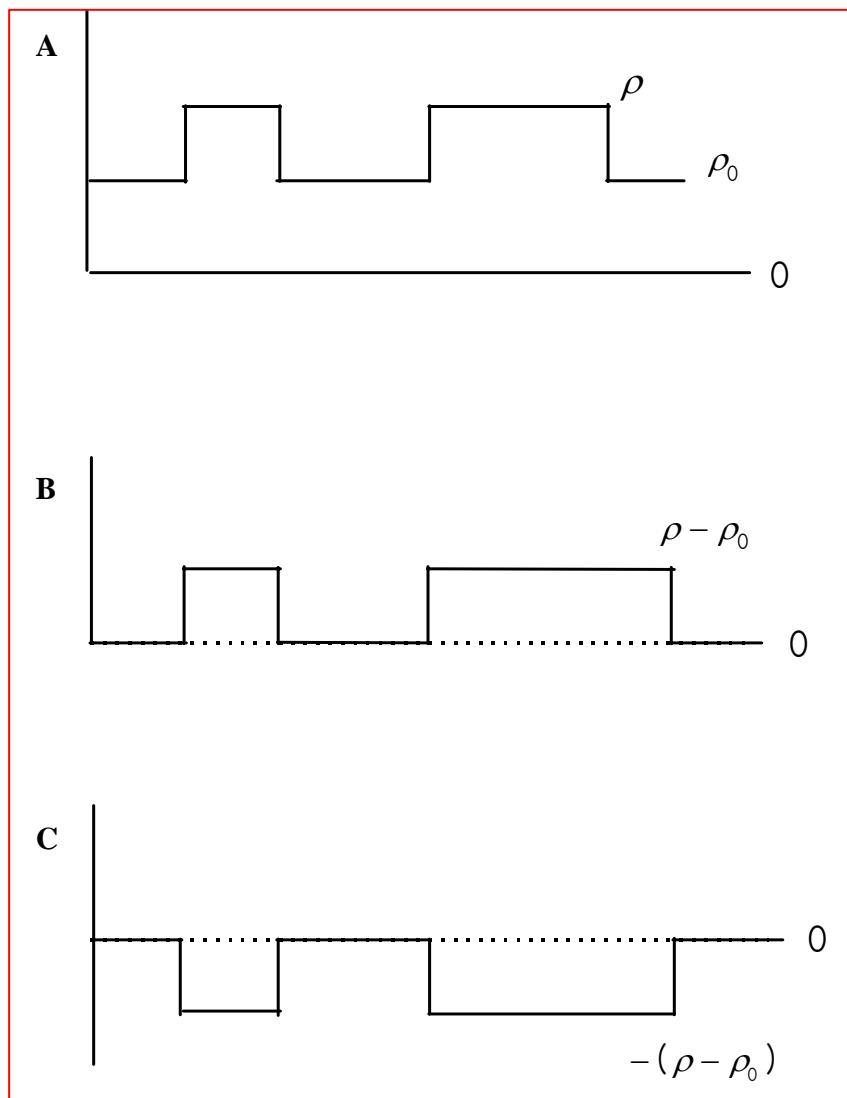
$$(\Delta \rho)^2 \phi_1 \phi_2 V \gamma(\mathbf{r}), \mathbf{r} = 0$$

Surface Area

$$\gamma'(0) = -\frac{1}{4\phi_1\phi_2} \frac{A}{V}$$

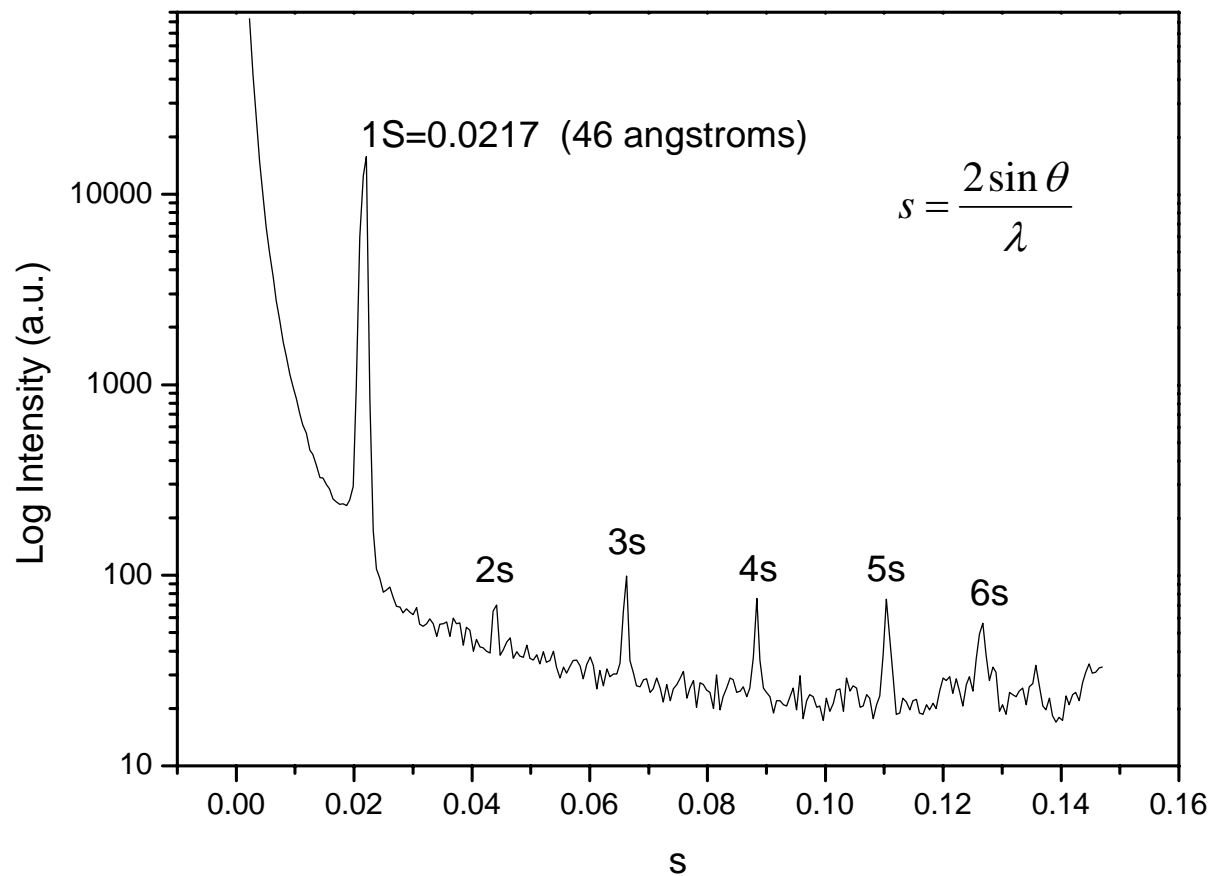


Babinet's Reciprocity Principle

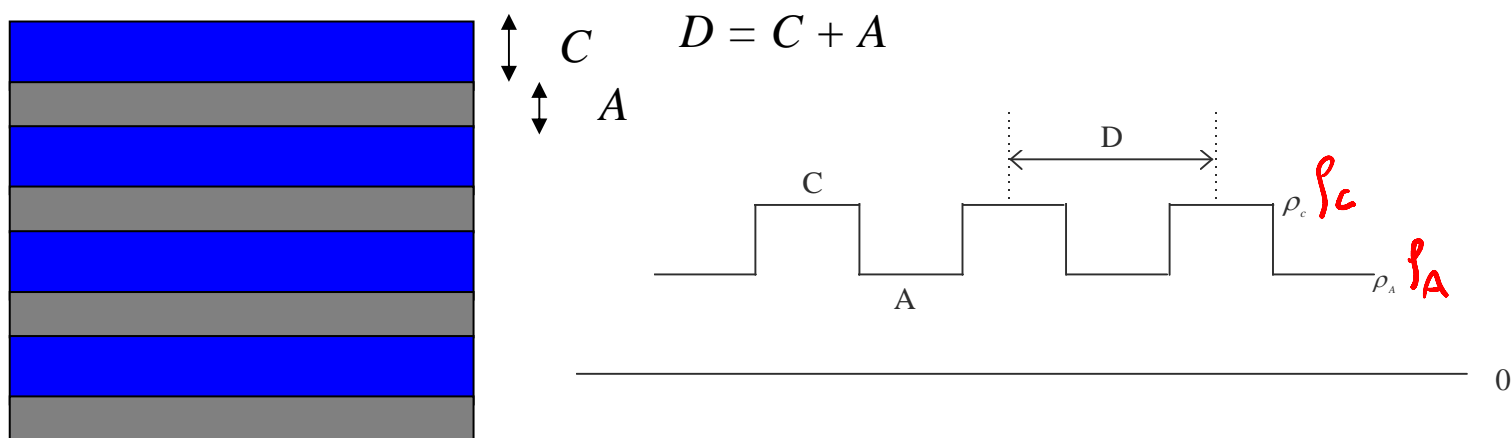


All produces identical I_{obs}

Lamella

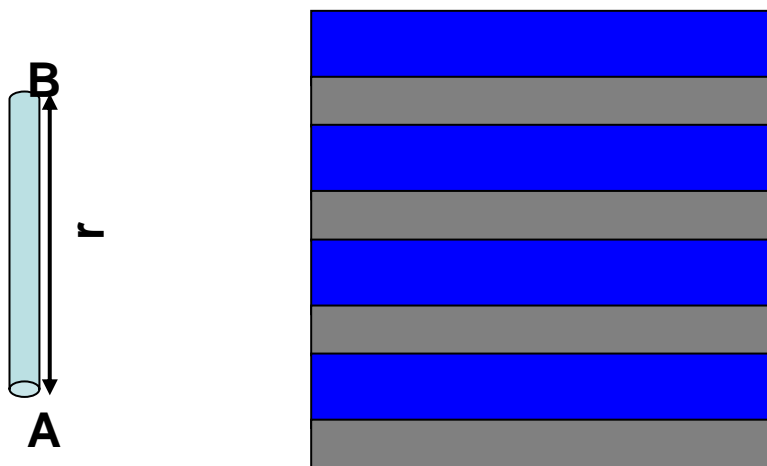


Polymer Crystals (Lamellae)



$$I_{1obs}(s) = 4\pi s^2 I_{obs}(s)$$

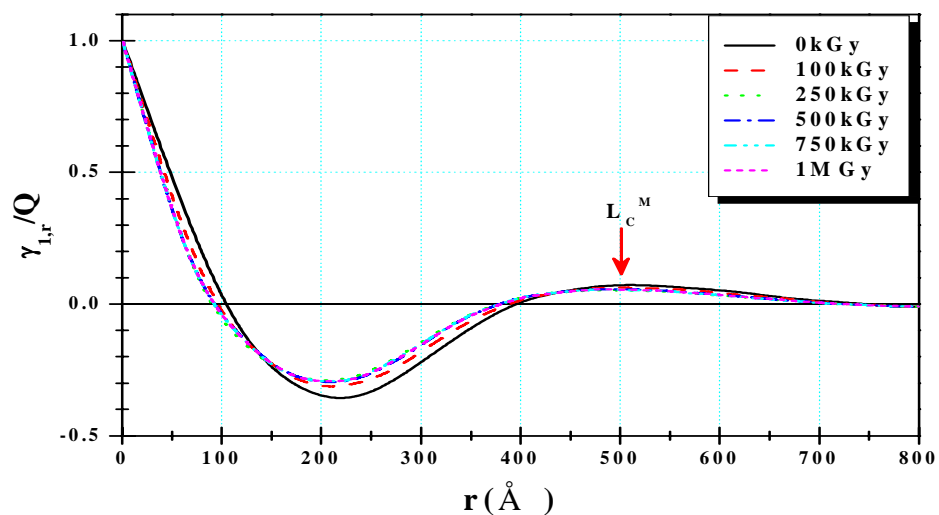
Correlation Function



$$\eta_A, \eta_B \quad (\eta = \rho - \langle \rho \rangle)$$

$$\gamma_1(r) = \frac{\int \eta(r+u)\eta(u)du}{\langle \eta^2 \rangle} = \frac{\langle \eta_A \eta_B \rangle}{\langle \eta^2 \rangle}$$

$$\gamma_I(r) = \frac{\int I_I(s) \cos 2\pi r s ds}{\int I_I(s) ds}$$



Porod Law (for high scattering angle, Porod region)

For spherical particle

$$I_{obs}(s) = \frac{\rho - \rho_0}{8\pi^3} \left[\frac{4\pi R^2}{s^4} + \frac{1}{\pi s^6} + \frac{4R}{s^5} \sin 4\pi R s + \left(\frac{4\pi R^2}{s^4} - \frac{1}{\pi s^6} \right) \cos 4\pi R s \right]$$

when s is large

$$I_{obs}(s) = \frac{\rho - \rho_0}{8\pi^3} \frac{A}{s^4}$$

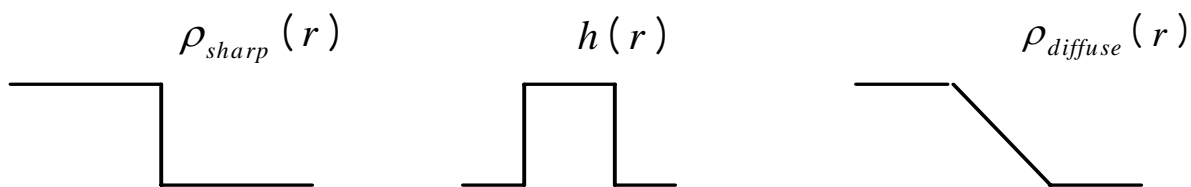
A is surface area of the particle

Satisfies regardless of particle shape, size and concentration

Surface area A can be obtained from the plot of $s^4 I_{obs}(s)$ vs. s

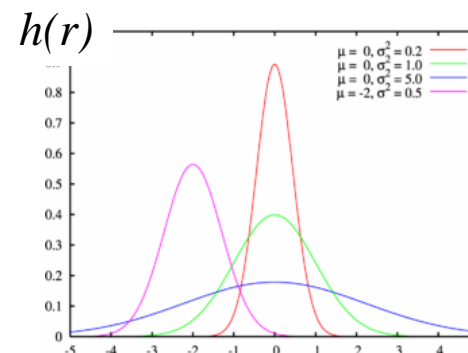
This is also used for intensity fit at high angles

Interface Thickness



$$\rho_{diffuse}(r) = \rho_{sharp}(r) * h(r)$$

$$h(r) = \frac{1}{(\sqrt{2\pi}\sigma)^3} \exp\left(-\frac{r^2}{2\sigma^2}\right)$$



$$I_{diffuse}(s) = I_{sharp}(s) |\mathfrak{F}\{h(r)\}|^2 \quad \text{Here, } |\mathfrak{F}\{h(r)\}|^2 = e^{-4\pi^2\sigma^2s^2}$$

$$I_{diffuse}(s) = I_{sharp}(s) e^{-4\pi^2\sigma^2s^2} \sim I_{sharp}(s) (1 - 4\pi^2\sigma^2s^2)$$

$$I_{diffuse}(s) \sim \frac{1}{8\pi} (\Delta\rho)^2 \frac{A}{s^4} (1 - 4\sigma^2\pi^2s^2) \quad \text{Porod region}$$

Guinier Approximation

$$I_{obs}(s) = N \langle \eta^2 \rangle V^2 e^{-\frac{4}{3} \pi^2 R_g^2 s^2}$$

$$\ln I_{obs}(s) = \ln NV^2 \langle \eta^2 \rangle - \frac{4}{3} \pi^2 R_g^2 s^2$$

R_g : radius of gyration

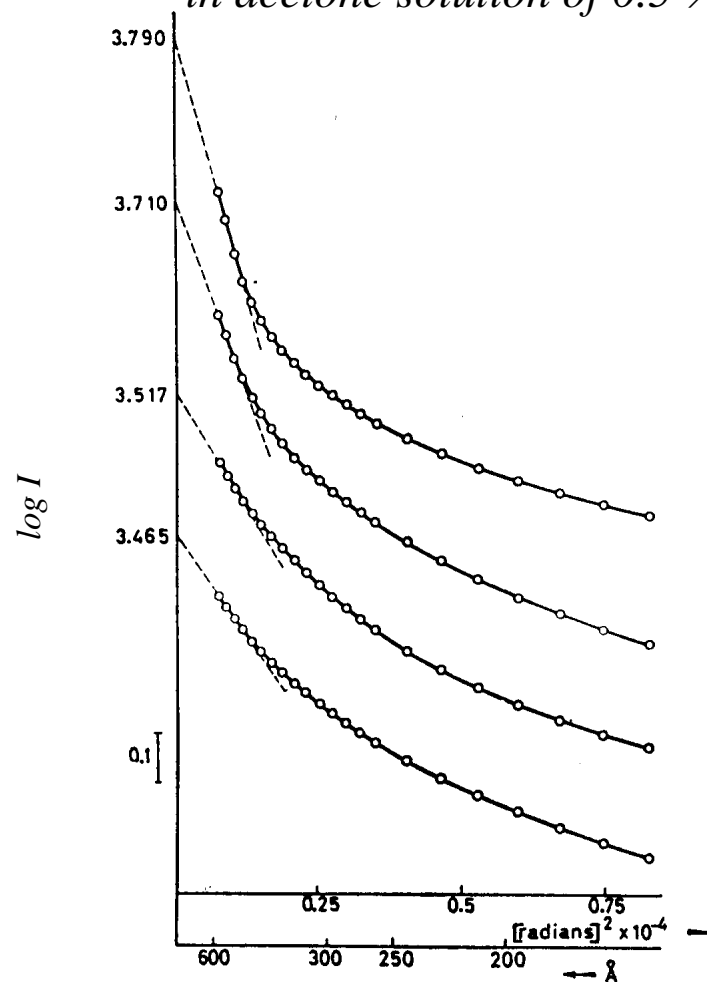
V : scattering volume

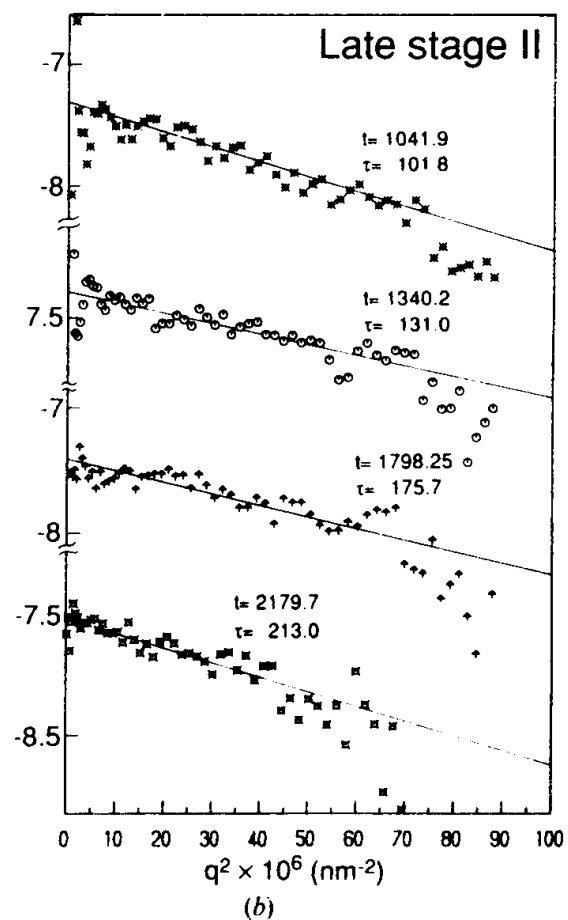
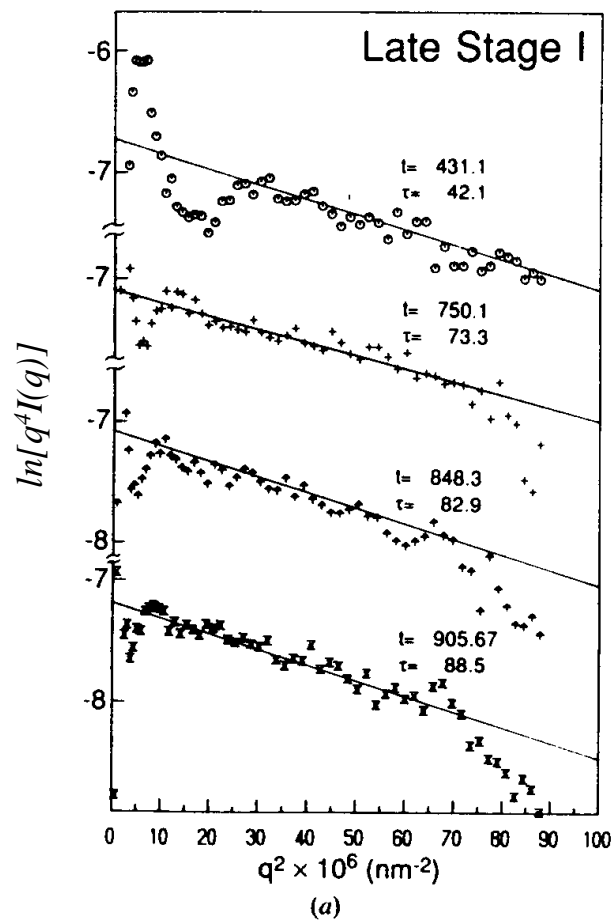
R_g from the slope

$$I(0) = NV^2 \langle \eta \rangle^2$$

Applicable only at very small angles
Must be sufficiently dilute

Guinier plot of cellulose nitrate in acetone solution of 0.5 %.





Density Fluctuation

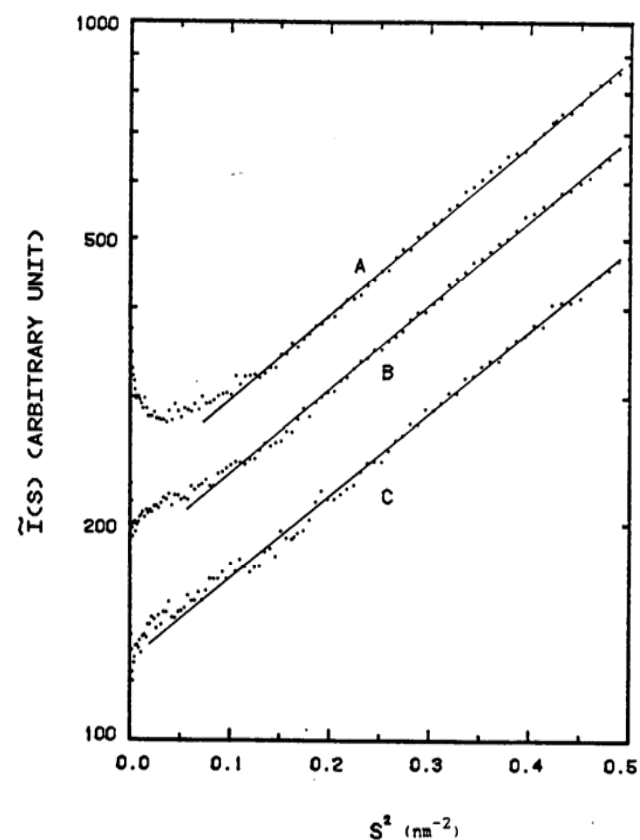
$$Fl(V) = \frac{\langle (N - \langle N \rangle)^2 \rangle}{\langle N \rangle}$$

$$Fl(V) = \int_{Vr} \frac{1}{\rho_0} I(s) \frac{1}{V} (\Sigma^2(s)) ds$$

Ruland (1975)

$$Fl(\infty) = \frac{1}{\rho_0} I(0)$$

$$Fl(\infty) = \rho \kappa T \beta_T$$



Correlation and Interface Distribution Functions Analysis on Lamellar Structure

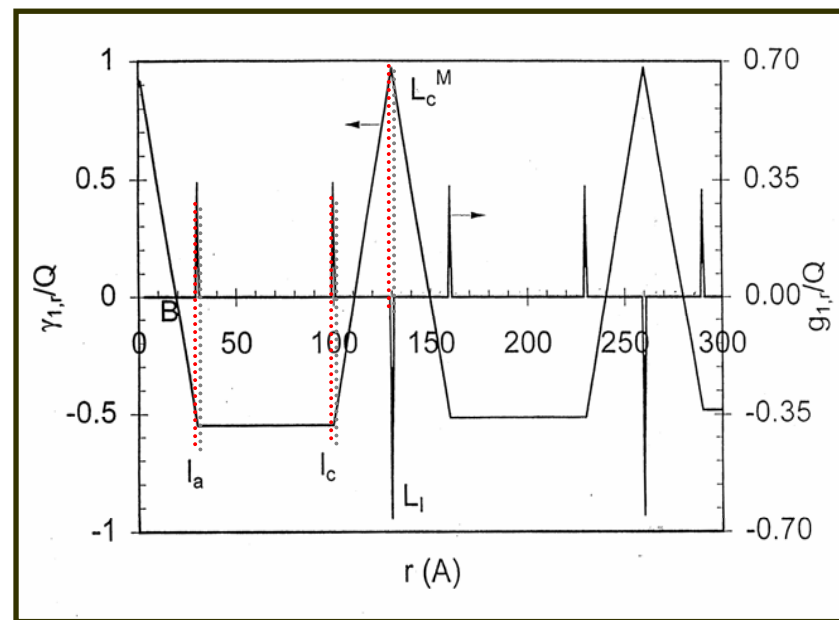
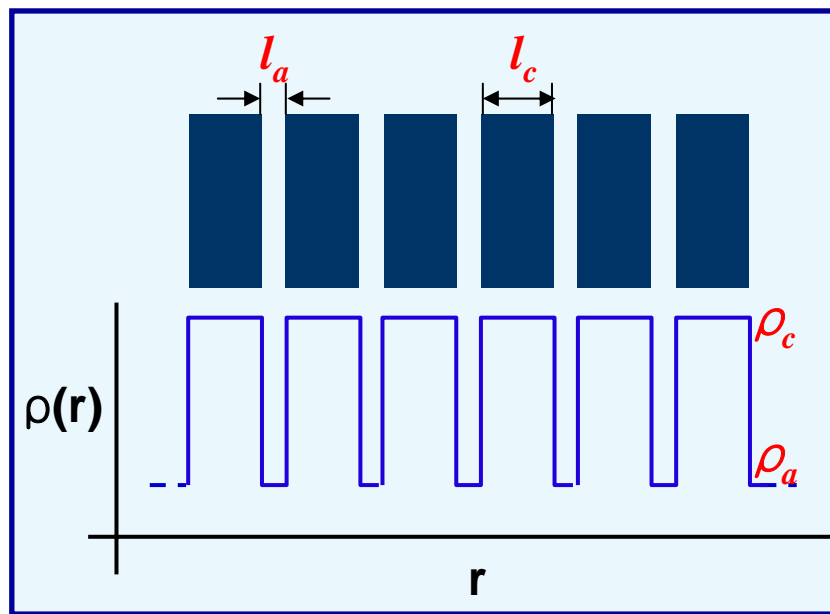
Examples of various arbitrary models

$$Z''(r) = \frac{2}{r_e^2 (2\pi)^2} \int_0^\infty \left[\lim_{q \rightarrow \infty} q^4 I(q) - q^4 I(q) \right] \cos qr dq$$

Ideal Two Phase Model

$$I_{ideal} = \mathfrak{F}(\rho_{ideal} * \rho_{ideal})$$

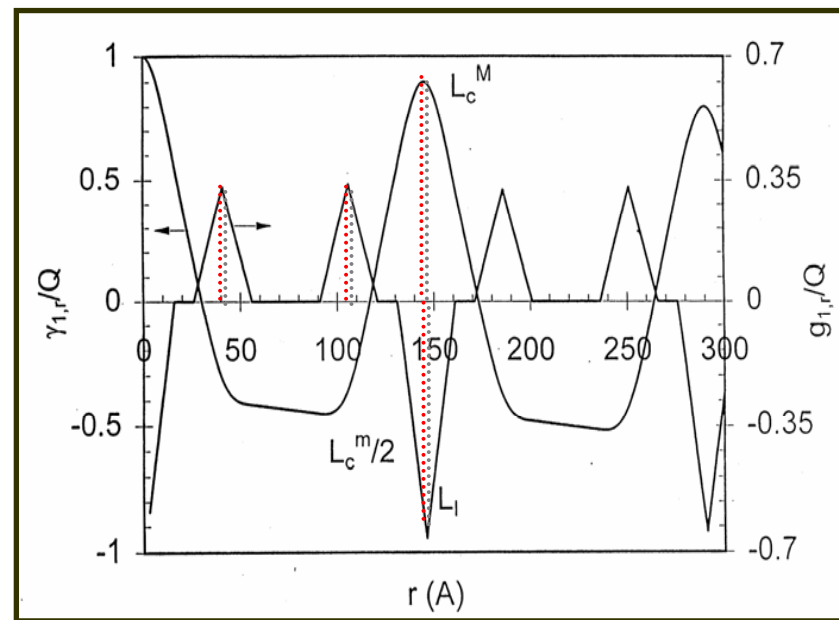
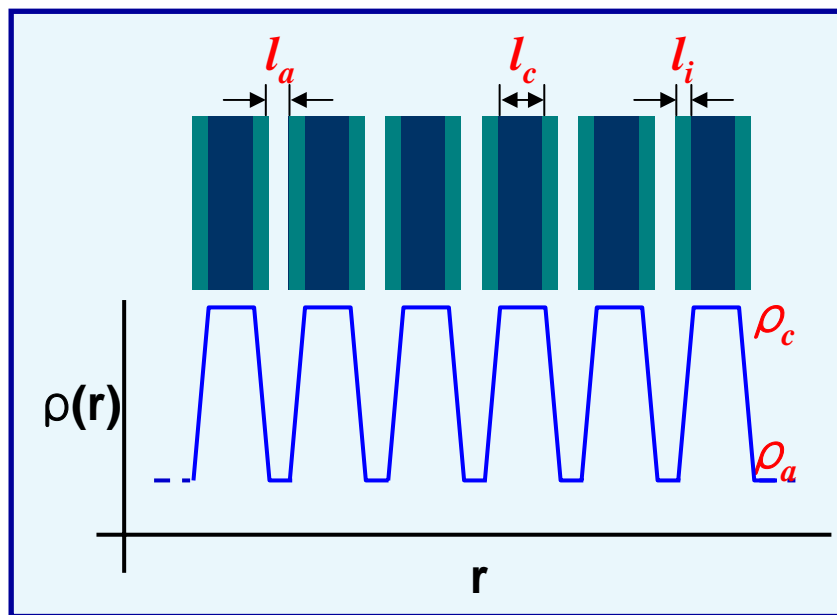
$l_c=100\text{\AA}$, $l_a=30\text{\AA}$, finite no. of lamellae in the stack is 20



Model With Interface (I)

The ideal two phase model with a **finite crystal amorphous transition zone**

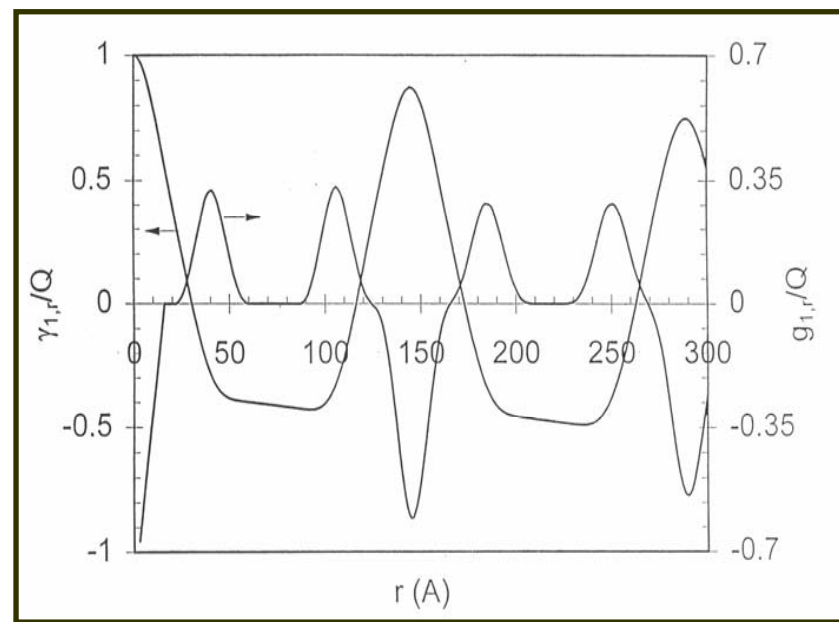
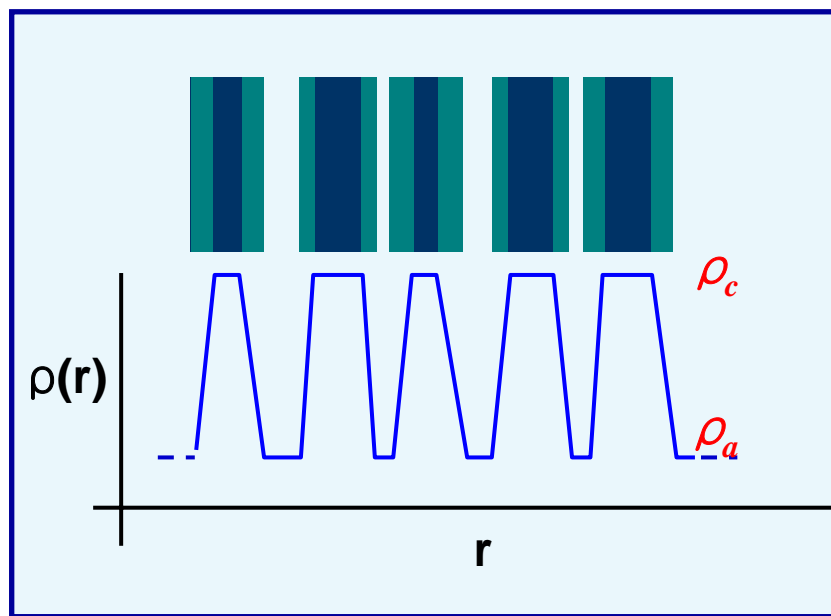
$$l_c=100\text{\AA}, l_a=30\text{\AA}, l_i=12\text{\AA}$$



Model With Interface (II)

Distribution of lamellar and amorphous layer sizes

$$l_c=90\text{\AA}, w_c=10\text{\AA}, l_a=25\text{\AA}, w_a=10\text{\AA}, l_f=15\text{\AA}, w_f=1\text{\AA}$$



$$L_{model} = L_c^M \geq L_c^m \quad \text{for } w_2 \leq w_1$$

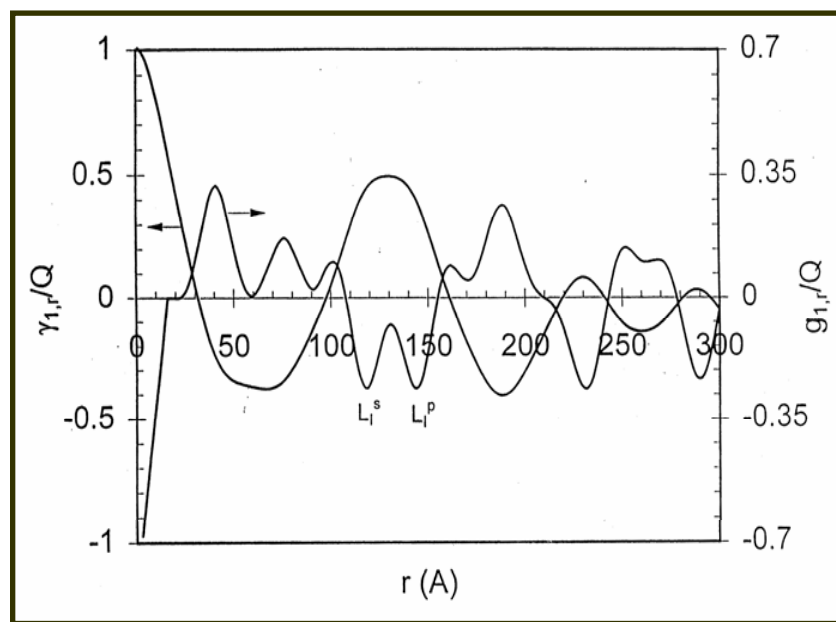
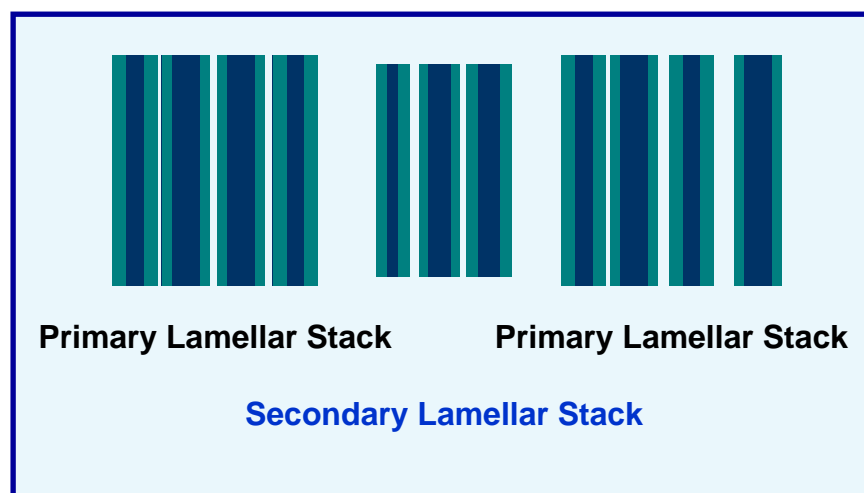
$$L_{model} = L_c^M \leq L_c^m \quad \text{for } w_2 \geq w_1$$

2 ; thicker phase
 w; width of the thickness distribution
 L_{model} ; average long spacing in the model

Dual Lamellar Stack Model

Stack 1 $l_c=90\text{\AA}$, $w_c=10\text{\AA}$, $l_a=25\text{\AA}$, $w_a=10\text{\AA}$, $l_f=15\text{\AA}$, $w_i=1\text{\AA}$

Stack 2 $l_c=60\text{\AA}$, $w_c=10\text{\AA}$, $l_a=25\text{\AA}$, $w_a=10\text{\AA}$, $l_f=15\text{\AA}$, $w_i=1\text{\AA}$



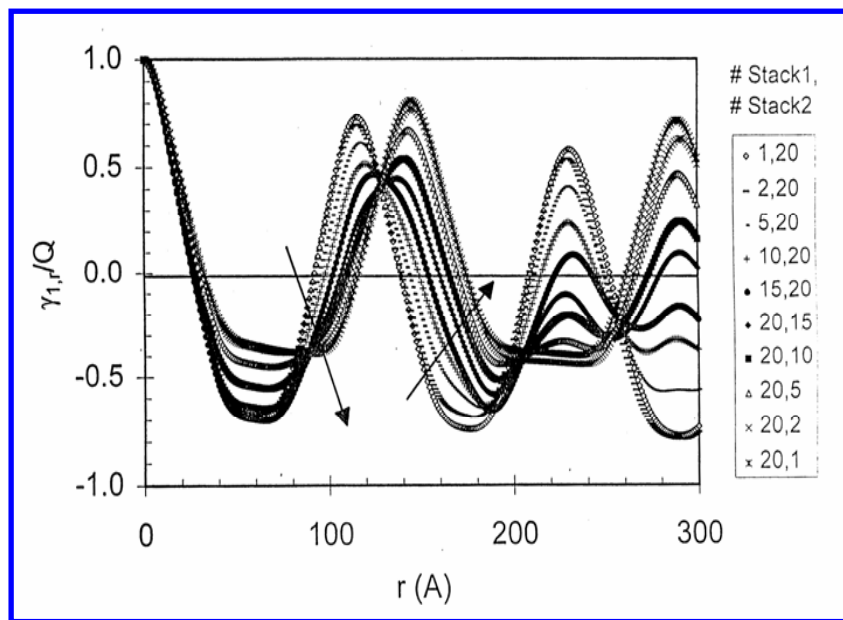
$$L_c^M \geq L_I, \quad L_c^m \geq L_I$$

$$L_c^M \geq L_c^m \geq L_I \quad \text{for } w_2 \leq w_1$$

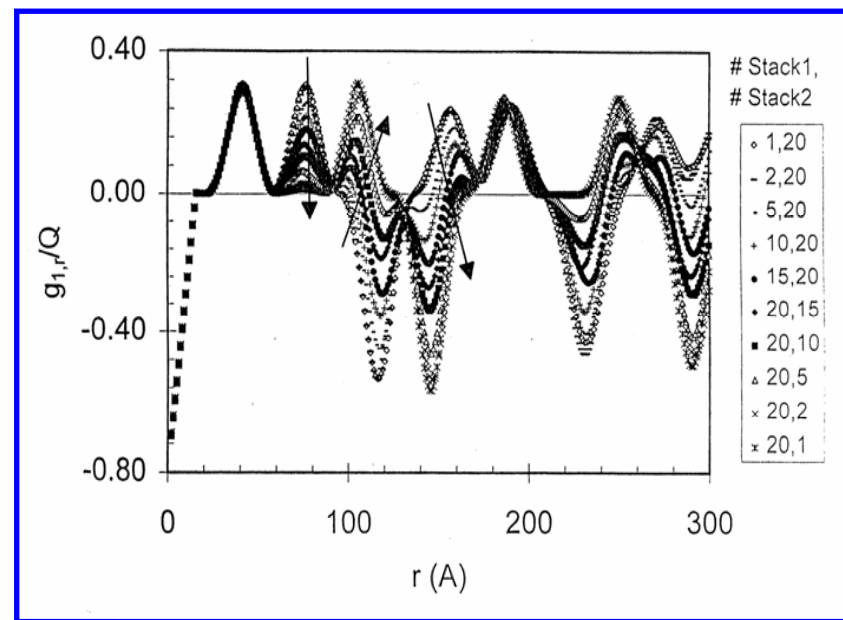
$$L_c^m \geq L_c^M \geq L_I \quad \text{for } w_2 \geq w_1$$

Examples

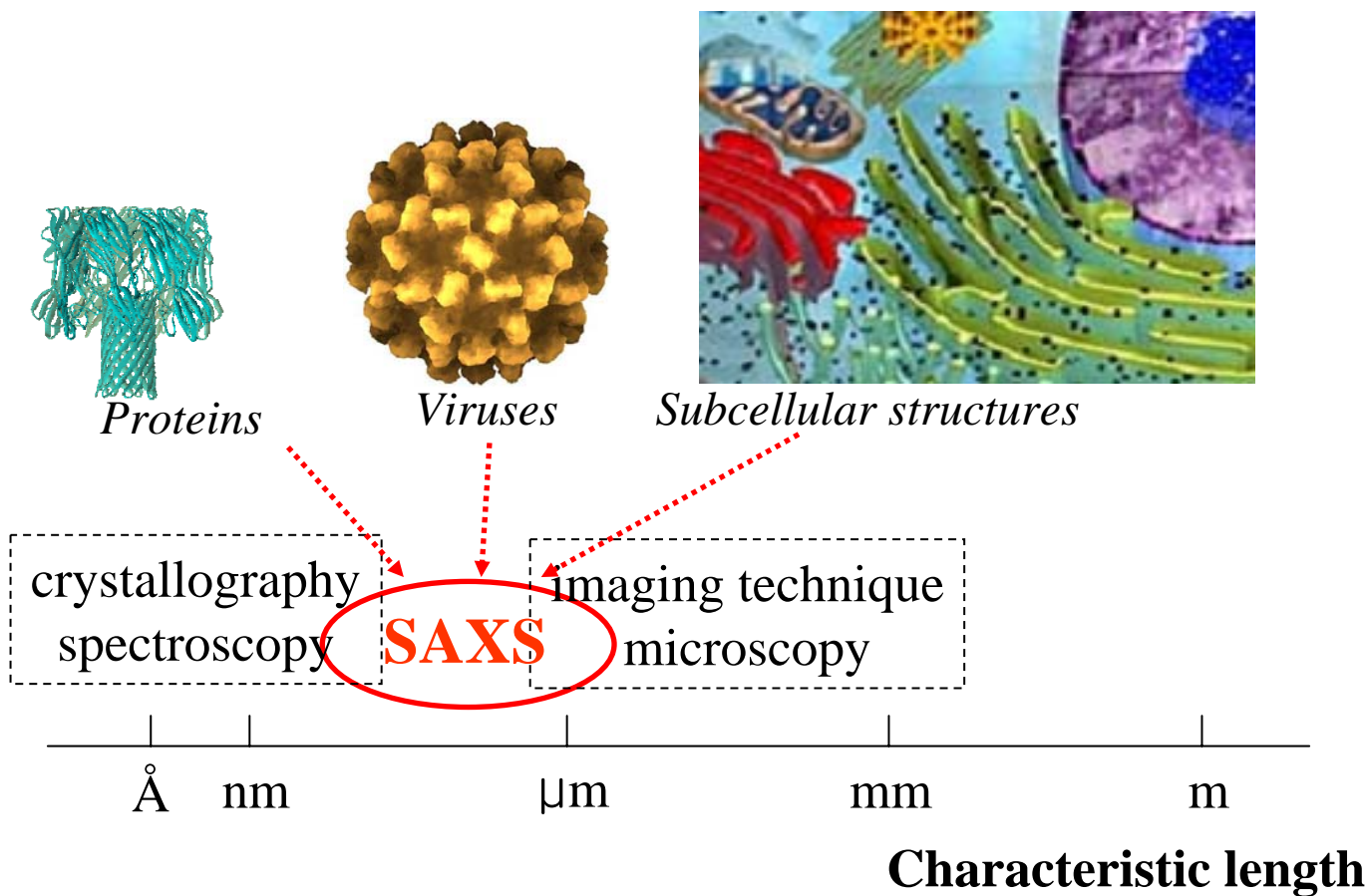
Correlation function



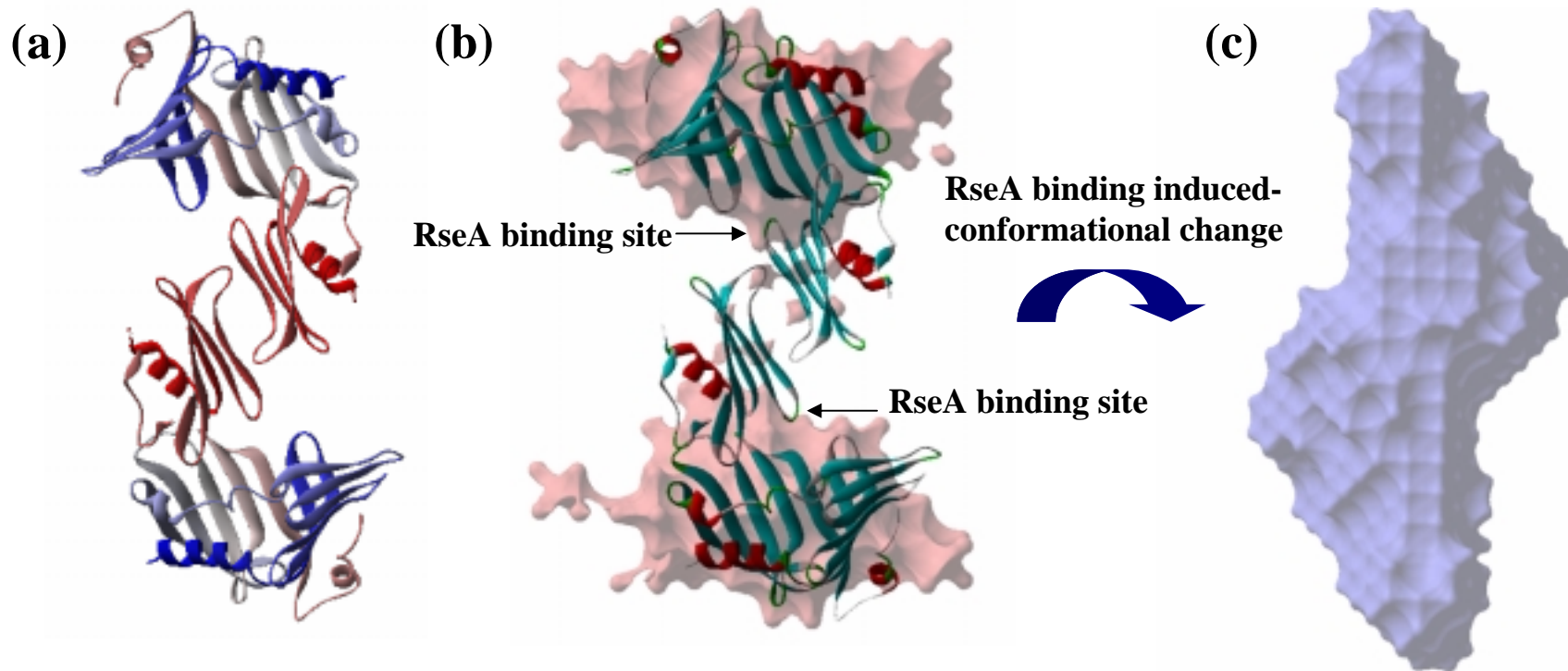
Interface distribution function



Biological Systems



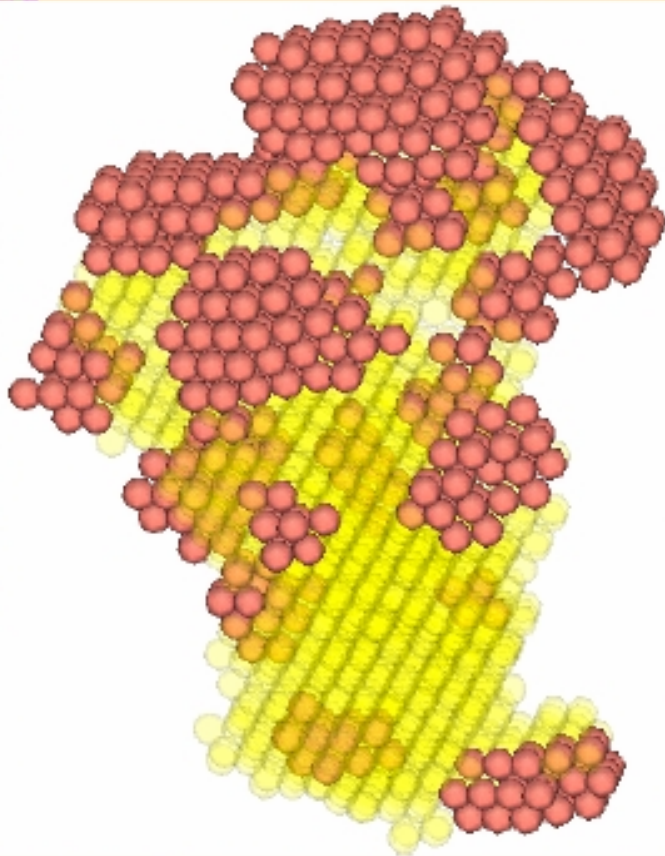
Solution SAXS *versus* Single Crystallography



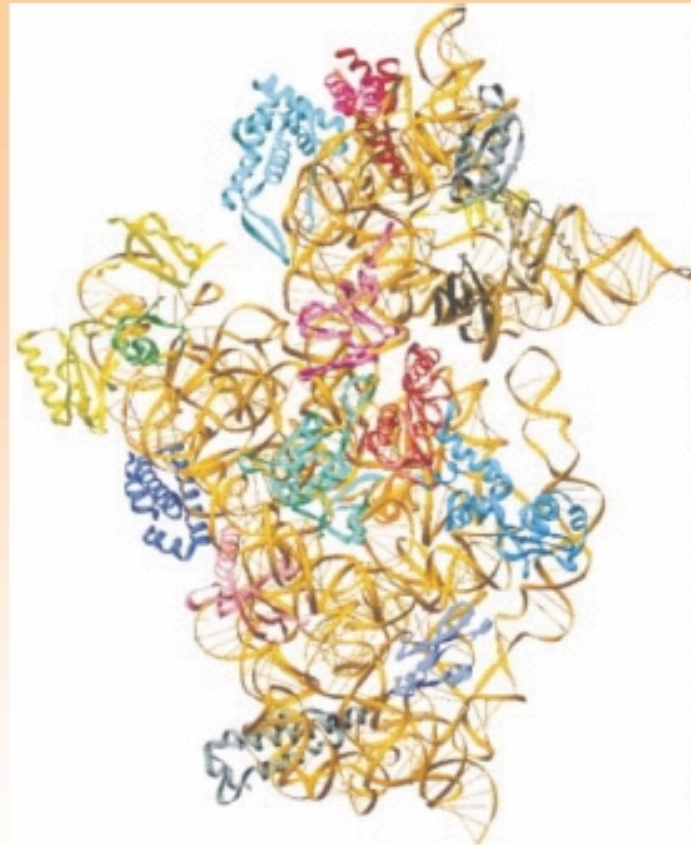
(a) Crystal structure of *Escherichia coli* RseB at a resolution of 0.24 nm

The solution models of RseB (b) and RseA₁₂₁₋₂₁₆/RseB complex (c) restored from the SAXS data at a resolution of 1.25 nm. The ribbon diagram of the RseB is overlapped onto the solution model of RseB for the comparison of overall shape and dimension.

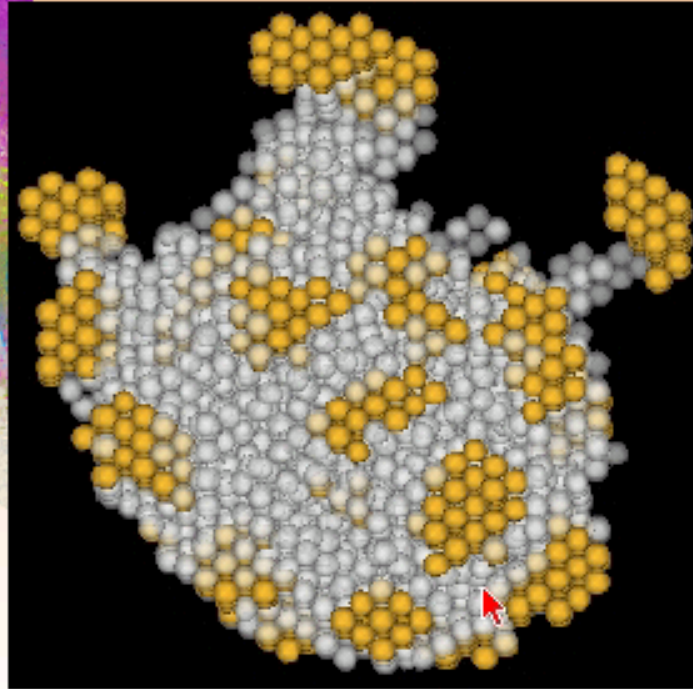
D.Y. Kim, K.S. Jin, E. Kwon, M. Ree, K. K. Kim, *Proc. Natl. Acad. Sci. U.S.A.* **2007**, *104*, 8779-8784.



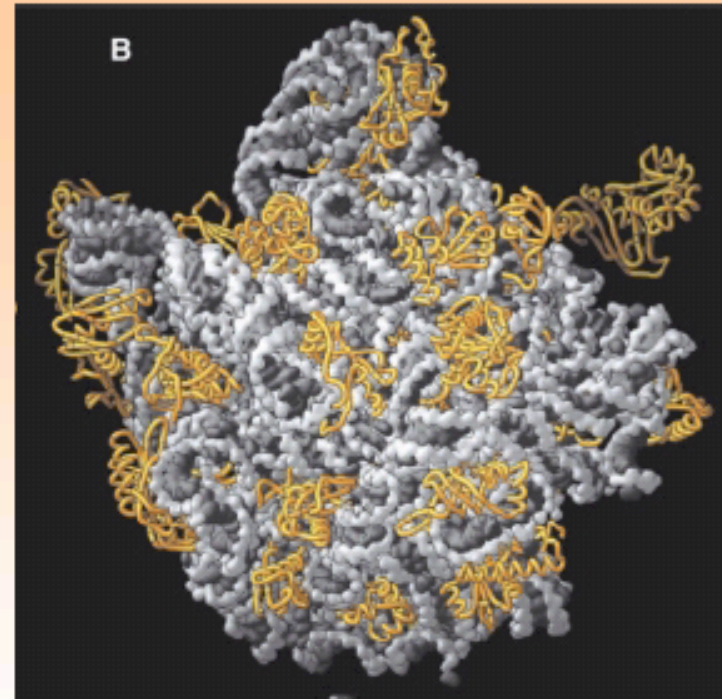
3 nm resolution model of the 30S subunit in the 70S ribosome *E.coli* (Svergun & Nierhaus, May 2000)



0.33 nm resolution model of the 30S subunit *Th. Thermophilus* (Yonath group, September 2000)



3 nm resolution neutron scattering model of the 50S subunit in the 70S ribosome *E.coli* (Svergun & Nierhaus, May 2000)



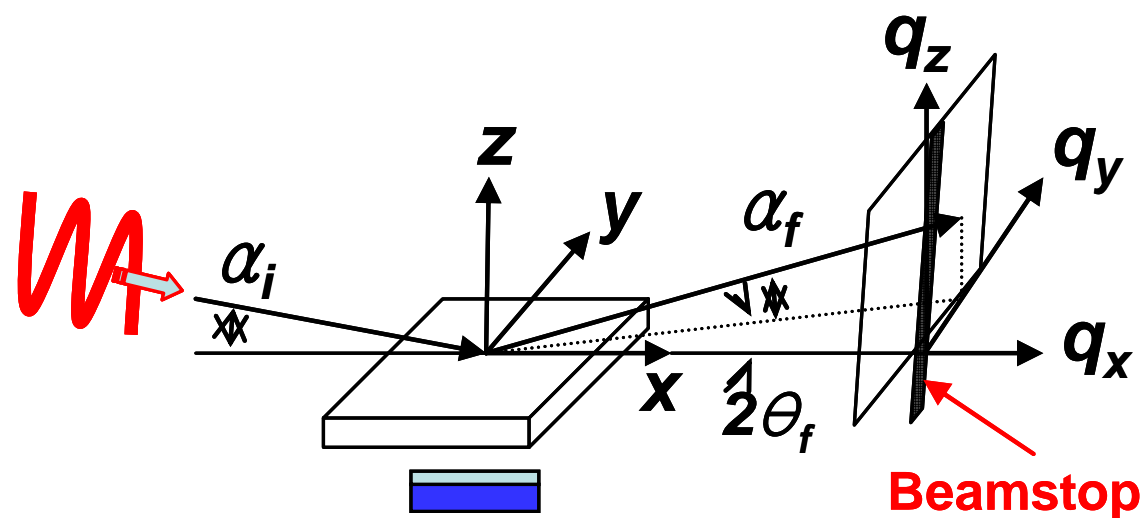
0.24 nm resolution crystallographic model of the 50S subunit *H.marismortui* (Steitz group, August 2000)

Outline

1. Introduction – POSTECH & Pohang Light Source
2. Optics, Beamlines and Equipments of SAXS
3. Data Collection and Samples
4. Fundamentals of SAXS
5. Fundamentals of Conventional, Transmission SAXS (TSAXS)
 - (1) Single Molecule (or Particle)
 - (2) Multiple Molecules (or Particles) and Their Assemblies
- 6. Fundamentals of Grazing Incidence SAXS (GISAXS)**
 - (1) Static GISAXS**
 - (2) In-Situ GISAXS
1. Conclusions – I, II
2. References
3. Introduction – M. Ree's Group at Postech
4. Acknowledgments

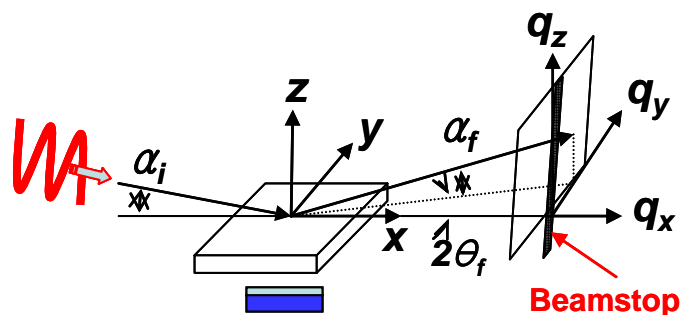


Grazing Incidence Small Angle X-ray Scattering (GISAXS)

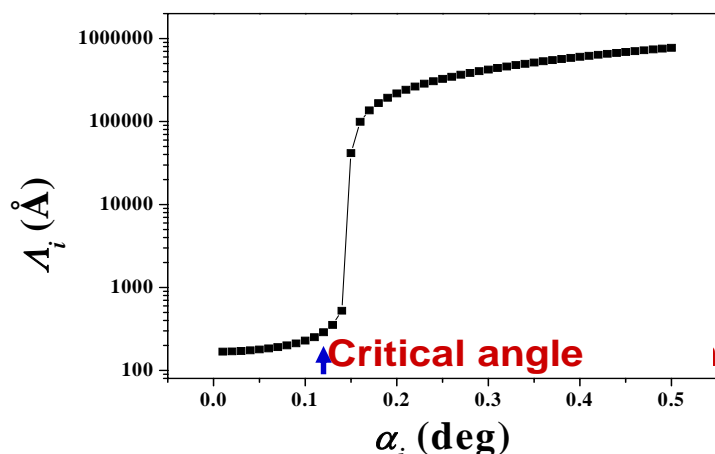


Grazing Incidence X-ray Scattering (GIXS)

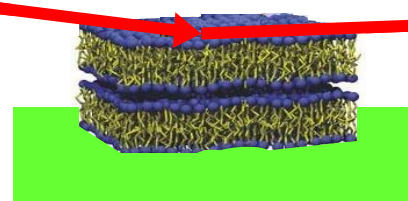
GIXS



Penetration depth profile

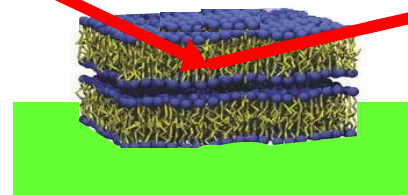


$$\alpha_i \leq \alpha_c$$



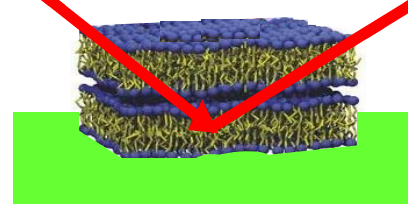
Surface structure >> Internal structure

$$\alpha_i \geq \alpha_c$$



Surface structure + Internal structure

$$\alpha_i > \alpha_c$$



Surface structure << Internal structure

- Surface
- Interfaces
- Sub-layers
- Electron density
- etc.

PENETRATION DEPTH

$$\zeta = \frac{\lambda}{\sqrt{2\pi}} \times \frac{1}{\sqrt{\sqrt{(\alpha_i^2 - \alpha_c^2)^2 + 4\beta^2} - (\alpha_i^2 - \alpha_c^2)}}$$

α_i : incidence angle

α_c : critical angle of the sample

$$\delta = \frac{\alpha_c^2}{2}, \delta = \frac{1}{2\pi} \gamma_e \lambda^2 \rho_e$$

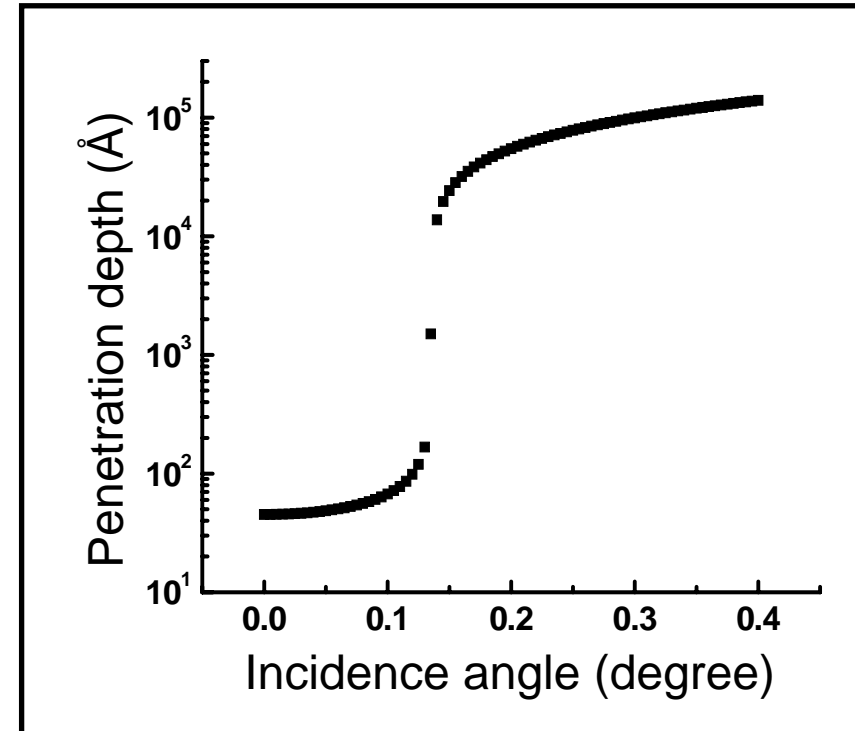
$$\beta = \frac{\lambda\mu}{4\pi} = \frac{\lambda}{4\pi} \times \bar{\rho} \sum_j \left(\frac{\mu}{\rho} \right)_j \omega_j$$

μ : linear absorption coefficient

$\bar{\rho}$: mass density of the sample

ω : weight fraction

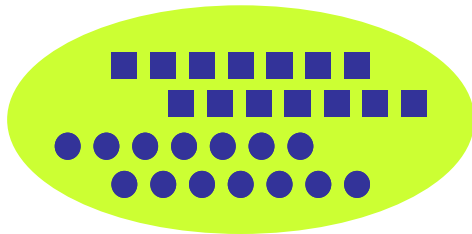
γ_e : classical radius of electron



Challenges in Characterization of Nano-Products



Nanostructures



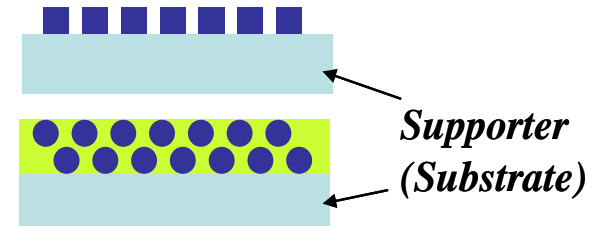
Bulk Specimens

Materials
Fabrications
Characterizations

Nanotechnology

Era (21st Century)

Nanostructures



Nanoscale Specimens

small mass, volume ⇒ weak signal

Analytical Techniques

Transmission:
WAXD, SAXS, WAND, SANS
SALS
Reflection: WAXD, SAXS
Reflectivity
TEM, SEM
AFM
Spectroscopies
etc.

Analytical Techniques

One of Major Issues:
How to characterize?
Scatterings ? → **GIXS**
GINS
Reflectivity → **X-ray**
Neutron
Microscopies ?
Spectroscopies ?
etc.



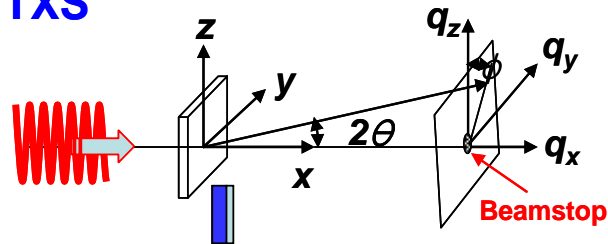
Concerns and Complexity in GIXS
and
GIXS Theory Development
for
characterizing
Nanostructures in nanoscale specimens
supported with substrates



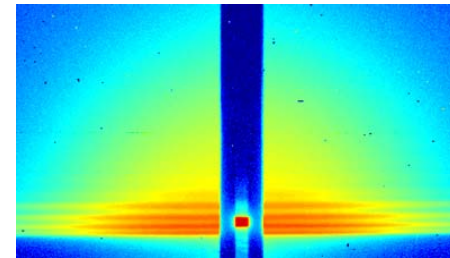
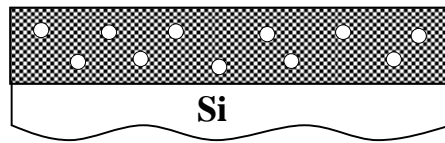
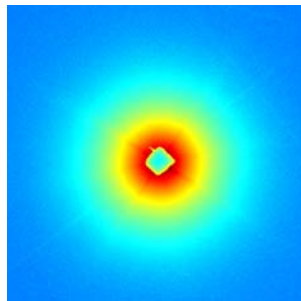
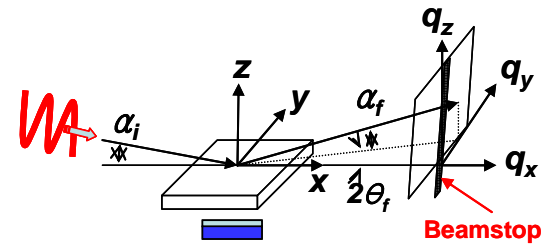
TSAXS vs GISAXS for Characterizing Nanstructure on Substrate



TXS



GISX



Merit

- Easy measurement
- Easy analysis

- Strong intensity
- Easy preparation of samples
- More informations

Concerns

- Any possible scattering from substrate
- Transparency of substrate to X-ray beam
- High energy and high flux X-ray beam

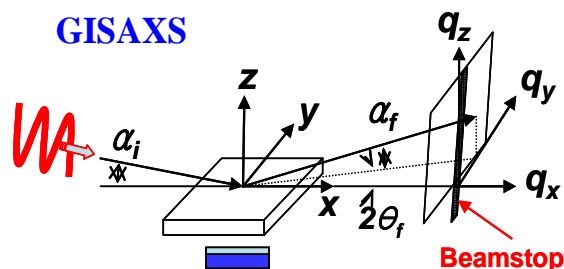
- Scattering from surface structure
- Scattering from internal structure
 - * Scattering from reflected beam
 - * Scattering from transmitted beam
- Refraction effect involved
- Need a special setup
- Need new scattering theory



POSTECH

Polymer Synthesis and Physics Laboratory

GIXS Analysis of Nanotstructure in supported with Substrate



Concerns

- Scattering from internal structure
 - Scattering from reflected beam
 - Scattering from transmitted beam
- Refraction effect involved

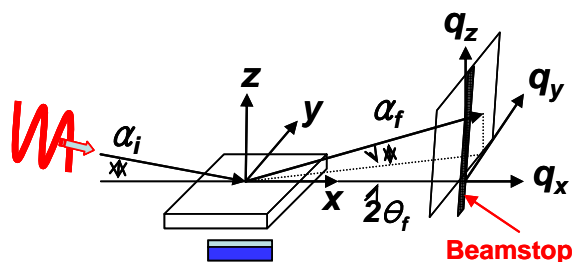
Ree, et al.,
Macromolecules (2005) 39, 3395; (2005) 39, 4311.
Nature Materials (2005) 4, 147.
Adv. Mater. (2005) 17, 696.
 Other Groups
 etc.

- Scattering from surface structure

Sinha, et al., *Phys. Rev. B.* (1988) 38, 2297.
 Rauscher, et al., *Phys. Rev. B* (1995) 52, 16855.
 etc.

Nanostructure on Substrate

GIXS



Concerns

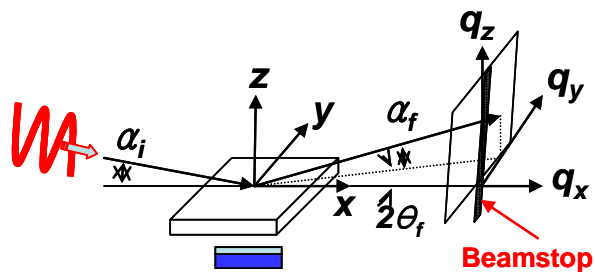
- **Scattering from surface roughness : diffuse scattering**
 - * usually very weak,
but depending on the degree of roughness or surface structure.

(This is not discussed in this presentation.)

Further information available: Sinha, et al., *Phys. Rev. B.* (1988) 38, 2297, etc.)

Nanostructure on Substrate

GIXS



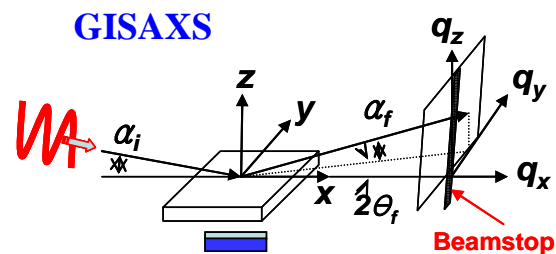
Concerns

- **Scattering from surface roughness** : diffuse scattering
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(This is not discussed in this presentation.)

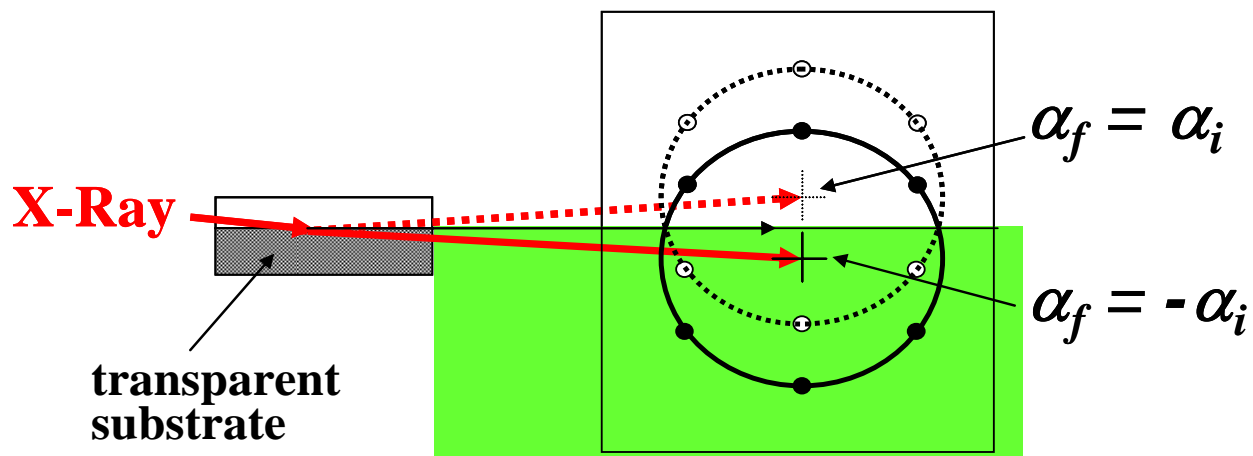
Further information available: Sinha, et al., *Phys. Rev. B.* (1988) 38, 2297, etc.)

Nanostructure on Substrate

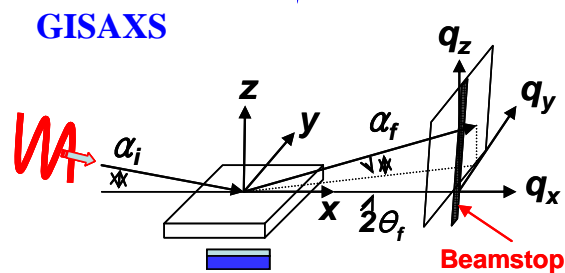


Concerns

- Scattering from internal structure
- * Scattering from reflected beam
- * Scattering from transmitted beam

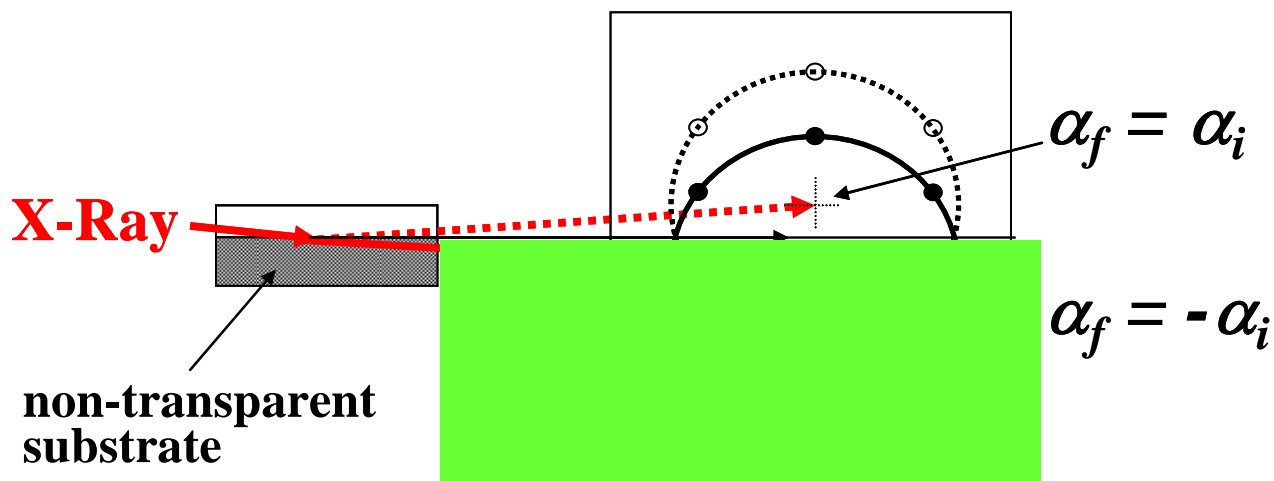


Nanostructure on Substrate



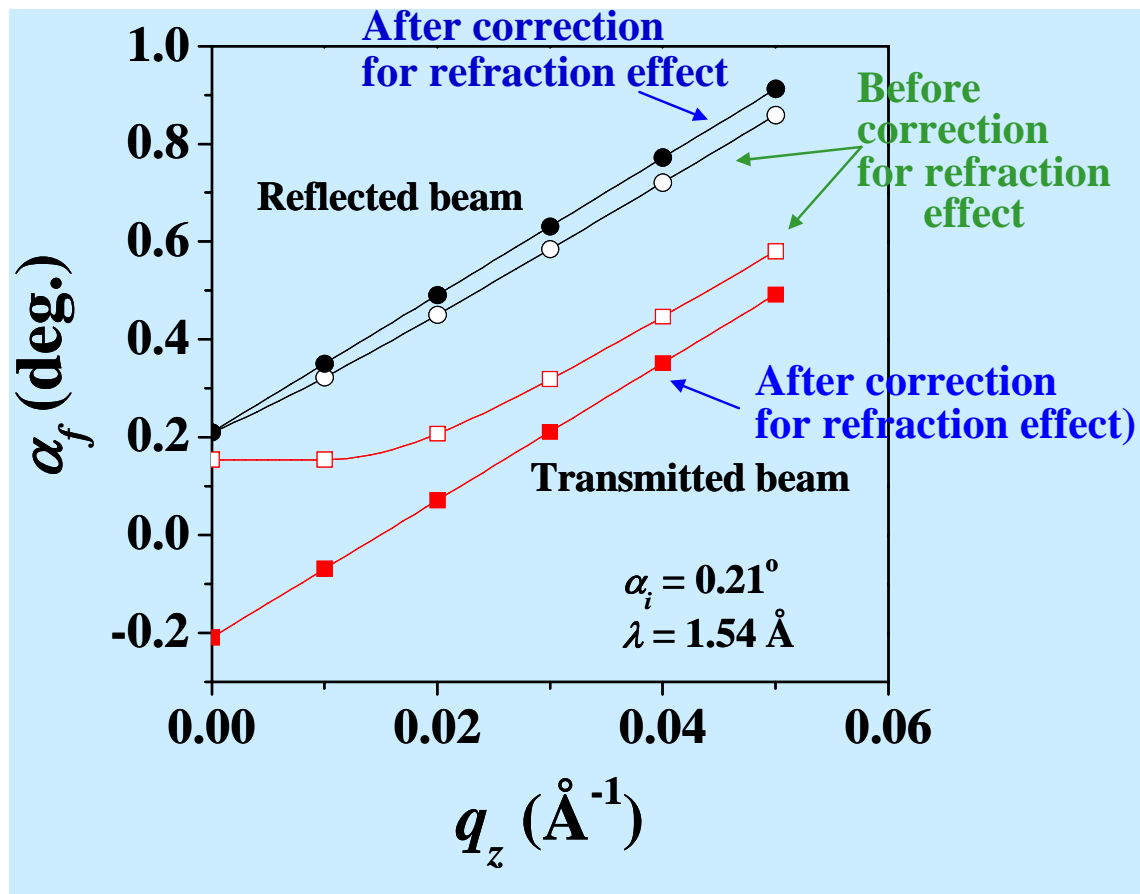
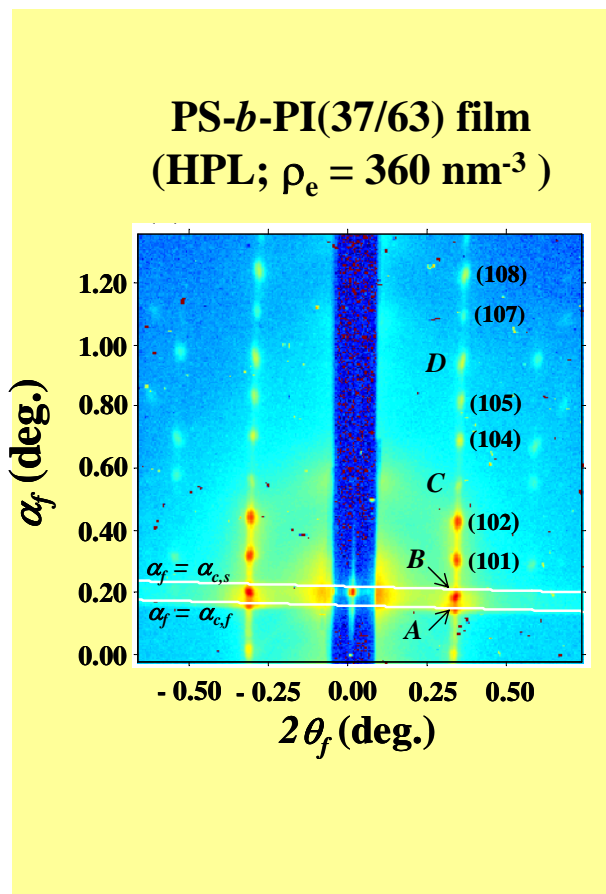
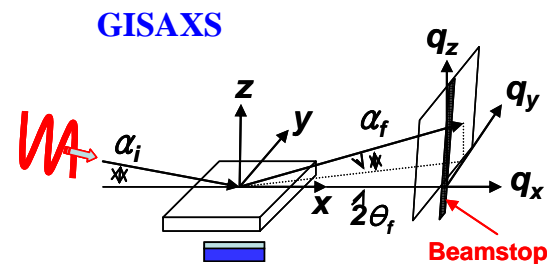
Concerns

- Scattering from internal structure
- * Scattering from reflected beam
- * Scattering from transmitted beam

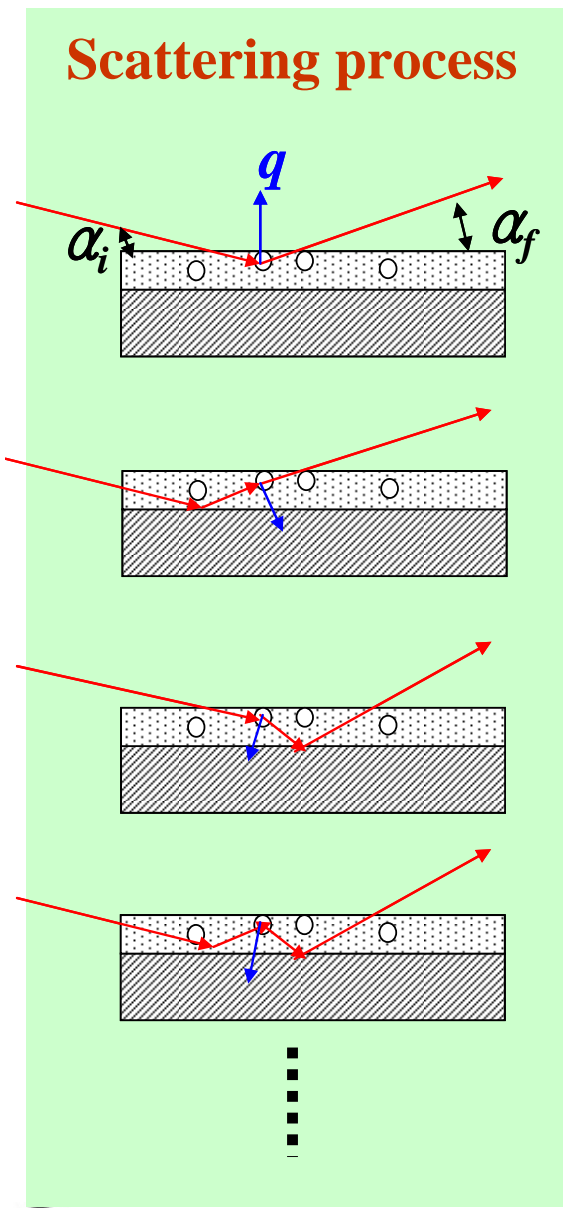


Concerns

- Scattering from internal structure
 - * Scattering from reflected beam
 - * Scattering from transmitted beam
 - * **Refraction effect**



Scattering process



Medium 1

$$\frac{2}{3} \begin{array}{|c|} \hline \text{dotted layer} \\ \hline \text{hatched substrate} \\ \hline \end{array} \quad \begin{array}{l} z \\ r_{\parallel} \\ d \end{array} \quad (V = V_1 + V_2)$$

$$(\nabla^2 + k_0^2 - V_1)\Psi = 0$$

$$\Psi_{sc}(\mathbf{r}) = -\frac{ik_0 r}{4\pi r} \int d^3 r' \Psi^1(\mathbf{r}', -\mathbf{k}_f) V_2(\mathbf{r}') \Psi^1(\mathbf{r}', \mathbf{k}_i)$$

(DWBA)

$$I_{GIXS}(\alpha_f, 2\theta_f) = \frac{1}{16\pi^2} \cdot \frac{1 - e^{-2\text{Im}(q_z) \cdot d}}{2\text{Im}(q_z)} \cdot \left[\begin{array}{l} T_i T_f F(q_{\parallel}, \text{Re}(q_{1,z})) + \\ T_i R_f F(q_{\parallel}, \text{Re}(q_{2,z})) + \\ T_f R_i F(q_{\parallel}, \text{Re}(q_{3,z})) + \\ R_i R_f F(q_{\parallel}, \text{Re}(q_{4,z})) \end{array} \right]^2$$

$$I_{GIXS}(\alpha_f, 2\theta_f) \cong \frac{1}{16\pi^2} \cdot I_{\text{independent}} \cdot \left[\begin{array}{l} |T_i T_f|^2 I_1(q_{\parallel}, \text{Re}(q_{1,z})) + \\ |T_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{2,z})) + \\ |T_f R_i|^2 I_1(q_{\parallel}, \text{Re}(q_{3,z})) + \\ |R_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{4,z})) \end{array} \right]$$

$$q_{\square} = \sqrt{q_x^2 + q_y^2}$$

$$q_{1,z} = k_{z,f} - k_{z,i} \quad k_{z,i} = k_o \sqrt{n^2 - \cos^2 \alpha_i}$$

$$q_{2,z} = -k_{z,f} - k_{z,i} \quad k_{z,f} = k_o \sqrt{n^2 - \cos^2 \alpha_f}$$

$$q_{3,z} = k_{z,f} + k_{z,i} \quad k_o = 2\pi / \lambda$$

$$q_{4,z} = -k_{z,f} + k_{z,i}$$

R_i, T_i : incoming wave
 R_f, T_f : outgoing wave
 F : amplitude of scattering from the internal structure
 $I_1 = FF^*$; intensity



$$I_{GIXS}(\alpha_f, 2\theta_f) \cong \frac{1}{16\pi^2} \cdot \frac{1 - e^{-2\text{Im}(q_z) \cdot d}}{2 \text{Im}(q_z)} \cdot \begin{bmatrix} |T_i T_f|^2 I_1(q_{\parallel}, \text{Re}(q_{1,z})) + \\ |T_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{2,z})) + \\ |T_f R_i|^2 I_1(q_{\parallel}, \text{Re}(q_{3,z})) + \\ |R_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{4,z})) \end{bmatrix}$$

I_1 , scattered intensity from scatters in nanoscales

(1) Spherical structures:

$$I_1 = c \int_0^{\infty} n(r) v^2(r) |F(qr)|^2 S(qr) dr$$

$$n(r) = \frac{1}{\sqrt{2\pi} r_o \sigma e^{\sigma^2/2}} e^{-\frac{\ln(r/r_o)^2}{2\sigma^2}}$$

(2) Random two-phase structures:

$$I_1 = \frac{8\pi\phi(1-\phi)(\rho_{e(\text{film medium})} - \rho_{e(\text{scatter})})^2 \xi^3}{(1 + q^2 \xi^2)^2}$$

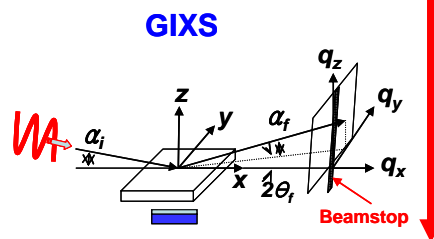
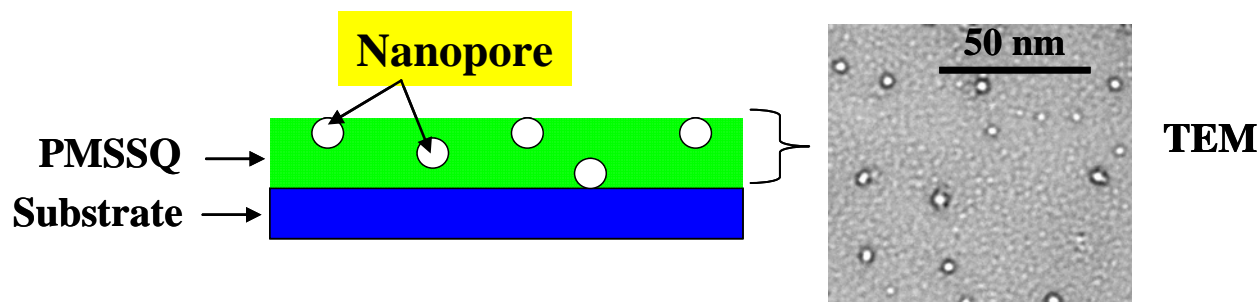
(3) Structures in Crystal lattices:

$$I_1(\mathbf{q}) = S(\mathbf{q}) \cdot P(\mathbf{q})$$

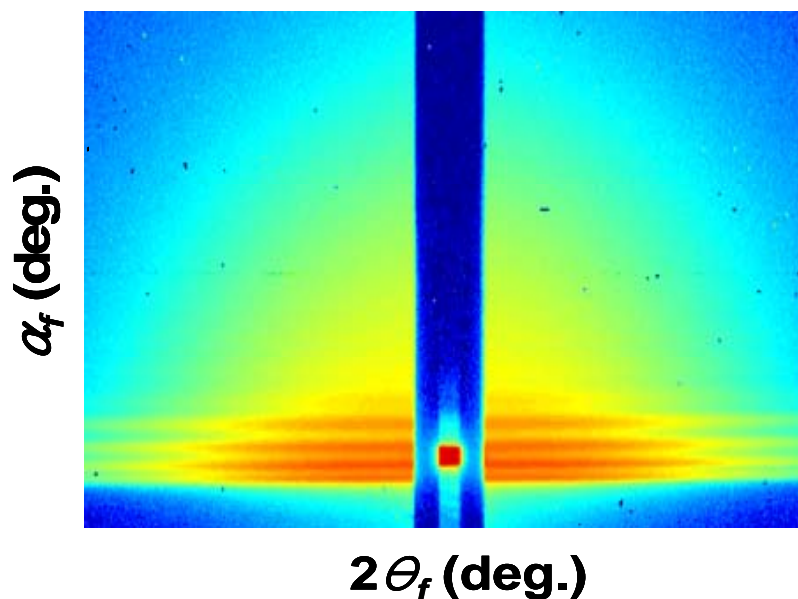


Ree, et al.,
Macromolecules (2005) 38, 3395
Macromolecules (2005) 38, 4311.
Nature Materials (2005) 4, 147
Adv. Mater. (2005) 17, 696

2D GIXS Pattern measured for a nanoporous dielectric thin film



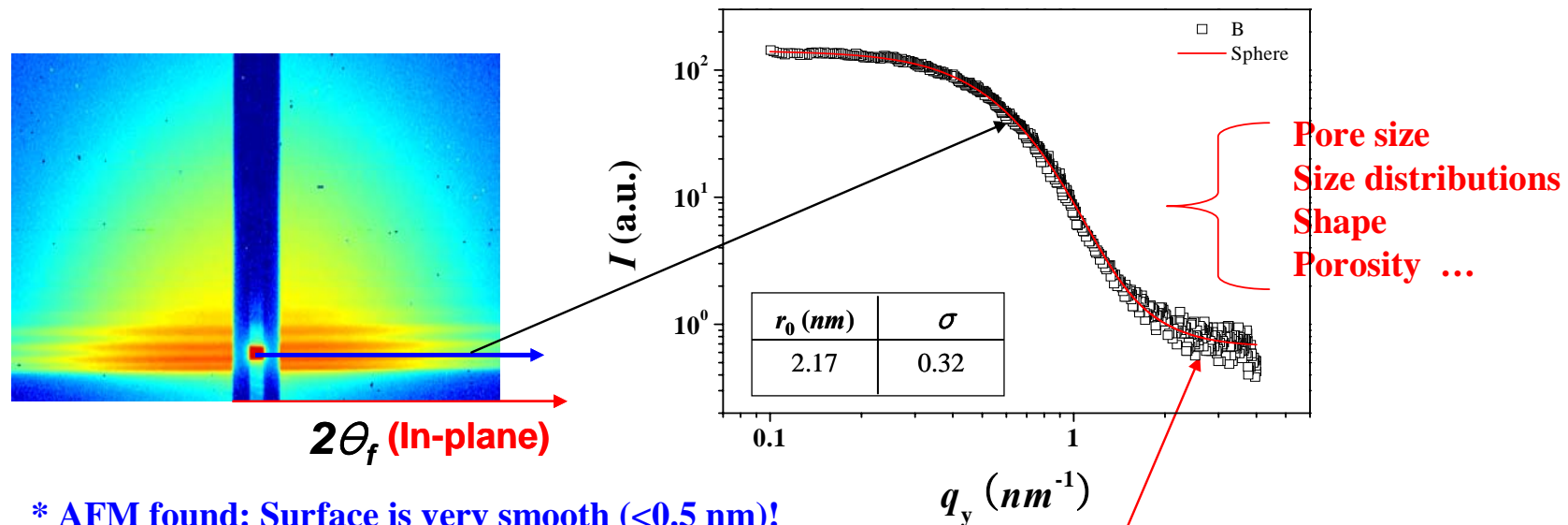
- * AFM found: Surface is very smooth (<math><0.5\text{ nm}</math>)!
- * Nanospicimen thickness: ca. 100 nm.



2D GIXS Pattern
(experimental data)



(1) Data Analysis with GIXS of Spherical Structures (Pores)



- * AFM found: Surface is very smooth (<0.5 nm)!
- * Nanospicimen thickness: ca. 100 nm.

$$I_{GIXS}(\alpha_f, 2\theta_f) \cong \frac{1}{16\pi^2} \cdot \frac{1 - e^{-2\text{Im}(q_z) \cdot d}}{2\text{Im}(q_z)} \cdot \begin{bmatrix} |T_i T_f|^2 I_1(q_{\parallel}, \text{Re}(q_{1,z})) + \\ |T_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{2,z})) + \\ |T_f R_i|^2 I_1(q_{\parallel}, \text{Re}(q_{3,z})) + \\ |R_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{4,z})) \end{bmatrix}$$

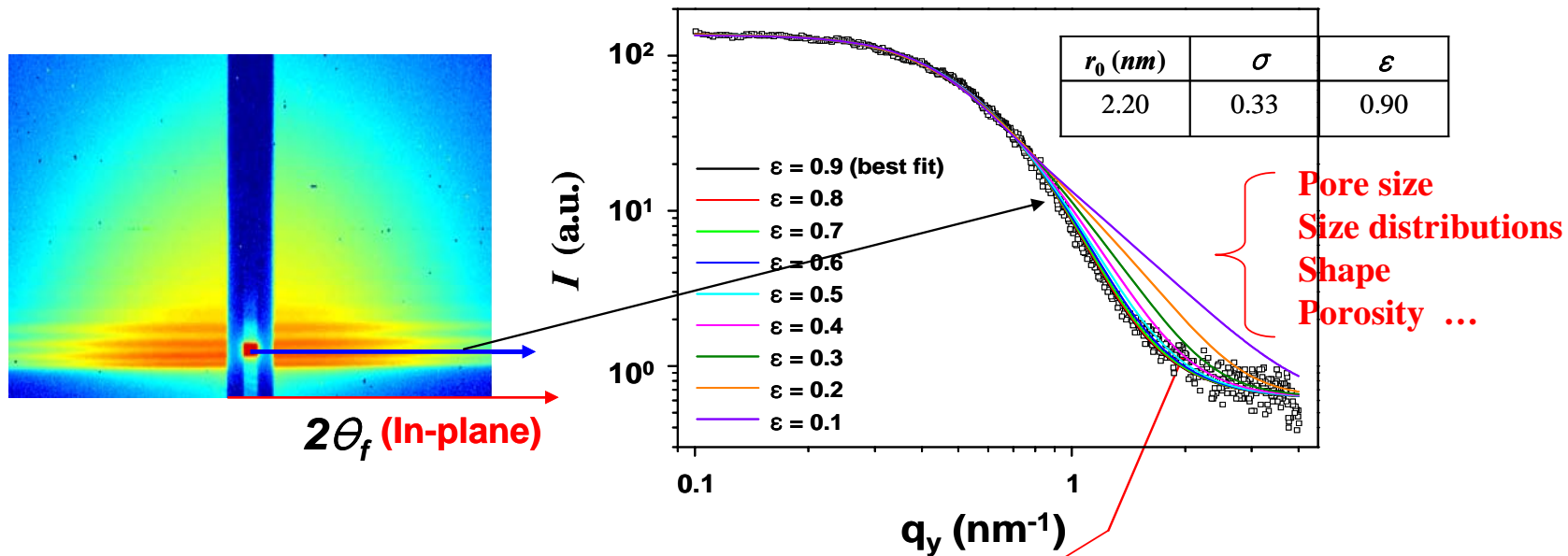
$$I_1 = \int n(r) \left(\frac{4\pi r^3}{3} \right)^2 \left(\frac{\sin(qr) - qr \cos(qr)}{(qr)^3} \right)^2 S(qr) dr$$

$$n(r) = \frac{1}{\sqrt{2\pi r_0 \sigma}} e^{-\frac{\ln(r/r_0)^2}{2\sigma^2}}$$

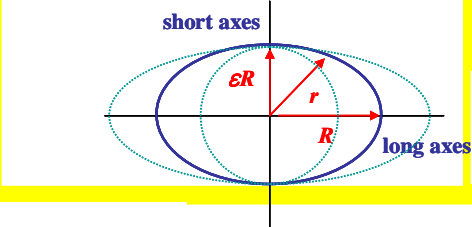
Sphere Form Factor: $F_{sphere}(q, r) = \frac{3[\sin(qr) - qr \cos(qr)]}{(qr)^3}$



(2) Data Analysis with GIXS of Ellipsoidal Structures (Pores)



$$I_{GIXS}(\alpha_f, 2\theta_f) \cong \frac{1}{16\pi^2} \cdot \frac{1 - e^{-2\text{Im}(q_z) \cdot d}}{2\text{Im}(q_z)} \cdot \left[\begin{array}{l} |T_i T_f|^2 I_1(q_{\parallel}, \text{Re}(q_{1,z})) + \\ |T_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{2,z})) + \\ |T_f R_i|^2 I_1(q_{\parallel}, \text{Re}(q_{3,z})) + \\ |R_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{4,z})) \end{array} \right]$$



short axes
 ϵR
long axes
 R

$$I_1(q_{\parallel}) = \int n(r) \left(\frac{4\pi r^3}{3} \right)^2 F_{\text{ellipsoid}}^2 S(qr) dr$$

$$n(r) = \frac{1}{\sqrt{2\pi r_0 \sigma}} e^{-\frac{\ln(r/r_0)^2}{2\sigma^2}}$$

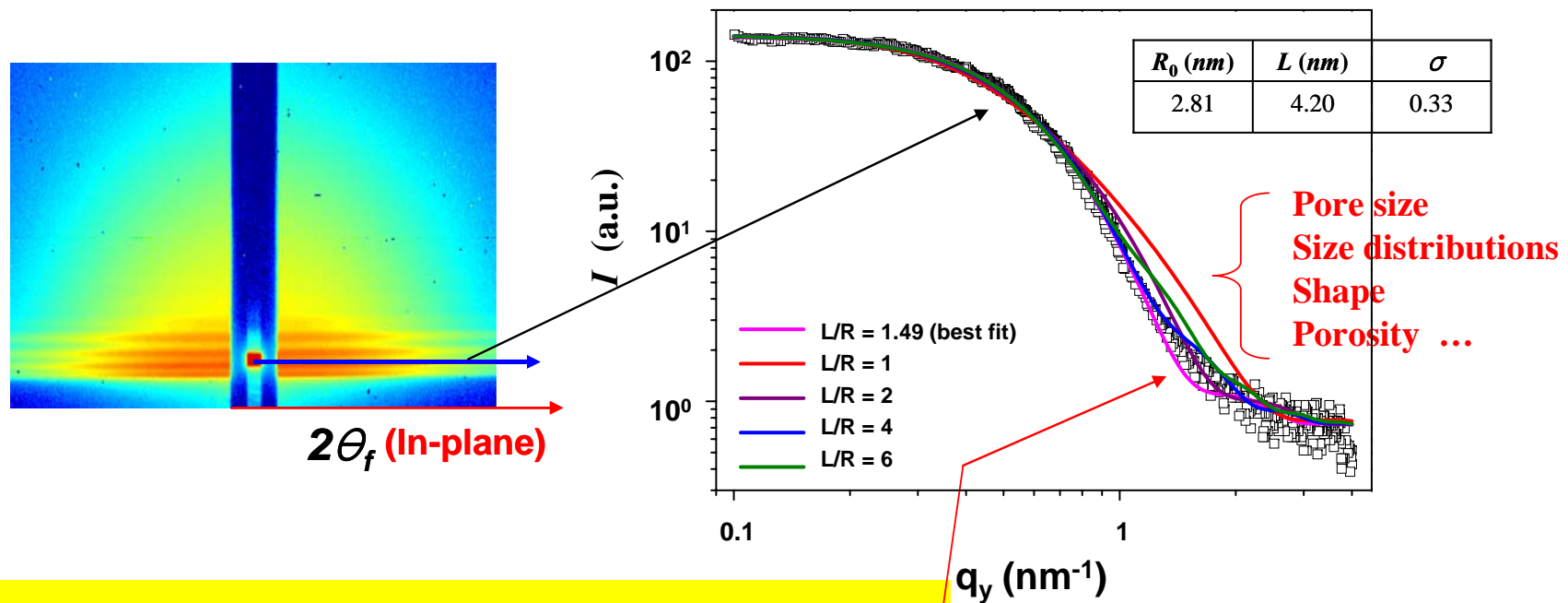
$$F_{\text{ellipsoid}}(q, R, \epsilon) = \int_0^{\pi/2} F_{\text{sphere}}^2 [q, r(R, \epsilon, \alpha)] \sin \alpha d\alpha$$

$$r(R, \epsilon, \alpha) = R \sqrt{\sin^2 \alpha + \epsilon^2 \cos^2 \alpha}$$

(ϵ : aspect ratio)



(3) Data Analysis with GIXS of Cylindrical Structures (Pores)



$$I_{GIXS}(\alpha_f, 2\theta_f) \cong \frac{1}{16\pi^2} \cdot \frac{1 - e^{-2\text{Im}(q_z) \cdot d}}{2\text{Im}(q_z)} \cdot \begin{bmatrix} |T_i T_f|^2 I_1(q_{\parallel}, \text{Re}(q_{1,z})) + \\ |T_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{2,z})) + \\ |T_f R_i|^2 I_1(q_{\parallel}, \text{Re}(q_{3,z})) + \\ |R_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{4,z})) \end{bmatrix}$$

$$I_1 = \int n(R, L) V^2 F_{cylinder}^2 S(qr) dr$$

$n(R, L)$: lognormal function

$$F_{cylinder}(q, R, L) =$$

$$\int_0^{\pi/2} \left[\frac{2B_1(qR \sin \alpha)}{qR \sin \alpha} \frac{\sin(qL \cos \alpha / 2)}{qL \cos \alpha / 2} \right]^2 \sin \alpha d\alpha$$

R : radius, L : length

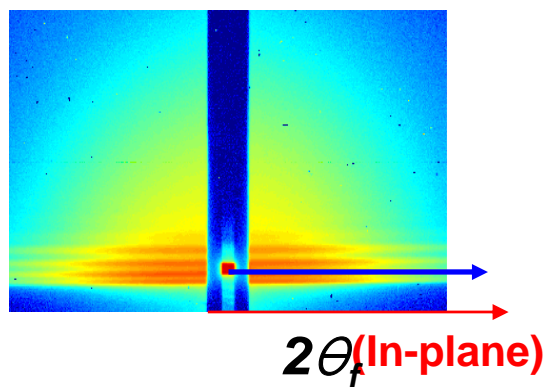
B_1 : first order Bessel function)



POSTECH

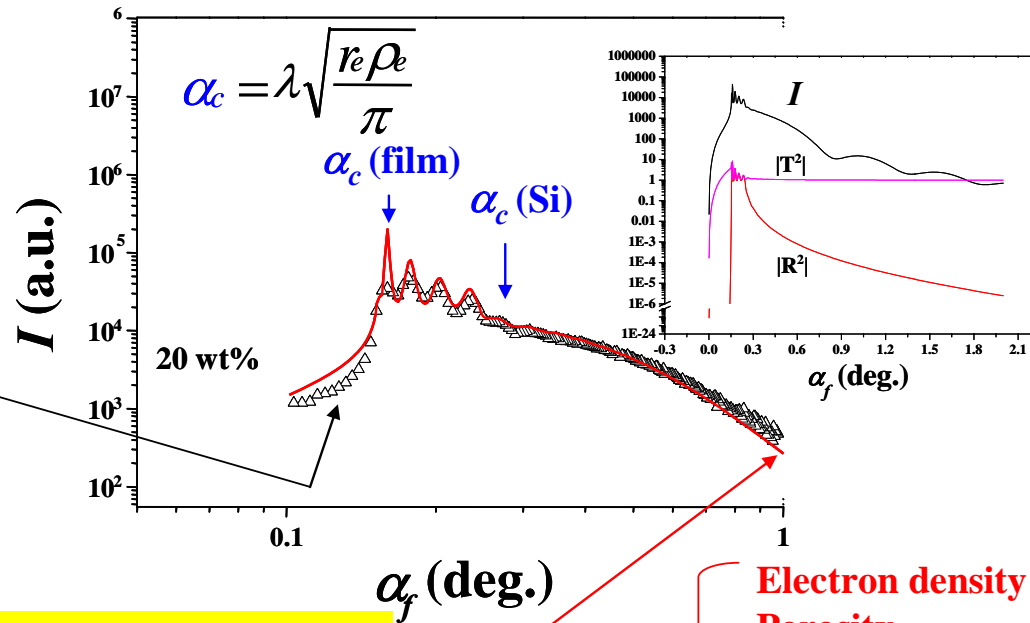
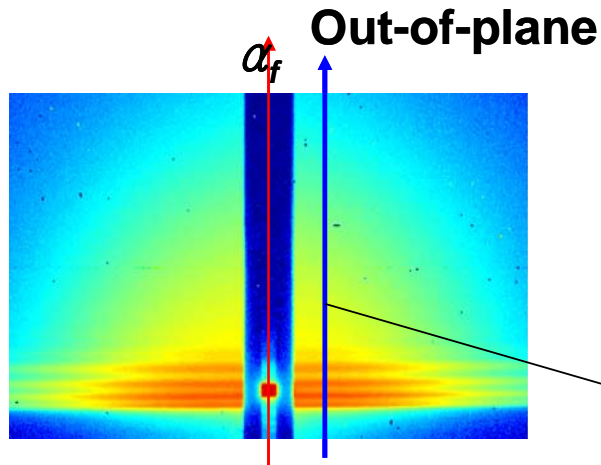
Polymer Synthesis and Physics Laboratory

This Series of GIXS Analyses gives Conclusions:



- Nanopore shape: “Sphere (hard sphere)”
- Packing order: “None”
(randomly dispersed in the film plane)

(4) Structural Information in the Out-of-Plane



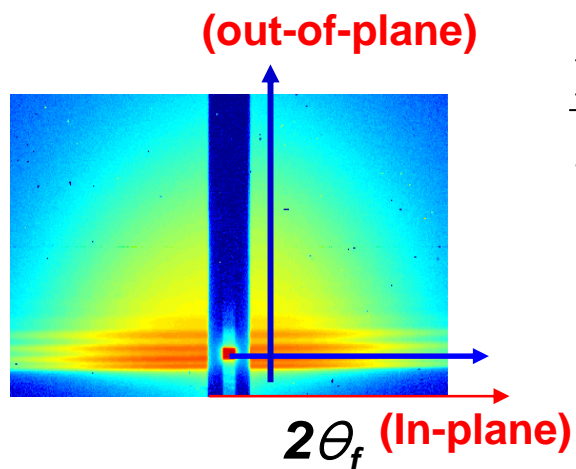
Electron density
Porosity
Thickness
Orientation

$$I_{GIXS}(\alpha_f, 2\theta_f) \cong \frac{1}{16\pi^2} \cdot \frac{1 - e^{-2\text{Im}(q_z) \cdot d}}{2\text{Im}(q_z)} \cdot \begin{bmatrix} |T_i T_f|^2 I_1(q_{\parallel}, \text{Re}(q_{1,z})) + \\ |T_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{2,z})) + \\ |T_f R_i|^2 I_1(q_{\parallel}, \text{Re}(q_{3,z})) + \\ |R_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{4,z})) \end{bmatrix}$$

$$I_1 = \int n(r) \left(\frac{4\pi r^3}{3} \right)^2 \left(\frac{\sin(qr) - qr \cos(qr)}{(qr)^3} \right)^2 S(qr) dr$$

$$n(r) = \frac{1}{\sqrt{2\pi r_0 \sigma}} e^{-\frac{\ln(r/r_0)^2}{2\sigma^2}}$$

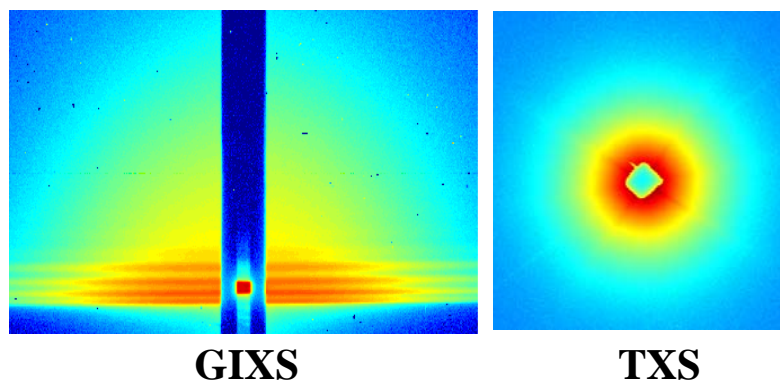




In- and Out-of-Plane GIXS Profiles Analysis gives **Conclusions**:

- Nanopore shape: “Sphere (hard sphere)”
- Packing order: “None”
(randomly dispersed within the thin film)

*** Further, We have verified these GIXS Analysis Results by the TXS Measurement and Data Analysis!**



GIXS

TXS

M. Ree et al., *Macromolecules* 39, 8991 (2005)

Comparison of GIXS and TXS Analysis

Pore structures and properties of nanoporous PMSSQ films imprinted with PCL4 porogen

Porogen loading (wt%)	Cure temp. (°C)	$\overline{R_g}^a$ (nm)		ρ_e^b (nm ⁻³)	P^c (%)	n^d	k^e
		GIXS	TXS				
0	400	-	-	399	-	1.3960	2.70
PCL4							
10	400	5.3(0.01)	4.4 (0.06)	373	6.5	1.3587	2.44
20	400	10.0(0.02)	11.3 (0.10)	338	15.3	1.3207	2.16
30	400	>40 ^g	>40 ^g	302	24.3	1.2795	1.85
30	200	-	>40 ^g	398	-	-	-

^aAverage radius of gyration estimated from the radius r and number distribution of pores obtained by the analysis of SAXS profile.

^bElectron density determined from the out-of-plane GISAXS profile.

^cPorosity estimated from the electron density of the film.

^dRefractive index measured at 633 nm using spectroscopic ellipsometry.

^eDielectric constant measured at 1 MHz using an impedance analyzer.

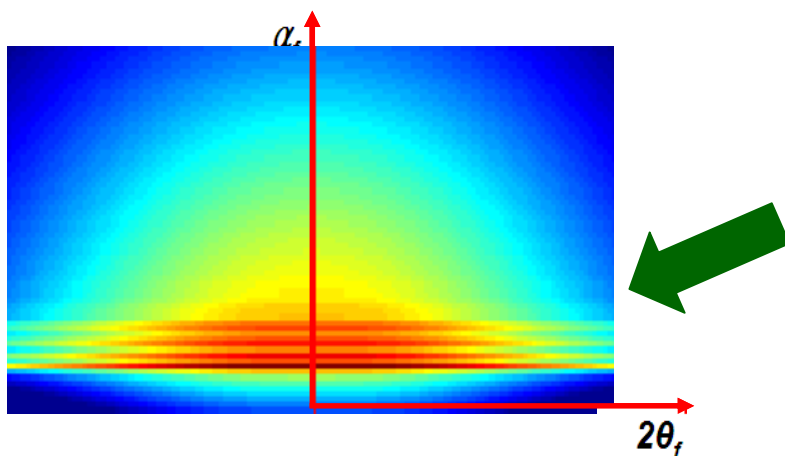
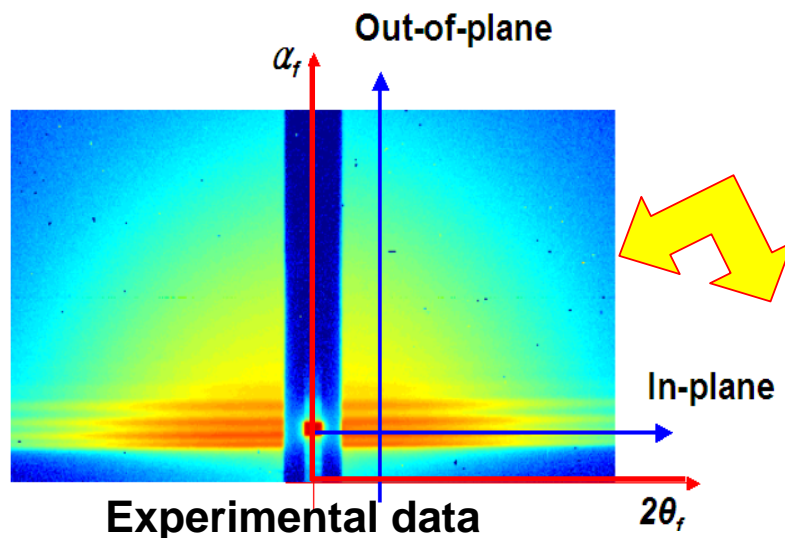
^fStandard deviation in the determined $\overline{R_g}$ value.

^gNot detected due to the out of the detection limit (ca. 40 nm).

M. Ree et al., *Macromolecules* 39, 8991 (2005)



(5) 2D GIXS Simulation



$$I_{GIXS}(\alpha_f, 2\theta_f) \cong \frac{1}{16\pi^2} \cdot \frac{1 - e^{-2\text{Im}(q_z) \cdot d}}{2\text{Im}(q_z)} \cdot \left[\begin{aligned} &|T_i T_f|^2 I_1(q_{\parallel}, \text{Re}(q_{1,z})) + \\ &|T_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{2,z})) + \\ &|T_f R_i|^2 I_1(q_{\parallel}, \text{Re}(q_{3,z})) + \\ &|R_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{4,z})) \end{aligned} \right]$$

$$I_1 = \int n(r) \left(\frac{4\pi r^3}{3} \right)^2 \left(\frac{\sin(qr) - qr \cos(qr)}{(qr)^3} \right)^2 S(qr) dr$$

$$n(r) = \frac{1}{\sqrt{2\pi r_0 \sigma}} e^{-\frac{\ln(r/r_0)^2}{2\sigma^2}}$$

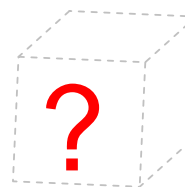
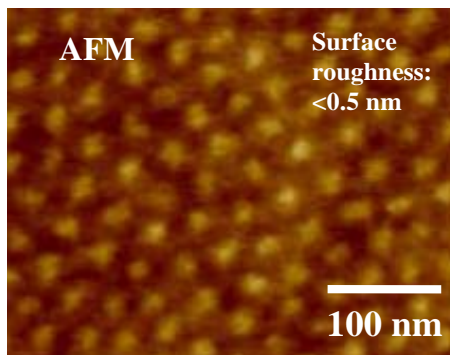
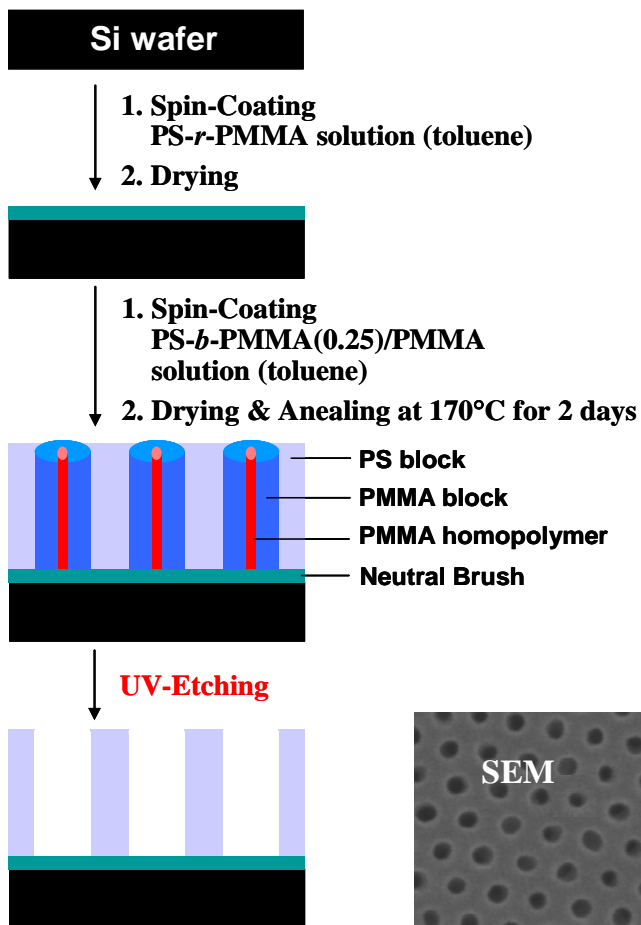
Ree et al.,
Nature Materials (2005) 4, 147
Adv. Mater. (2005) 17, 696
 Patents filed



Surface Structures of a Nano-Template on Substrate

PS-*b*-PMMA Film (25-90 nm thick)

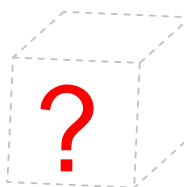
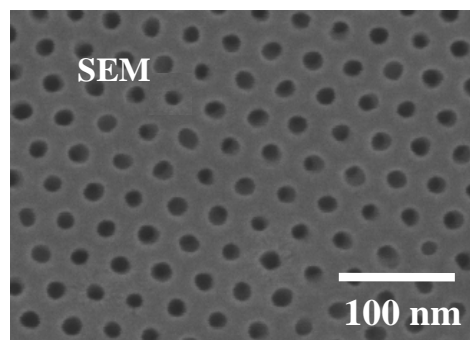
*Co-worked with Prof. Jin Kon Kim (Postech)



(1) $R_{cylinder}$ & Distribution ?

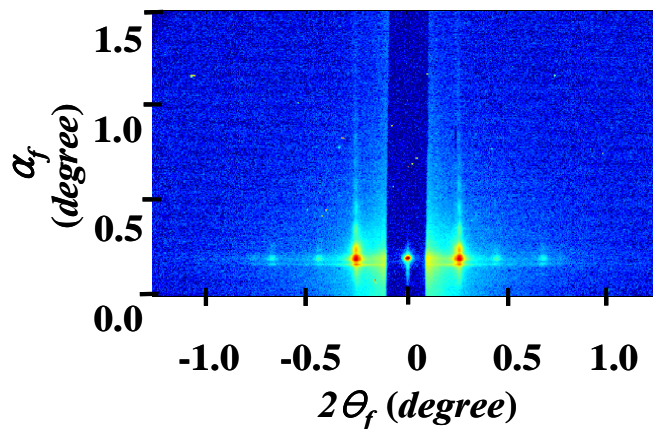
(2) $L_{cylinder}$ & Distribution ?

(3) Cylindrical Pore Depth & Its Quality ?



Ree et al.,
J. Appl. Cryst. 40, 305 (2007)

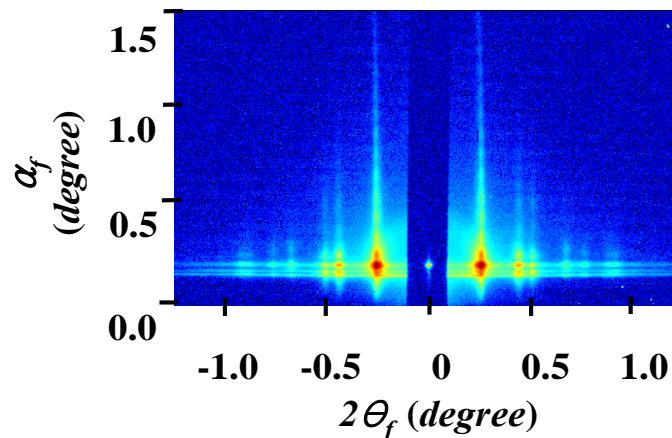
Before UV-Etching



GIXS

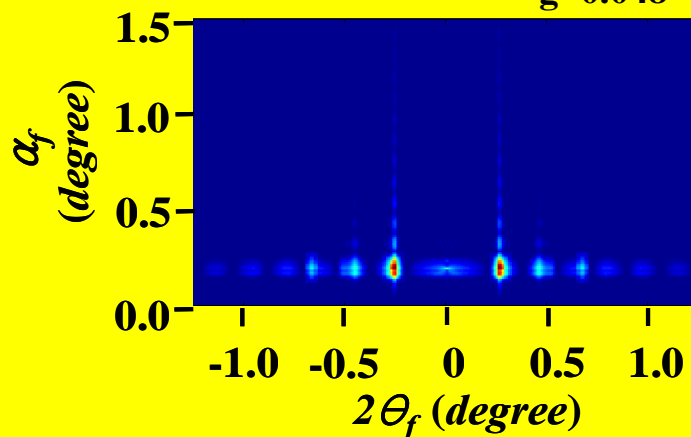
Measured

After UV-Etching

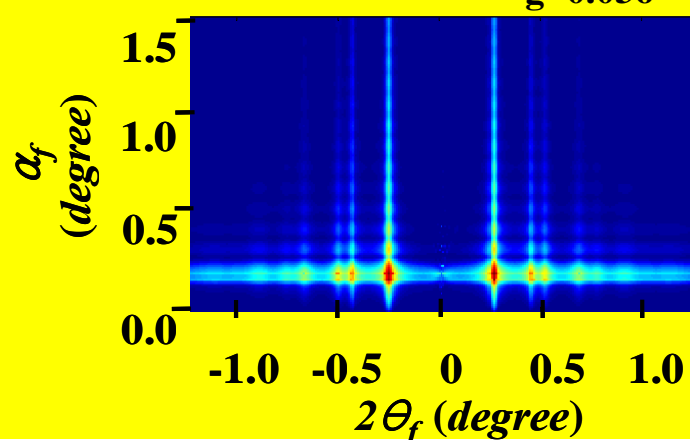


Calculated

$g=0.048$



$g=0.036$



Parameters in calculating 2D GIXS pattern:

$\alpha_i = 0.20^\circ$
 $L = 78.8 \text{ nm}$
 $R = 11.8 \text{ nm}$
 $\sigma_r = 2.95 \text{ nm}$
 $D_{sp} = 34.0 \text{ nm}$

$\rho_e(\text{film}) = 348 \text{ nm}^{-3}$

Parameters in calculating 2D GIXS pattern:

$\alpha_i = 0.20^\circ$
 $L = 86.1 \text{ nm}$
 $R = 11.7 \text{ nm}$
 $\sigma_r = 2.90 \text{ nm}$
 $D_{sp} = 34.0 \text{ nm}$

$\rho_e(\text{film}) = 261 \text{ nm}^{-3}$

Internal Structure of a Nano-Template on Si Substrate

PS-b-PMMA Film (25-90 nm thick)

$$I_{GIXS}(\alpha_f, 2\theta_f) \cong \frac{1}{16\pi^2} \cdot \frac{1 - e^{-2\text{Im}(q_z) \cdot d}}{2\text{Im}(q_z)} \cdot \left[\begin{array}{l} |T_i T_f|^2 I_1(q_{\parallel}, \text{Re}(q_{1,z})) + \\ |T_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{2,z})) + \\ |T_f R_i|^2 I_1(q_{\parallel}, \text{Re}(q_{3,z})) + \\ |R_i R_f|^2 I_1(q_{\parallel}, \text{Re}(q_{4,z})) \end{array} \right]$$

$$I_1(q) = |F(q)|^2 Z(q)$$

$$F(q, R, L) = 2\pi R^2 L \frac{J_1(qR)}{qR} \sin(qL/2) \exp(iqL/2)$$

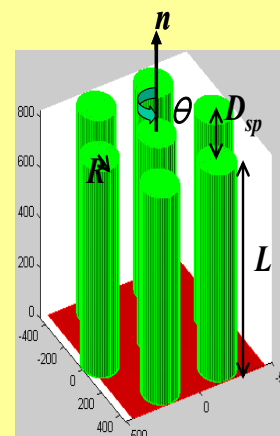
$$Z(q) = \prod_{k=1}^d Z_k(q) \quad G(R) = \frac{1}{\sqrt{2\pi\sigma_R}} \exp\left[-\frac{(R-\bar{R})^2}{2\sigma_R^2}\right]$$

$$Z(q) = re^{\left\{ \frac{1+F_k(q)}{1-F_k(q)} \right\}} = \frac{1-|F_k|^2}{1-2|F_k| \cos(q_k \cdot q) + |F_k|^2}$$

$$F_k(q) = |F_k(q)| \exp(iqq_k)$$

$$|F_k(q)| = \prod_{j=1}^2 \exp\left[-\frac{1}{2} g^2 (qa_j)^2\right]$$

$$g^2 = \Delta^2 a_j / a_j^2$$



$R_{\text{cylinder}} = 11.5 \text{ nm}$
 $L = 25 - 100 \text{ nm}$

g: paracrystal distortion factor

Structural and property characteristics of thin films of the PS-*b*-PMMA/PMMA mixtures before and after UV-etching



Sample	t^a (nm)	Structural parameters					Properties		
		L^b (nm)	\bar{R}^c (nm)	σ_R^d (nm)	d_{sp}^e (nm)	g^f	α_c^g (deg.)	ρ_e^h (nm ⁻³)	P_e^i (%)
Before etching									
Film-1	28.5	28.5	11.0	3.01	34.0	0.053	0.156	348	–
Film-2	78.8	78.8	11.4	3.00	34.0	0.048	0.156	348	–
After UV-etching									
Film-3	25.0	25.0	11.8	2.95	34.0	0.040	0.136	265	25.3
Film-4	86.1	86.1	11.7	2.90	34.0	0.036	0.135	261	26.6

^a Film thickness.

^b Length of the cylindrical pores.

^c Pore radius determined from the peak maximum of the radius r and the number distribution of pores.

^d Standard deviation of the pore radius.

^e Center-to-center distance of the cylindrical pores (d -spacing of the hexagon).

^f Paracrystal distortion factor

^g Critical angle of the film determined from the out-of-plane GIXS profile.

^h Electron density determined from the critical angle of the film.

ⁱ Porosity estimated from the electron density of the film with respect to the electron density of PS.



Self-Assembled PS-b-PMMA Diblock Copolymer on Substrate

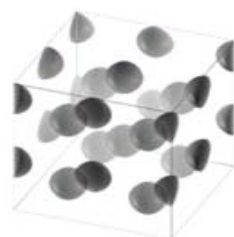
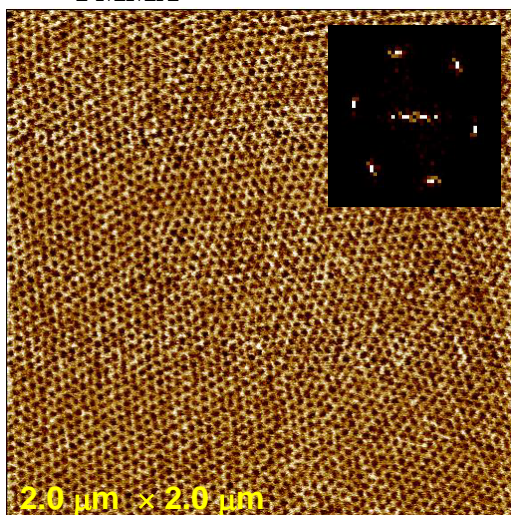
PS-b-PMMA Film (200 nm thick)

rms roughness: 0.1-0.3 nm

Macromolecules, 38, 10532 (2005)

Fractionated (FM)

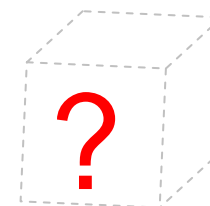
($w_{\text{PMMA}} = 0.345$) AFM



or



or

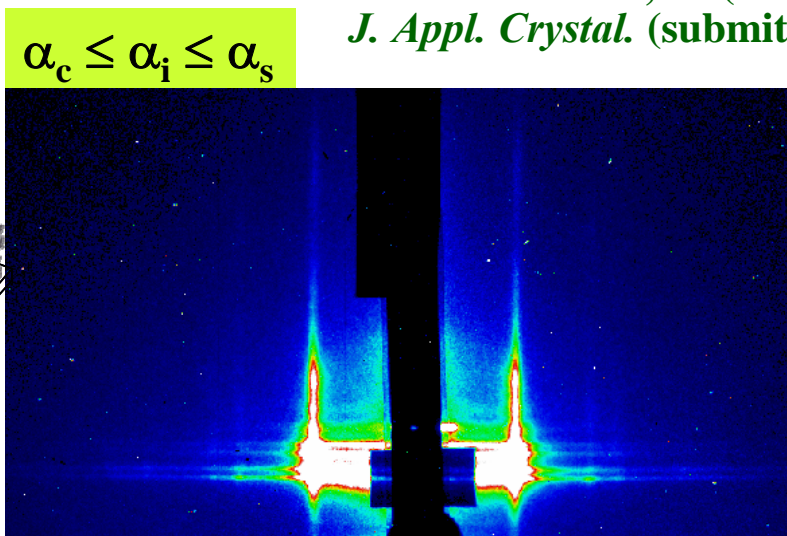
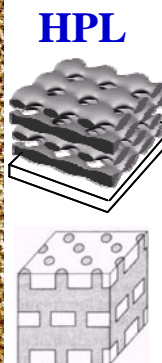
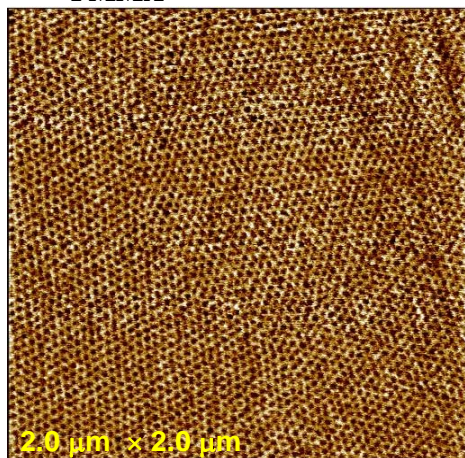


*Co-worked with
Prof. Taihyun Chang
(Postech)

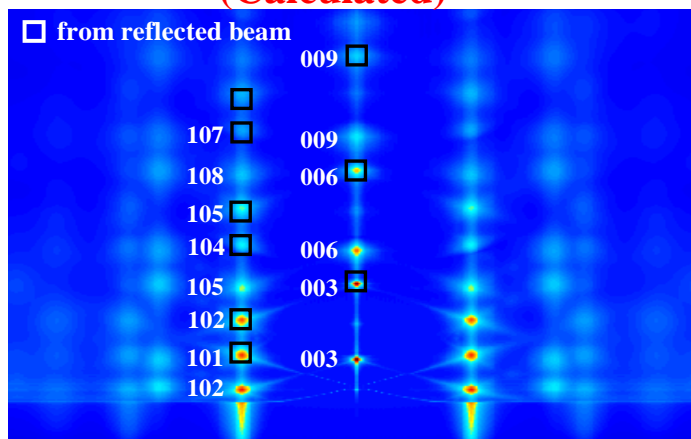
PS-b-PMMA Film (200 nm thick)

Ree et al.,
Macromolecules, 38, 4311 (2005)
Macromolecules, 38, 10532 (2005)
Macromolecules, 39, 684 (2006)
Macromolecules, 40 (2007), ASAP
J. Appl. Crystal. (submitted)

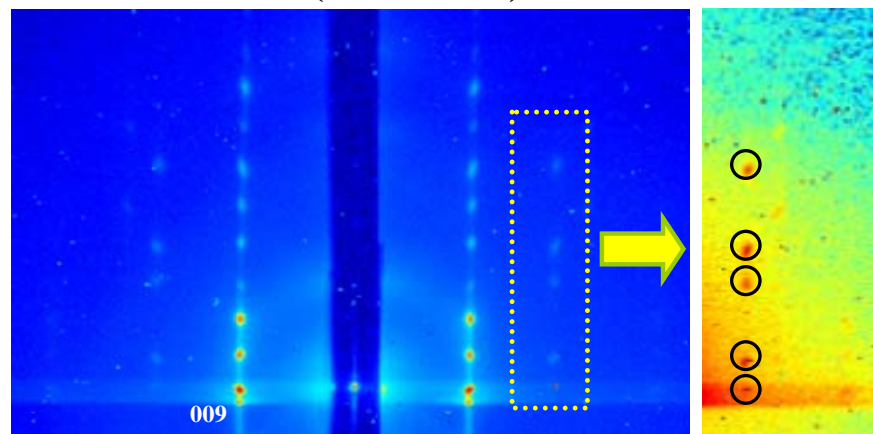
Fractionated (FM)
 ($w_{PMMA} = 0.345$)



(Calculated)

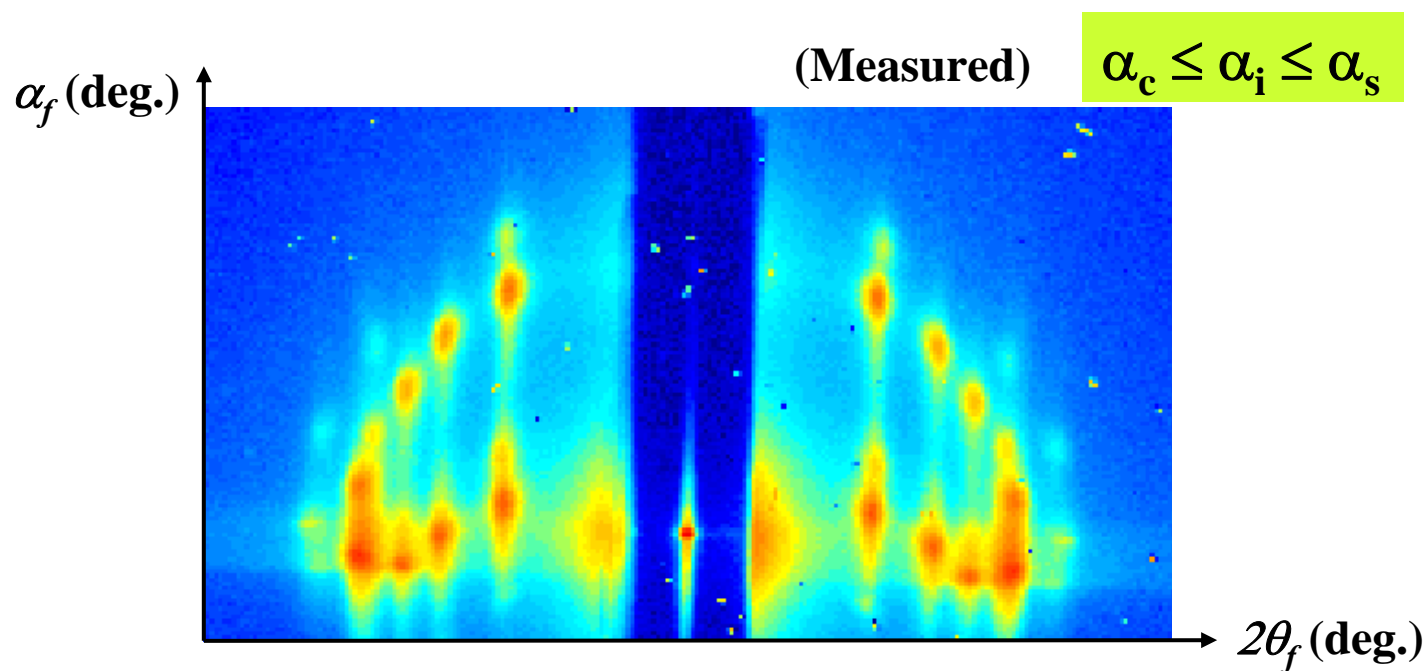


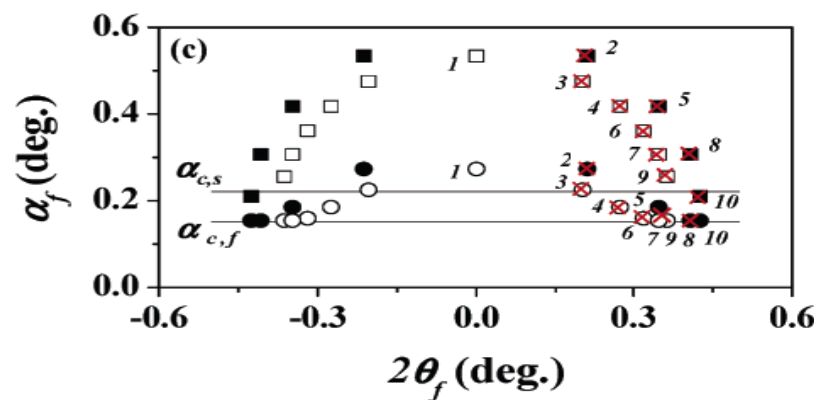
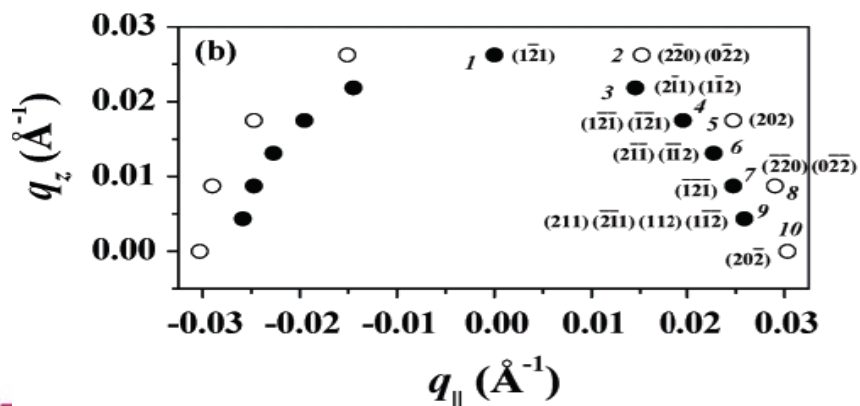
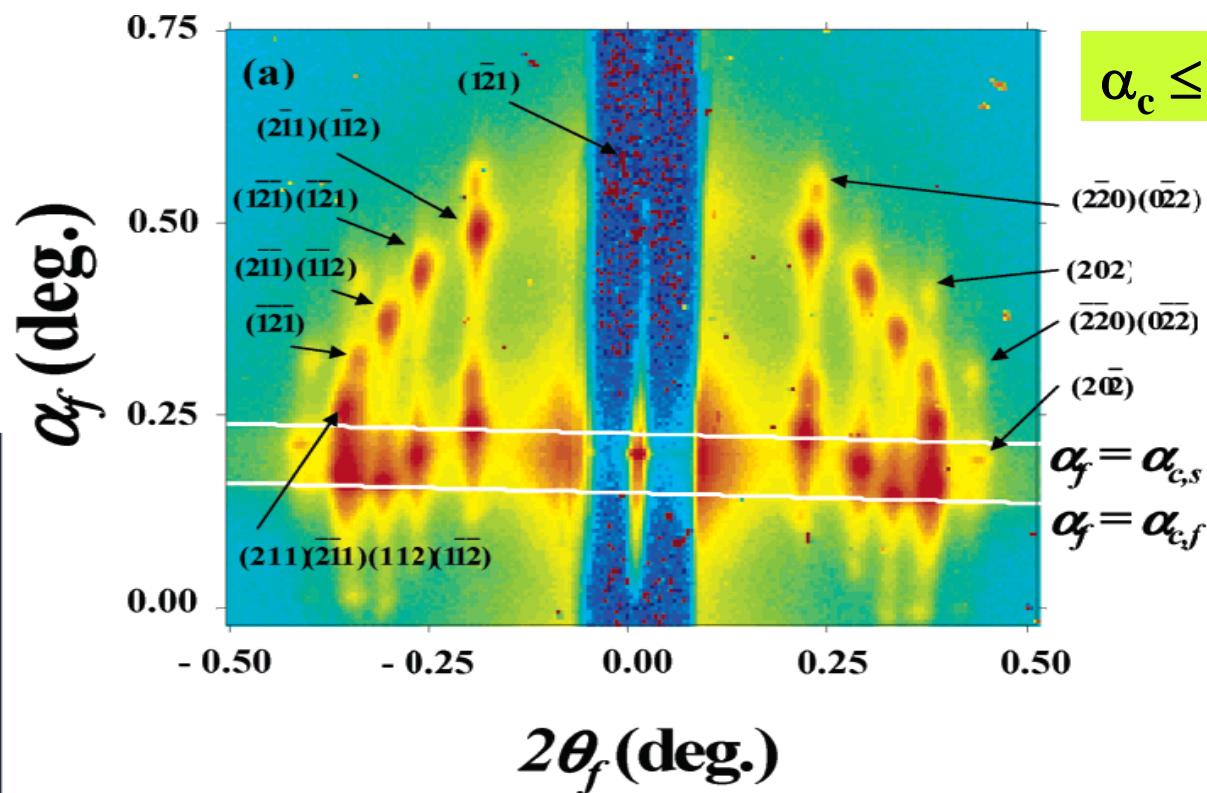
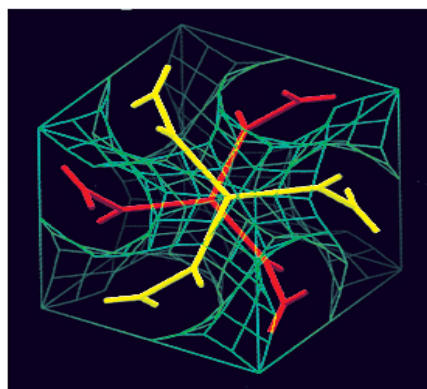
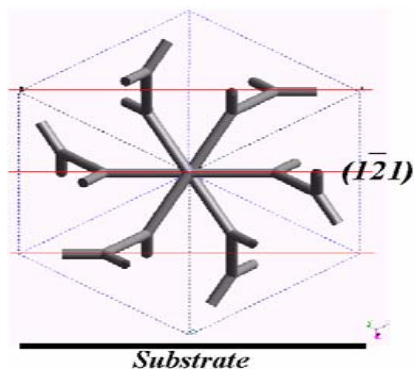
(Measured)

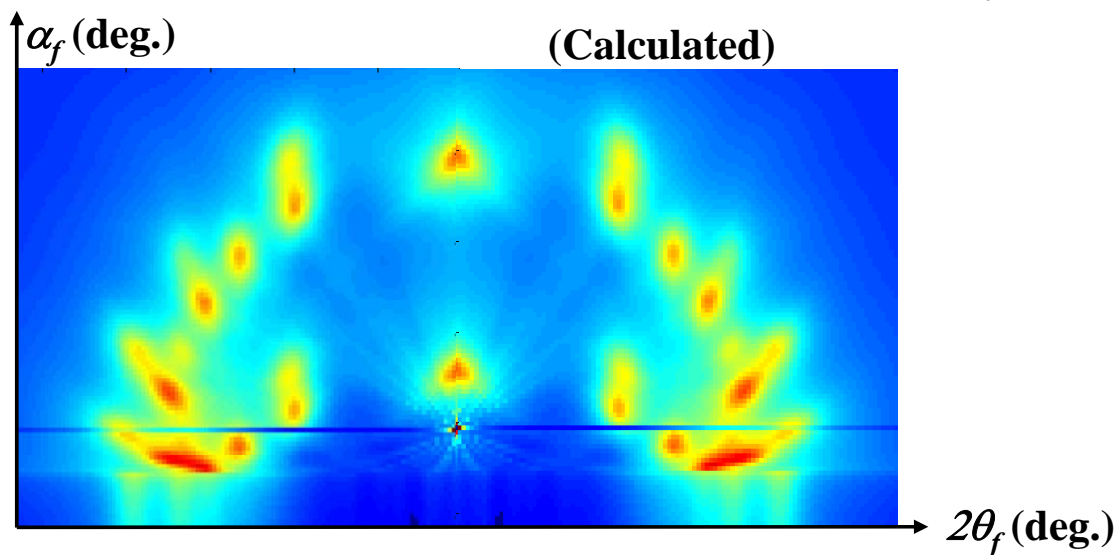
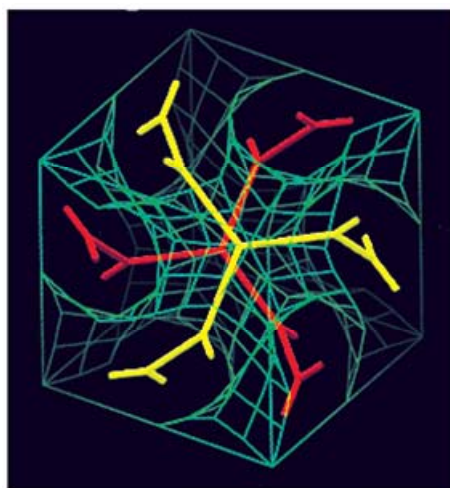
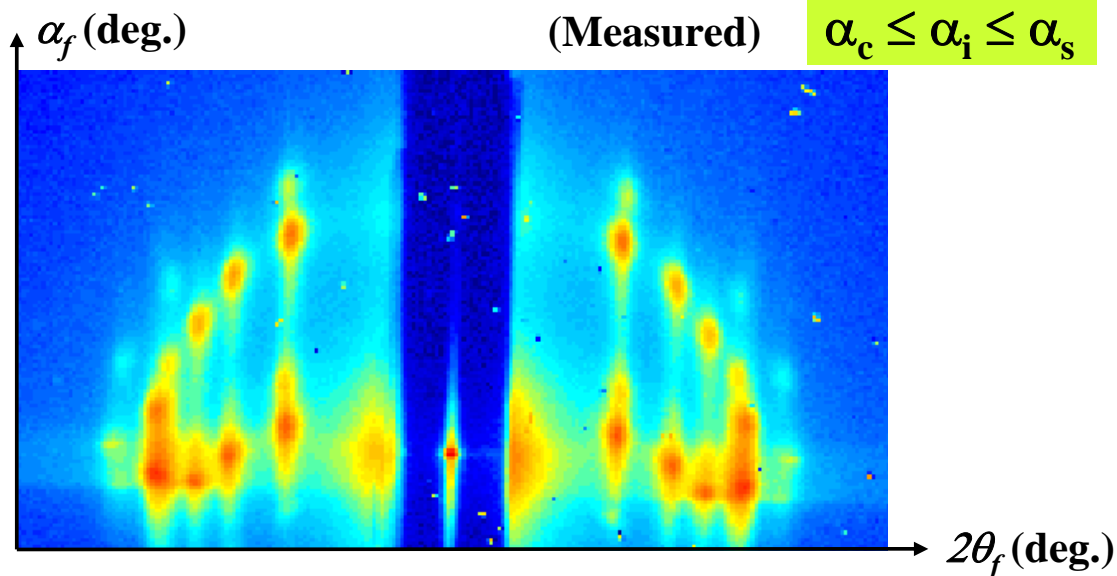
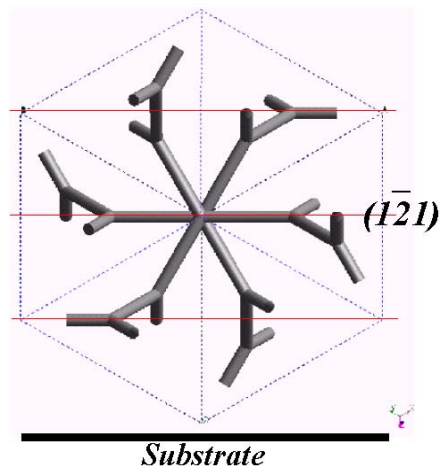


Self-Assembled PS-*b*-PI Diblock Copolymer on Substrate

PS-*b*-PI (wt_{PI}=**0.634**) film (1254 nm thick) **rms roughness: 0.1-0.3 nm**





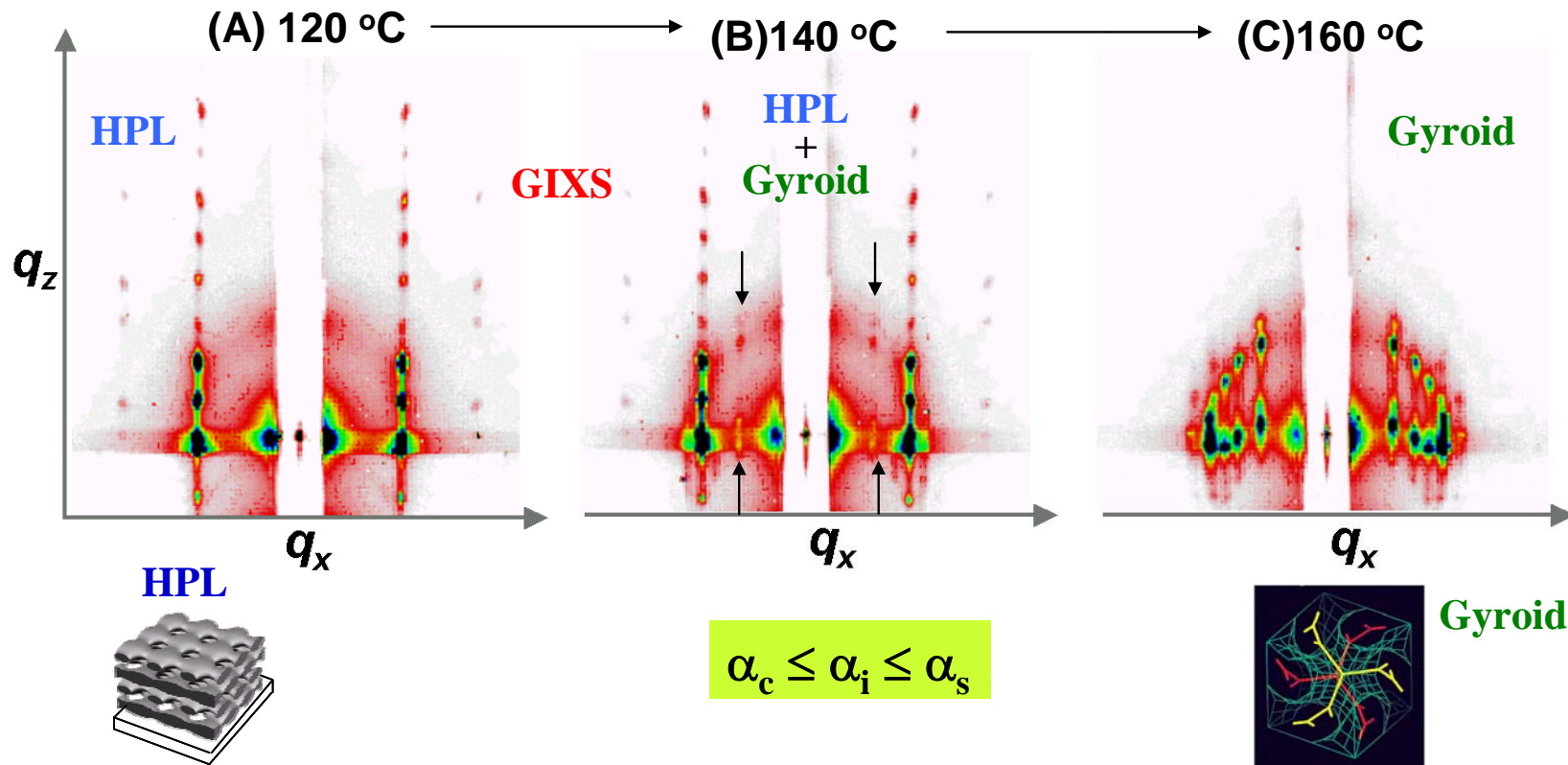


Ree et al.,
Macromolecules, 38, 4311 (2005); *Macromolecules*, 38, 10532 (2005)
Macromolecules, 40 (2007), ASAP; *J. Appl. Crystal.* (in press)

Phase Transition of HPL phase to Gyroid



PS-*b*-PI (wt_{PI}=0.634) film (1254 nm thick) rms roughness: 0.1-0.3 nm



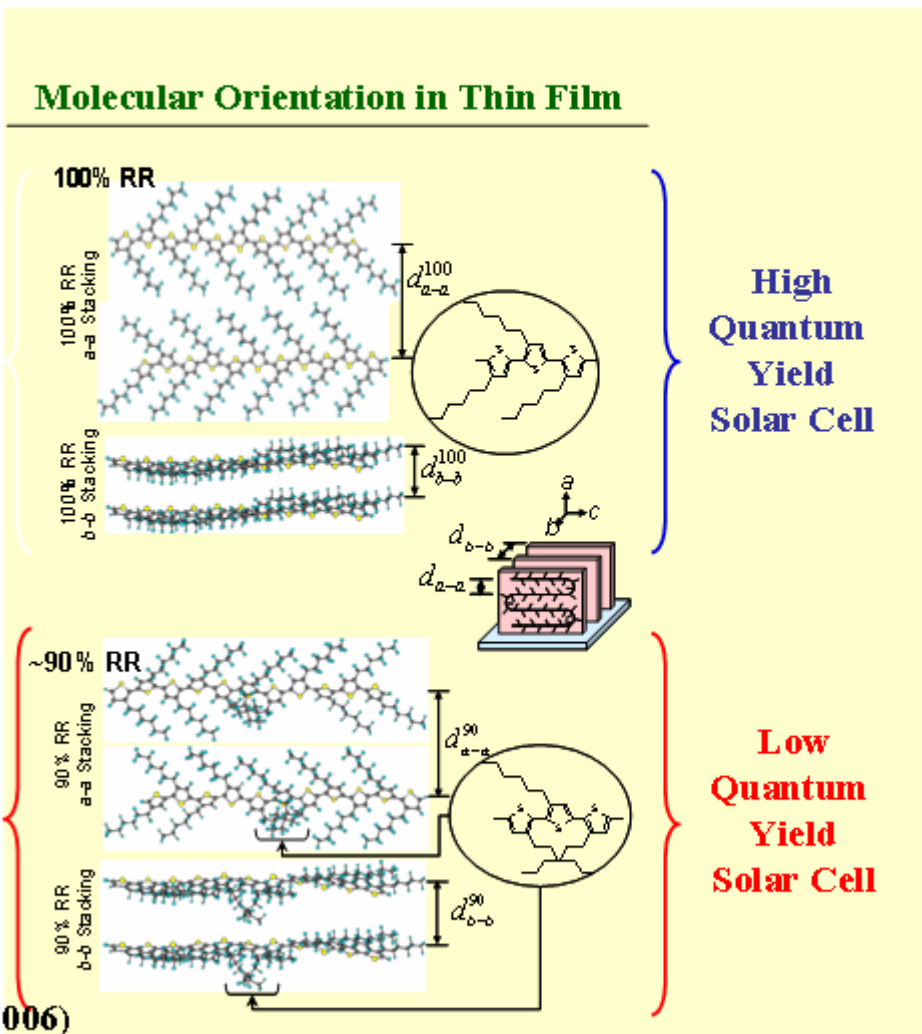
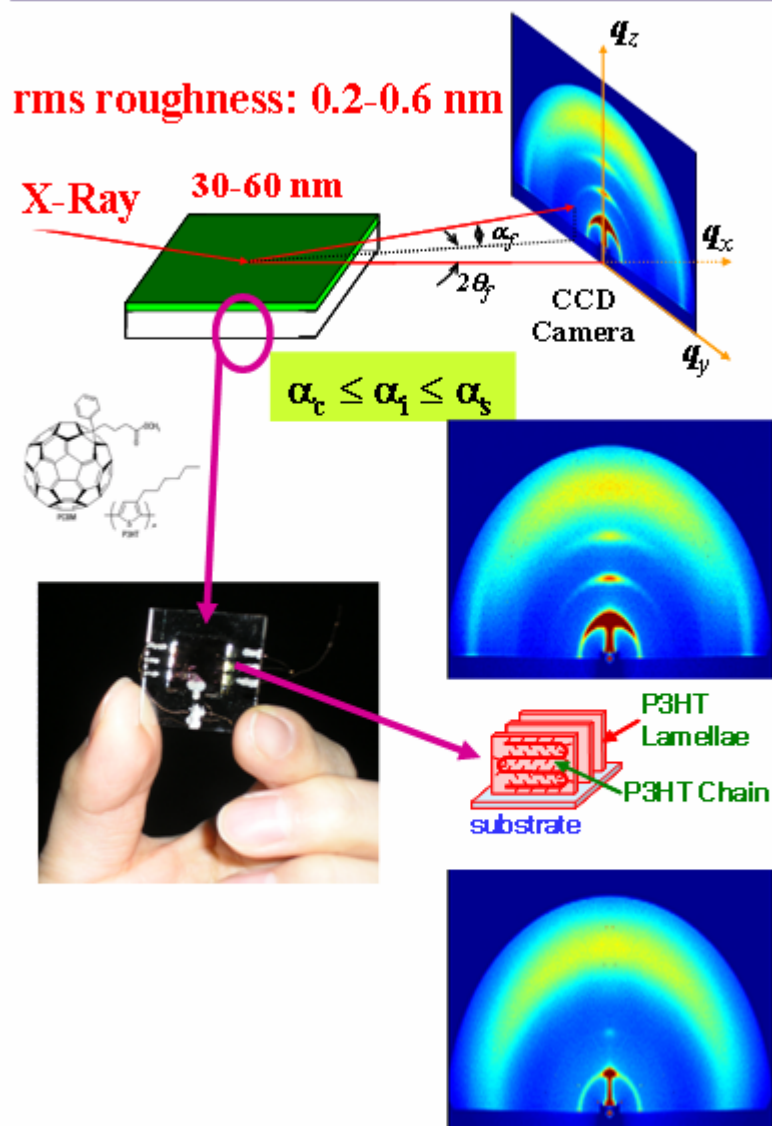
•Gyroid-structured microdomains perfectly oriented along the {121} plane parallel to the in-plane of a film.

*Co-worked with Prof. Taihyun Chang (Postech)

Ree, Chang, et al.,
Macromolecules, 38, 10532 (2005)
Macromolecules, 40 (2007), ASAP



POSTECH
 Polymer Synthesis and Physics Laboratory



Kim, Ha, Ree et al., *Nature Materials*, 5, 197 (2006)



Outline

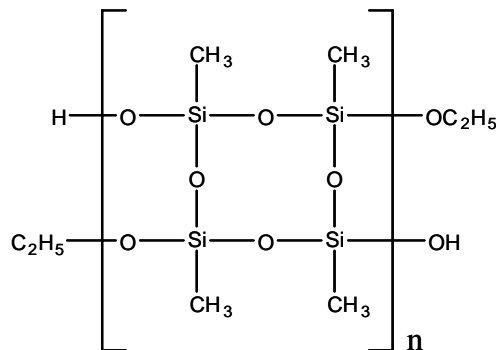
1. Introduction – POSTECH & Pohang Light Source
2. Optics, Beamlines and Equipments of SAXS
3. Data Collection and Samples
4. Fundamentals of SAXS
5. Fundamentals of Conventional, Transmission SAXS (TSAXS)
 - (1) Single Molecule (or Particle)
 - (2) Multiple Molecules (or Particles) and Their Assemblies
- 6. Fundamentals of Grazing Incidence SAXS (GISAXS)**
 - (1) Static GISAXS
 - (2) In-Situ GISAXS**
1. Conclusions – I, II
2. References
3. Introduction – M. Ree's Group at Postech
4. Acknowledgments



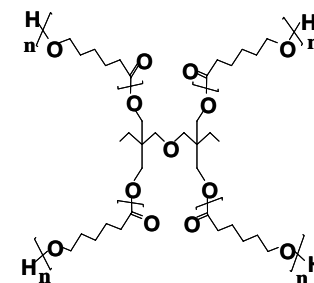
In-Situ GIXS Measurements



In-situ GIXS - Nanoporous dielectric thin films: Low-k nanofilms

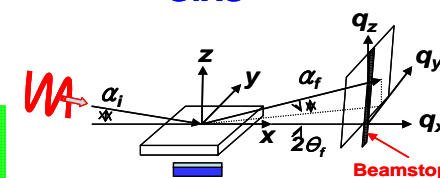
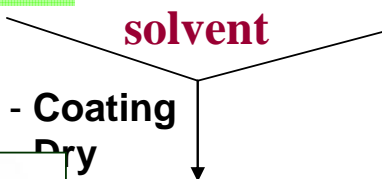


PMSSQ Precursor
10,000 \overline{M}_w



PCL4 Porogen

GIXS



in-situ GIXS
Measurements
conducted

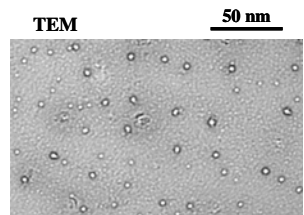
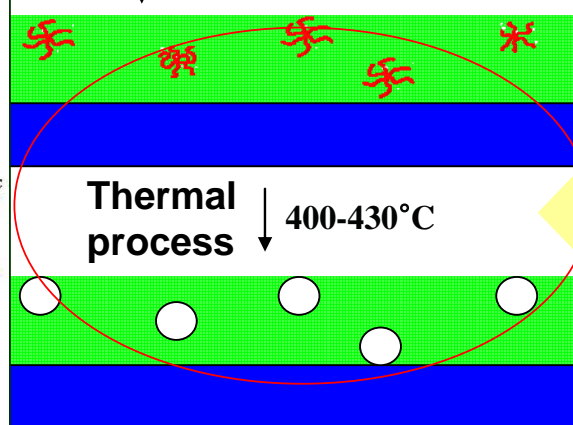
Synchrotron X-ray beam

GIXS

CCD Camera

Low-k Material

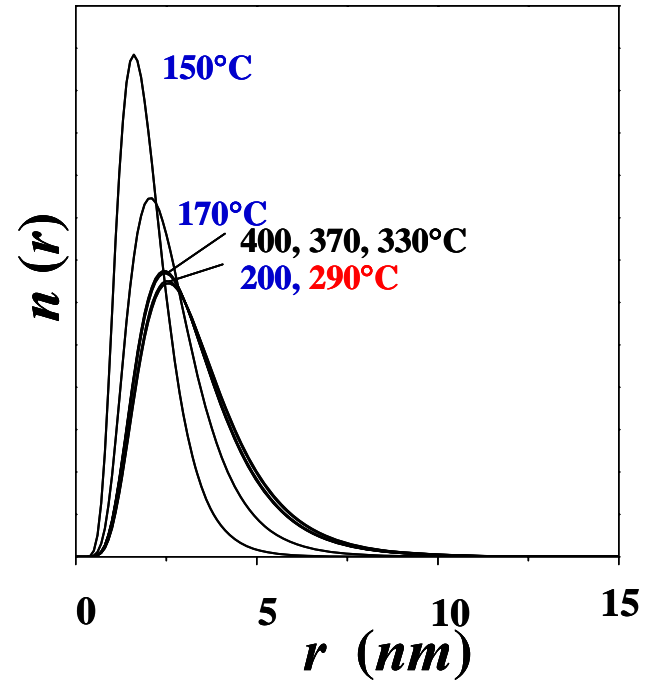
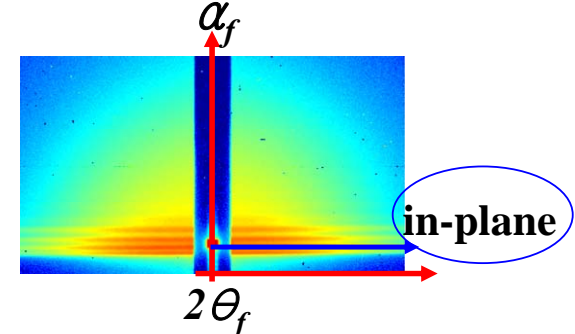
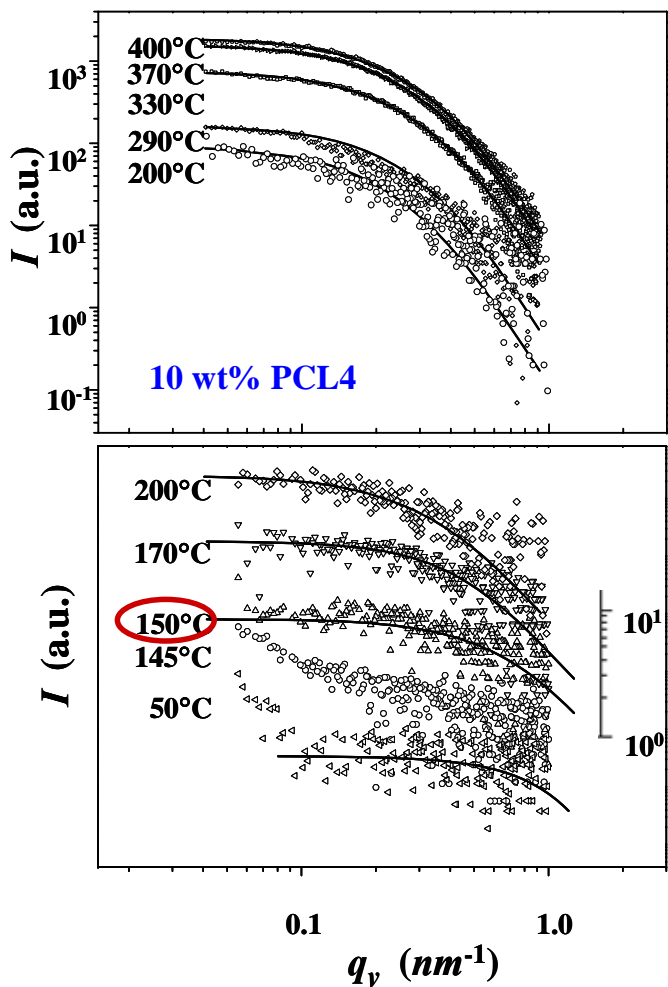
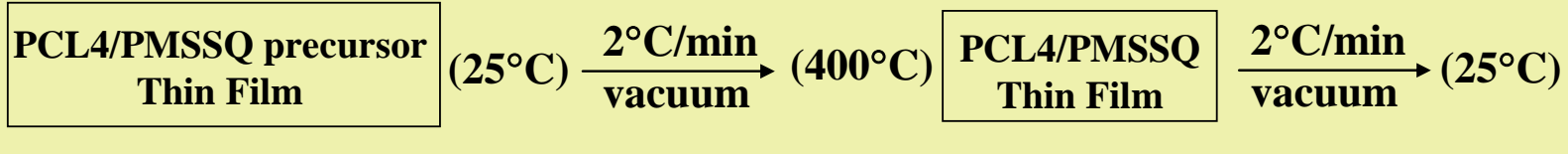
Advanced Semiconductor in the Next Generation



Ree et al.,
J. Phys. Chem. B, 110, 15887 (2006)
J. Mater. Chem. 16, 685 (2006)
Nanotechnology 17, 3490 (2006)
Macromolecules 38, 8991 (2005)
Macromolecules 38, 3395 (2005)



In-situ GIXS Measurements: PCL4/PMSSQ film



In-situ GIXS Measurements: PCL4/PMSSQ film

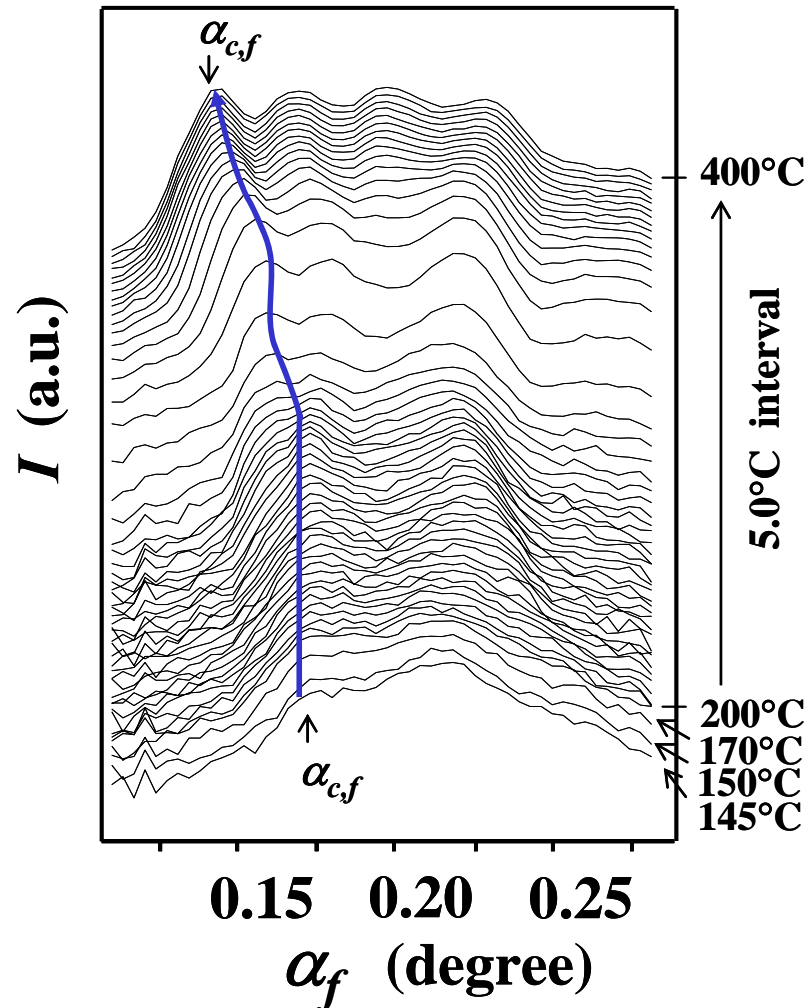
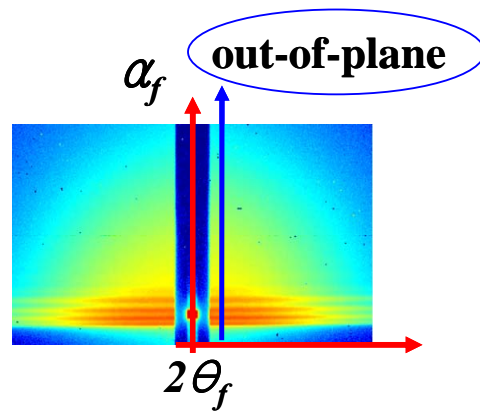


PCL4/PMSSQ precursor
Thin Film

(25°C) $\xrightarrow[2^\circ\text{C/min vacuum}]{}$ (400°C)

PCL4/PMSSQ
Thin Film

$\xrightarrow[2^\circ\text{C/min vacuum}]{}$ (25°C)



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- 1. Conclusions – I, II**
 - 2. References – TSAXS, GISAXS**
 - 3. Introduction – M. Ree's Group at Postech**
 - 4. Acknowledgments**

Conclusions – TSAXS

- **SAXS Optics and Sample Stage Related Equipments Reviewed.**
- **Theoretical Fundamentals of TSAXS Reviewed.**
- **TSAXS is Very Powerful to Analyze Single Particles (Molecules) and Their Assemblies in Solutions and Solids.**
- **TSAXS is Very Powerful to Analyze Proteins and Other Biomacromolecules in Nature.**
- **TSAXS is Very Powerful to Characterize Structural Changes in Time-Resolved Mode.**
- **GIXS is the Nondestructive analysis technique.**

Conclusions – GISAXS

- **GISAXS Optics, Theory and Data Analysis Methods Reviewed.**
- **GISAXS is Very Powerful to Analyze Structures in Nanoscaled Samples and Products.**
- **GISAXS is Very Powerful to Characterize Structural Changes in Time-Resolved Mode.**
- **GISAXS is the Nondestructive analysis technique.**



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1. Research Fields

<Polymer Physics>

- Polymer chain conformation
- Structures and morphology
- Nanostructuring
- Electric, dielectric, optical, thermal, mechanical properties
- Sensor properties
- Surface, interfaces

<Polymer Synthesis>

- Functional polymers
- Structural polymers
- Polypeptides, DNA, RNA

- ◆ Polymers for **Microelectronics, Displays, & Sensors**
- ◆ Polymers for **Implants & Biological Systems**
- ◆ **Proteins & Polynucleic acids (DNA, RNA)**

2. Group Members (25)

2 Postdoctoral Fellows

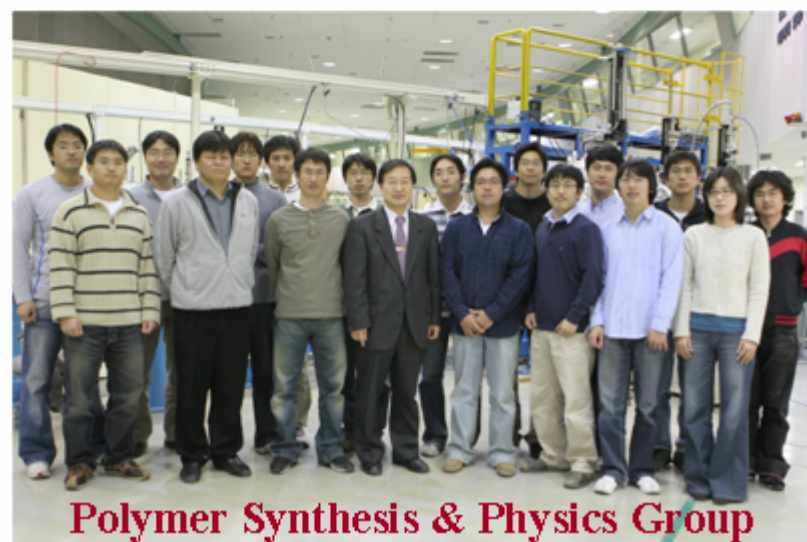
14 Ph.D. candidates

5 Undergraduates

2 Technicians

2 Secretary

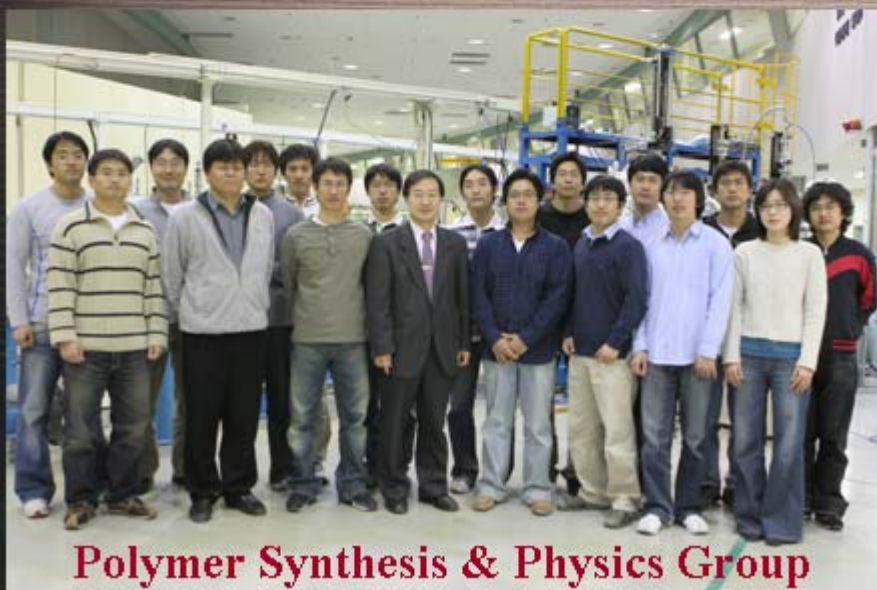
3 Scientists (Ph.D., PLS: Coworkers)





Pohang City

POSTECH Campus



Polymer Synthesis & Physics Group

Thank you very much
for your attention !!!

